

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
22 January 2004 (22.01.2004)

PCT

(10) International Publication Number  
**WO 2004/007461 A1**

(51) International Patent Classification<sup>7</sup>: **C07D 215/24**,  
215/26, 215/28, 215/48, A61K 31/47, 31/4709, A61P  
25/28

Guy [AU/AU]; 58 Cypress Avenue, GLEN WAVERLEY,  
Victoria 3150 (AU).

(21) International Application Number:  
PCT/AU2003/000914

(74) Agent: **GRIFFITH HACK**; 509 St Kilda Road, Mel-  
bourne, Victoria 3004 (AU).

(22) International Filing Date: 16 July 2003 (16.07.2003)

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC,  
SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA,  
UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
2002950217 16 July 2002 (16.07.2002) AU

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): **PRANA  
BIOTECHNOLOGY LIMITED** [AU/AU]; Level 1, 100  
Dorcas Street, SOUTH MELBOURNE, Victoria 3205  
(AU).

(72) Inventors; and

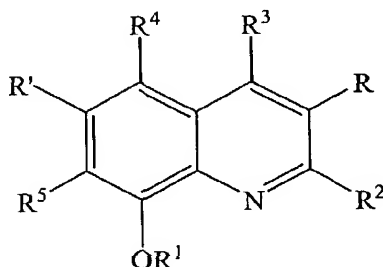
(75) Inventors/Applicants (*for US only*): **BARNHAM, Kevin,  
Jeffrey** [AU/AU]; 142 Reynard Street, COBURG, Vic-  
toria 3058 (AU). **GAUTIER, Elisabeth, Colette Louise**  
[FR/AU]; 14 Huntley Road, BENTLEIGH, Victoria 3204  
(AU). **KOK, Gaik, Beng** [AU/AU]; 112 Arnold Street,  
NORTH CARLTON, Victoria 3054 (AU). **KRIPPNER,**

Published:

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

(54) Title: 8-HYDROXY QUINOLINE DERIVATIVES



(I)

(57) Abstract: The present invention relates to a method for the treatment, amelioration and/or prophylaxis of a neurological condi-  
tion, in particular neurodegenerative disorders which comprises the administration of an effective amount of a compound of formula  
(I): to a subject in need thereof. The present invention also relates to a compound of formula (II) and processes for its preparation.

WO 2004/007461 A1

## **8-HYDROXY QUINOLINE DERIVATIVES**

The present invention relates to 8-hydroxy quinoline derivatives, processes for their preparation and their use as pharmaceutical or veterinary agents, in particular for the treatment of neurological conditions, more specifically neurodegenerative conditions such as Alzheimer's disease.

### **BACKGROUND OF THE INVENTION**

All references, including any patents or patent applications, cited in this specification are hereby incorporated by reference. No admission is made that any reference constitutes prior art. The discussion of the references states what their authors assert, and the applicants reserve the right to challenge the accuracy and pertinency of the cited documents. It will be clearly understood that, although a number of prior art publications are referred to herein, this reference does not constitute an admission that any of these documents forms part of the common general knowledge in the art, in Australia or in any other country.

The life span is thought to be biologically fixed for each species, and the length of the human life span is uncertain, but may be up to 120 years. Since life expectancy has risen significantly in this century, the elderly are an increasing segment of our population, and their health care needs will continue to grow for decades.

Although normal aging is characterized by modest reductions in the mass and volume of the human brain, which may be due to the atrophy and/or death of brain cells, these changes are far more profound in the brains of patients who succumb to a neurodegenerative condition. Most of these conditions are sporadic and of unknown cause, but hundreds of different mutations in many genes have been shown to cause familial (inherited) variants of several neurodegenerative conditions. Many of the dozen or more genes that harbour these mutations were discovered in the quest to determine the genetic basis of neurodegenerative conditions just in the last ten years. Neurodegenerative conditions evolve gradually after a long period of normal brain function, due to progressive degeneration (i.e., nerve cell dysfunction and death) of specific brain regions. Since symptomatic expression of disease occurs when nerve cell loss exceeds a "threshold" for the continuing function (e.g., memory, movement) performed by the affected brain region, the actual onset of brain degeneration may precede clinical expression by many years.

Intellectual and higher integrative cognitive faculties become progressively impaired and interfere with activities of daily living in neurological conditions resulting in dementia. The precise prevalence of dementia in the elderly population is unknown, but may be 15% of people over 65 years old with 5% severely and 10% mildly to moderately demented. The prevalence of severe dementia increases from 1% at 65 years to 45% at 85 years. There are

- 2 -

many causes of dementia, but Alzheimer's Disease (AD) accounts for 50% of demented patients over 65 years of age.

AD is a primary degenerative disease of the brain. It is characterized by progressive decline of cognitive functions such as memory, thinking, comprehension, calculation, language, learning capacity and judgement. Dementia is diagnosed when the declines are sufficient to impair personal activities of daily living. AD shows an insidious onset with slow deterioration. This disease needs to be clearly differentiated from age-related normal decline of cognitive functions. The normal decline is much less, much more gradual and leads to milder disabilities. The onset of AD is usually after 65 years of age, although earlier onset is not uncommon. As age advances, the incidence increases rapidly (it roughly doubles every 5 years). This has obvious implications for the total number of individuals living with this disorder as life expectancy increases in the population.

The aetiology of AD is unclear. There is considerable evidence of a heritable predisposition for some forms of AD (reviewed in St George-Hyslop, 2000), and the expression of certain isoforms of ApoE has also been linked to a higher risk of AD (Corder et al, 1993; Czech et al 1994). The toxic accumulation of aluminium has been suggested as a causative agent in AD, although this hypothesis has now been superseded. The brains of AD patients display abnormal deposits which include  $\beta$ -amyloid protein ( $A\beta$ ).

$A\beta$  is known to be present in the brains of individuals with certain neurodegenerative diseases, but it is not known whether it is symptomatic of an underlying disease process, or is actually involved in the aetiology of the disease. For example, some authors believe that the  $A\beta$  deposits may be indicative of a normal brain defence mechanism, in which the brain attempts to sequester the  $A\beta$ ; such deposits can be present in the brains of normal individuals. There is a mutation of *tau* protein in which neurofibrillary tangles, but no amyloid plaques are present in the brain; this condition is known as tauopathy.

One proposed approach to AD therapy is to inhibit production of  $A\beta$  in the brain. Proteolytic cleavage of APP by BACE1 and  $\gamma$ -secretase generates the full-length  $A\beta$ , which is then released from cells (Nunan and Small, 2000). Alternatively, a number of studies have shown that cholesterol can influence  $A\beta$  release (Simons et al., 1998; Hartmann, 2001; Fassbender et al., 2001; Frears et al., 1999; Friedhoff et al., 2001). However, there is some disagreement in the art as to the value of lowering cholesterol levels, and some workers consider that cholesterol is actually beneficial. For example, Ji et al, (2002) have suggested that the binding of  $A\beta$  to cholesterol might prevent  $A\beta$  toxicity by inhibiting its oligomerization.

In an alternative approach, it has been proposed that by unravelling the proteolytic processing of the amyloid precursor protein (APP), which generates the  $A\beta$  amyloid monomer, a number of possible therapeutic targets may be possible (Shearman et al., 2000; Sinha et al., 1999);], and this approach is in an early stage of clinical development. Attempts to

- 3 -

promote the clearance of A $\beta$  from the brain through immunization with A $\beta$ , while efficacious in a transgenic mouse model for AD (Schenk et al 1999), have been found to have significant adverse effects (Brower, 2002).

It has also been suggested that deposition of amyloid-like fibrils may also be important in other neurodegenerative diseases. These include Parkinson's disease, dementia with Lewy body formation, multiple system atrophy, Hallerboden-Spatz disease, and diffuse Lewy body disease.

One of the competing theories of the aetiology of AD is that the causative step(s) lies within the pathway of the intracerebral biogenesis and accumulation of the A $\beta$  amyloid protein (see recent reviews by Selkoe, 2001; Beyreuther et al., 2001; Bush, 2001). However, to date no drugs or agents which target this pathway have been demonstrated to have a lasting effect on modifying the clinical expression of the disease or in preventing or ameliorating the decline in cognitive function associated with neurodegenerative disorders, including Alzheimer's disease.

A further hypothesis is that AD is caused by the toxic accumulation of A $\beta$  amyloid, due in part to excess binding of copper and zinc, metal ions which are abundant in the regions most affected. Moreover, it has been suggested that when Zn<sup>2+</sup> and Cu<sup>2+</sup> ions interact with A $\beta$ , aggregation of A $\beta$  into fibrils and plaques occurs (Atwood et al., 1998; confirmed by recent data from animals deficient in synaptic Zn<sup>2+</sup> (Lee et al., 2002). It has also been suggested that redox-active Cu<sup>2+</sup>-A $\beta$  interactions can generate H<sub>2</sub>O<sub>2</sub> from O<sub>2</sub> (Huang et al., 1999). Both Cu<sup>2+</sup> and Zn<sup>2+</sup> have been shown to affect A $\beta$ -lipid membrane interactions (Curtain et al., 2001). The brain is an organ that concentrates metal ions and recent evidence suggests that a breakdown in metal homeostasis plays a critical role in a variety of age-related neurodegenerative diseases. Common features of these diseases include the deposition of misfolded protein (each disease has its own specific amyloid protein) and substantial cellular damage as a result of oxidative stress. Indeed data is now rapidly accumulating that metallochemical reactions could emerge as the common denominator underlying amyloidogenic neurological disorders such as Alzheimer's disease, amyotrophic lateral sclerosis (ALS), prion diseases – including Creutzfeldt-Jakob Disease (CJD), transmissible spongiform encephalopathies (TSE), cataracts, mitochondrial disorders, Parkinson's disease and Huntington's disease. In these instances, the pathological aggregation of a specific protein is promoted by abnormal redox activity in a physiological environment typified by the presence of transition metals and available reducing agents. [Bush, 2000 (Curr Opin Chem Biol. 2000 Apr; 4(2):184-91)].

Accordingly the present invention provides a means of treating neurological conditions, including those characterised by the abnormal interaction between proteins and metals.



A method of treatment of AD using iodochlorohydroxyquinoline an antibiotic [also known as clioquinol (CQ)], is disclosed and claimed in US patent Nos. 5,994,323 and 6,001,852 by P.N. Geromylatos S.A. and in US patent application No. 09/972,913 by Bush *et al.* CQ was withdrawn as an antibiotic in 1970, because of its association with an uncommon  
5 neurological syndrome, subacute myelo-optic neuropathy (SMON), which was observed only in Japan in the 1960s, in patients thought to have received the drug over long periods and probably at doses higher than those recommended at the time (Shiraki, 1975). However, recent evidence suggests that SMON was caused by an overuse-related vitamin B12 deficiency in an exceptionally vulnerable population, and therefore could be rehabilitated for study in a clinical  
10 setting (Yassin et al., 2000; Bush and Masters, 2001).

However, no *in vivo* results in animal models or in humans are provided in the Geromylatos and Bush patents. US 5,994,323 discloses a composition comprising CQ and Vitamin B12, and its use for the treatment of "diseases or disorders responsive to CQ administration while inhibiting detrimental side effects" of CQ. These diseases include AD. US  
15 6,001,852 discloses a method of treatment of AD using CQ, preferably together with Vitamin B12. Both US 5,994,323 and US 6,001,852 suggest a dosage of 10-750 mg per day; US 5,994,323 recommends that if treatment is over a long period CQ should be given intermittently, for up to 3 weeks at a time followed by a "wash-out" period of 1-4 weeks.

In US application No.09/972,913 CQ is exclusively referred to in terms of its  
20 ability to disaggregate A $\beta$  deposits. No other mechanism of neurotoxicity is discussed. PCT/US99/05291 by General Hospital Corporation discloses the use of CQ in combination with specific copper and zinc chelators to promote dissolution of amyloid plaques and inhibition of amyloid plaque formation and/or the production of ROS by A $\beta$ .

US 6,001,852 also suggests that a composition comprising CQ and Vitamin B12  
25 could be used in the treatment of Parkinson's disease; however, in this context it is suggested that CQ acts primarily via clearing iron from the substantia nigra.

The efficacy of CQ in the treatment of AD rests upon its ability to enter the CNS and then sequester the transition metals Cu, Zn and Fe from various A $\beta$  entities thereby reducing A $\beta$  toxicity and liberating it for clearance. The effectiveness of CQ is restricted by its poor  
30 aqueous solubility which limits its oral bioavailability. CQ is also known to undergo considerable conjugative metabolism and has a history of toxicity as discussed above. The fact that CQ is a bidentate metal ligand makes necessary the commitment of at least two molecules for every metal ion captured.

We have now developed 8-hydroxy quinoline derivatives which are more  
35 efficacious than CQ through the collective optimization of one or more of the following properties:

- (a) metal chelation (as herein defined);

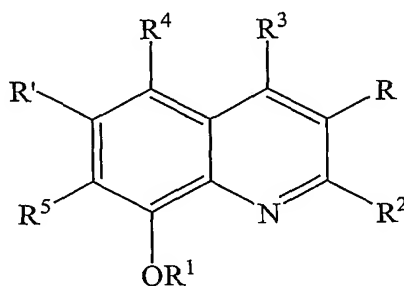
- 5 -

- (b) aqueous solubility;
- (c) reduced cell toxicity;
- (d) amyloid dispersion properties;
- (e) membrane permeability appropriate for CNS penetration; and
- (f) metabolic stability.

These derivatives include examples of therapeutics which are concentrated in the CNS through active transport, contain antioxidant activity in addition to their metal chelation properties which in some cases leads to enhanced metal chelation properties and demonstrate a prodrug strategy which masks the 8-hydroxy moiety to favour CNS penetration and make use of the known esterase activity which resides on the inner surface of the blood brain barrier (BBB).

### SUMMARY OF THE INVENTION

According to the present invention there is provided a method for the treatment, amelioration and/or prophylaxis of a neurological condition which comprises the administration of an effective amount of a compound of formula I:



I

in which

$R^1$  is H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted acyl, optionally substituted aryl, optionally substituted heterocyclyl, an antioxidant or a targeting moiety;

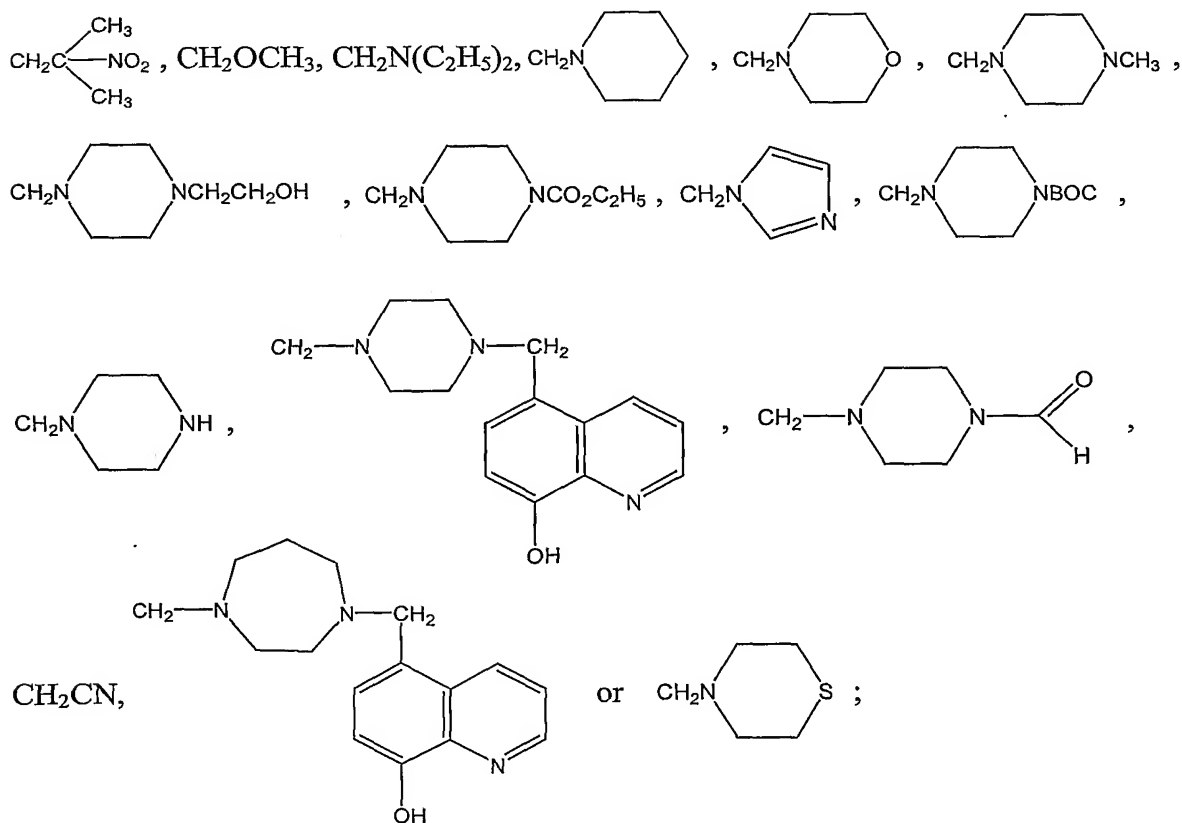
$R^2$  is H; optionally substituted alkyl; optionally substituted alkenyl; optionally substituted aryl; optionally substituted heterocyclyl; optionally substituted alkoxy; an antioxidant; a targeting moiety;  $COR^6$  or  $CSR^6$  in which  $R^6$  is H, optionally substituted alkyl, optionally substituted alkenyl, hydroxy, optionally substituted aryl, optionally substituted heterocyclyl, an antioxidant, a targeting moiety,  $OR^7$ ,  $SR^7$  or  $NR^7R^8$  in which  $R^7$  and  $R^8$  are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; CN;  $(CH_2)_nNR^9R^{10}$ ,  $HCNOR^9$  or  $HCNNR^9R^{10}$  in which  $R^9$  and  $R^{10}$  are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl and n is 1 to 4;  $OR^{11}$ ,  $SR^{11}$  or  $NR^{11}R^{12}$  in

which R<sup>11</sup> and R<sup>12</sup> are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl or together form optionally substituted heterocyclyl; or SO<sub>2</sub>NR<sup>13</sup>R<sup>14</sup> in which R<sup>13</sup> and R<sup>14</sup> are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; and

R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R and R' are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted alkoxy, optionally substituted acyl, hydroxy, optionally substituted amino, optionally substituted thio, optionally substituted sulphonyl, optionally substituted sulphinyl, optionally substituted sulphonylamino, halo, SO<sub>3</sub>H, amine, CN, CF<sub>3</sub>, optionally substituted aryl, optionally substituted heterocyclyl, an antioxidant or a targeting moiety,

salts, hydrates, solvates, derivatives, pro-drugs, tautomers and/or isomers thereof with the provisos that:

- (a) when  $R^1$  to  $R^3$ ,  $R$  and  $R'$  are H, then  $R^4$  is not Cl or I and  $R^5$  is not I;  
 (b) when  $R^1$  to  $R^3$ ,  $R$ ,  $R'$  and  $R^5$  are H, then  $R^4$  is not CHO,  $\text{CHOHCCl}_3$ ,



- 7 -

(c) when  $R^1$ ,  $R^5$ ,  $R'$  and  $R$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R^4$  is not bromo, methyl, phenyl, hydroxymethyl or trifluoromethyl;

(d) when  $R^1$ ,  $R^4$ ,  $R^5$  and  $R$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R'$  is not bromo, iodo, methyl, phenyl, propyl, phenethyl, heptyl, benzylaminomethyl, 3-aminopropyl, 3-hydroxypropyl, 4-methoxyphenyl, 3-methylphenyl, 4-chlorophenyl, 3,4-dichlorophenyl, pyridin-3-yl, furo-2-yl, 4-chlorophenyl, 3,4-dichlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-methoxyphenyl or piperidin-2-yl;

(e) when  $R^1$ ,  $R^4$ ,  $R$  and  $R'$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R^5$  is not phenyl, 3-hydroxypropyl, phenethyl, 3-aminoprop-1-yl or hex-1-yl;

(f) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R$  is not N-morpholinomethyl, bromo or phenyl;

(g) when  $R^1$ ,  $R$  and  $R'$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R^4$  and  $R^5$  are not chloro;

(h) when  $R^1$ ,  $R^4$  and  $R'$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R$  and  $R^5$  are not bromo;

(i) when  $R^1$ ,  $R$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^4$  is not hydroxymethyl, phenyl or bromo;

(j) when  $R^1$ ,  $R$ ,  $R^4$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R'$  is not 4-methoxyphenyl, 3-methylphenyl, pyridin-3-yl, benzyl, bromo, 4-chlorophenyl, 3,4-dichlorophenyl, 3-hydroxypropyl or 3-tert-butoxycarbonylaminopropyl;

(k) when  $R^1$ ,  $R$ ,  $R^4$  and  $R'$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^5$  is not phenyl or 3-tert-butoxycarbonylaminoprop-1-yl;

(l) when  $R^1$ ,  $R$ ,  $R^4$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{Me}$ , then  $R^3$  is not toluene-4-sulphonylamino, piperazin-1-yl, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, 3-benzoylaminoprop-1-yl, phenethyl, 3-tert-butoxycarbonylaminopropyl, 3-hydroxypropyl, amino or hex-1-yl;

(m) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Na}$  and  $R^3$  is OH, then  $R$  is not phenyl;

(n) when  $R^1$ ,  $R$ ,  $R^4$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^3$  is not phenyl, 4-chlorophenyl, phenethyl, 3-hydroxypropyl, amino, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, toluene-4-sulphonylamino, 3-benzoylaminoprop-1-yl, aminoprop-1-ynyl, hex-1-yl, 5-hydroxypent-1-yl, piperazin-1-yl or 2-(1-piperazinyl)pyrimidinyl;

(o) when  $R^1$ ,  $R'$  and  $R$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^4$  and  $R^5$  are not chloro;

(p) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R$  is not bromo;

(q) when  $R^1$ ,  $R'$  and  $R^4$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R$  and  $R^5$  are

not bromo;

(r) when  $R^1$ ,  $R$ ,  $R^3$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^4$  is not phenyl, 4-chlorophenyl or phenylethyl;

(s) when  $R^1$ ,  $R^5$ ,  $R'$ ,  $R^4$ ,  $R^3$  and  $R$  are H, then  $R^2$  is not 2H-tetrazol-1-yl;

(t) when  $R^1$ ,  $R^5$ ,  $R^4$  and  $R$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R'$  is not 3,5-dichlorophenyl or 4-fluorophenyl; and

(u) at least one of  $R^1$  to  $R^5$ ,  $R$  and  $R'$  is other than H, to a subject in need thereof.

Further according to the present invention there is provided use of the compound of formula I in the manufacture of a medicament for the treatment, amelioration and/or prophylaxis of a neurological condition.

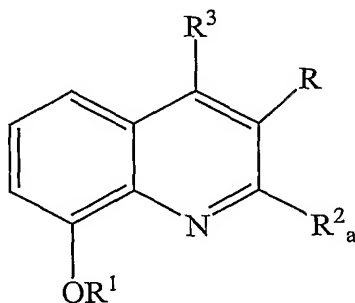
The invention also provides use of the compound of formula I for the treatment, amelioration and/or prophylaxis of a neurological condition.

The invention further provides the compound of formula I for use in the treatment, amelioration and/or prophylaxis of a neurological condition.

The invention still further provides use of the compound of formula I as a pharmaceutical, preferably a neurotherapeutic or neuroprotective agent, more preferably an anti-amyloidogenic agent. Preferably, the neurological condition is a neurodegenerative condition, more preferably neurodegenerative amyloidosis such as Alzheimer's disease.

Preferred compounds of formula I are as follows:

(i) Formula Ia



Ia

in which:

$R$ ,  $R^1$  and  $R^3$  are as defined in formula I above; and

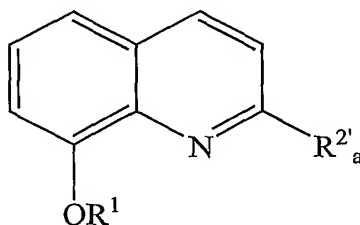
$R^2_a$  is H; optionally substituted  $\text{C}_{1-6}$  alkyl; optionally substituted  $\text{C}_{1-6}$  alkenyl; optionally substituted aryl; optionally substituted heterocyclyl; an antioxidant; a targeting moiety;  $\text{COR}^6_a$  or  $\text{CSR}^6_a$  in which  $R^6_a$  is H, optionally substituted  $\text{C}_{1-6}$  alkyl, optionally

- 9 -

substituted C<sub>2-6</sub> alkenyl, hydroxy, optionally substituted aryl, optionally substituted heterocyclyl or OR<sup>7<sub>a</sub></sup>, SR<sup>7<sub>a</sub></sup> or NR<sup>7<sub>a</sub></sup>R<sup>8<sub>a</sub></sup> in which R<sup>7<sub>a</sub></sup> and R<sup>8<sub>a</sub></sup> are either the same or different and selected from H, optionally substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; CN; CH<sub>2</sub>NR<sup>9<sub>a</sub></sup>R<sup>10<sub>a</sub></sup>, HCNOR<sup>9<sub>a</sub></sup> or HCNNR<sup>9<sub>a</sub></sup>R<sup>10<sub>a</sub></sup> in which R<sup>9<sub>a</sub></sup> and R<sup>10<sub>a</sub></sup> are either the same or different and selected from H, optionally substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; OR<sup>11<sub>a</sub></sup>, SR<sup>11<sub>a</sub></sup> or NR<sup>11<sub>a</sub></sup>R<sup>12<sub>a</sub></sup> in which R<sup>11<sub>a</sub></sup> and R<sup>12<sub>a</sub></sup> are either the same or different and selected from H, optionally substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally substituted aryl or optionally substituted heterocyclyl or together form optionally substituted heterocyclyl; or SO<sub>2</sub>NR<sup>13<sub>a</sub></sup>R<sup>14<sub>a</sub></sup> in which R<sup>13<sub>a</sub></sup> and R<sup>14<sub>a</sub></sup> are either the same or different and selected from H or optionally substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally substituted aryl or optionally substituted heterocyclyl.

Preferred compounds of formula Ia are as follows:

- Formula IIa



IIa

in which:

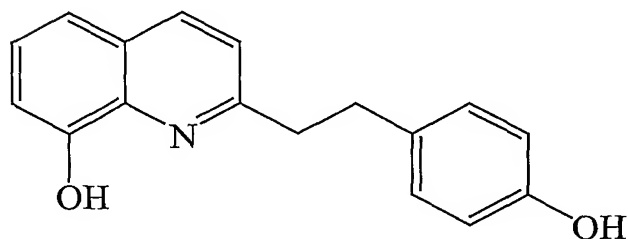
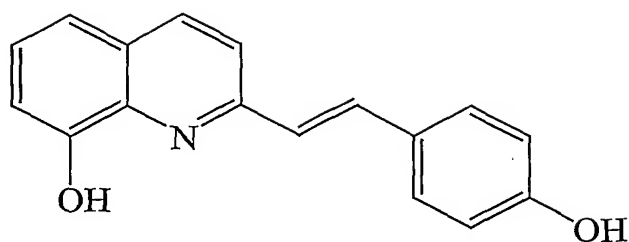
R<sup>1</sup> is as defined in formula I above; and

R<sup>2'ₐ</sup> is optionally substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally substituted aryl or optionally substituted heterocyclyl.

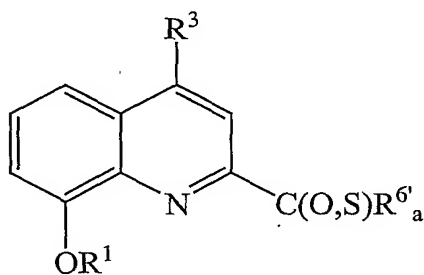
Formula IIa may represent compounds in which an antioxidant moiety is attached to the C2 position of the 8-hydroxyquinoline in such a way that exposure to a prooxidative environment, that is, hydroxy radicals, will result in a molecule with enhanced metal chelation properties.

Representative examples are shown below:

- 10 -



• Formula IIIa



IIIa

in which:

5

$R^1$  and  $R^3$  are as defined in formula I above; and

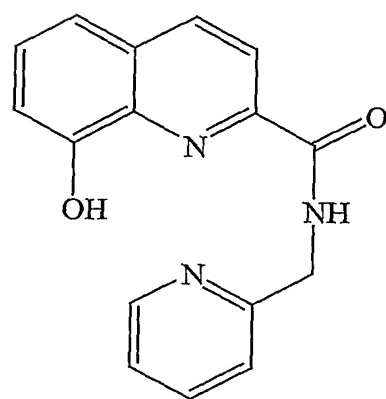
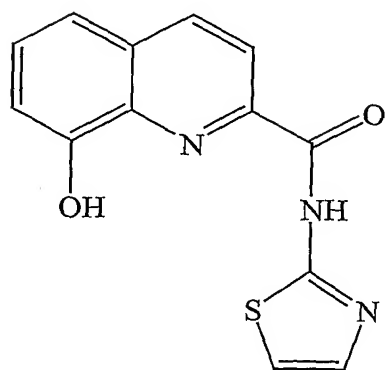
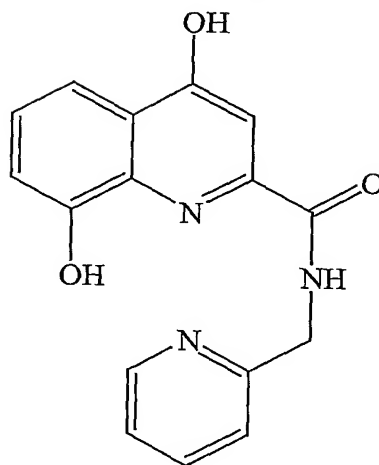
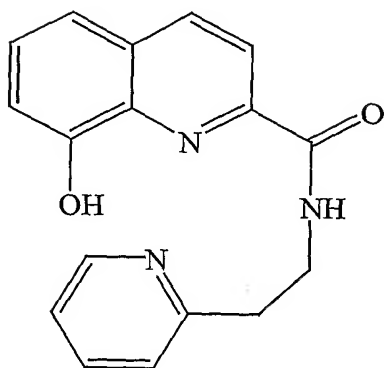
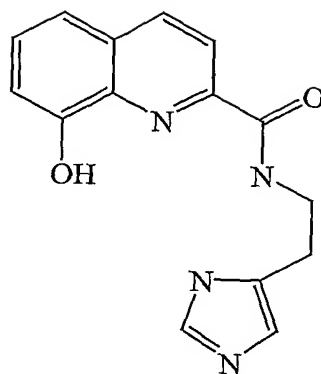
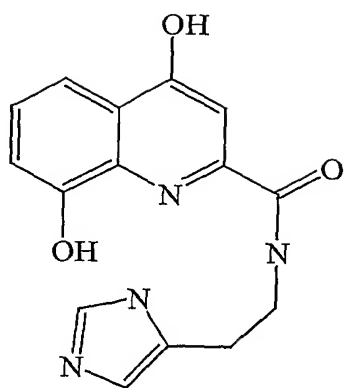
$R^6_a$  is optionally substituted  $C_{1-6}$  alkyl, optionally substituted  $C_{2-6}$  alkenyl, hydroxy,  $OR^7_a$ ,  $SR^7_a$ ,  $N_2R^7_aR^8_a$ , or  $NR^7_aR^8_a$  in which  $R^7_a$  and  $R^8_a$  are either the same or different and selected from H, optionally substituted  $C_{1-6}$  alkyl, optionally substituted aryl or optionally substituted heterocyclyl.

10

Formula IIIa represents compounds in which a hydrophilic amide moiety is attached to the C2 position of the 8-hydroxyquinoline so as to generally enhance solubility while maintaining membrane permeability. Compounds of formula IIIa also show enhanced metal chelation properties.

Representative examples are shown below:

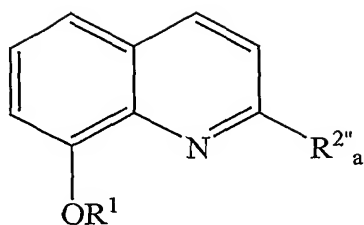
- 11 -





- 12 -

- Formula IVa



IVa

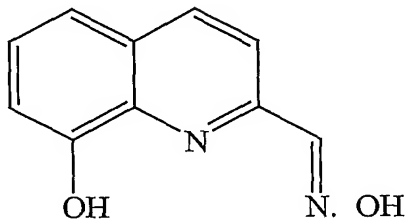
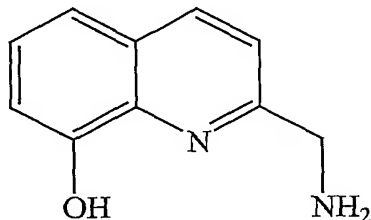
in which:

$R^1$  is as defined in formula I above; and

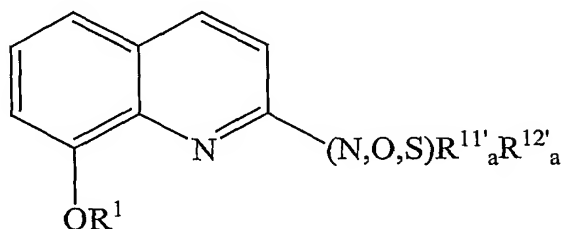
5  $R^{2''}_a$  is CN;  $CH_2NR^{9'}_aR^{10'}_a$ ,  $HCNOR^{9'}_a$  or  $HCNNR^{9'}_aR^{10'}_a$  in which  $R^{9'}_a$  and  $R^{10'}_a$  are either the same or different and selected from H, optionally substituted  $C_{1-6}$  alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl.

Formula IVa represents compounds which have improved metal chelation and optimised activity in the panel of assays described hereinafter.

10 Representative examples are shown below:



- Formula Va



Va

15 in which:

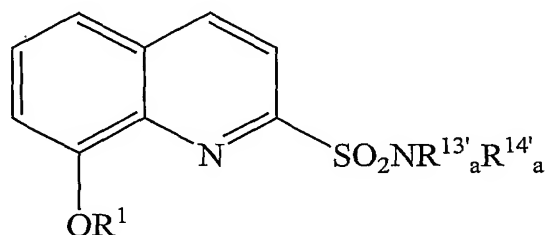
$R^1$  is as defined in formula I above; and

$R^{11'}_a$  and  $R^{12'}_a$  are either the same or different and selected from H, optionally

- 13 -

substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally substituted aryl and optionally substituted heterocyclyl or together form optionally substituted heterocyclyl.

• Formula VIa



VIa

5

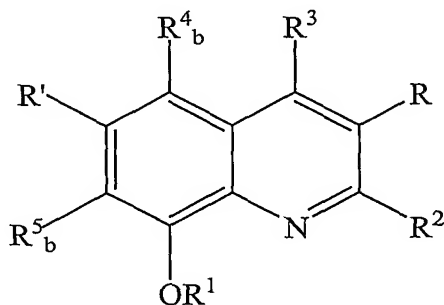
in which:

R¹ is as defined in formula I above; and

R¹³'ₐ and R¹⁴'ₐ are either the same or different and selected from H, optionally substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally substituted aryl or optionally substituted heterocyclyl.

10

(ii) Formula Ib



Ib

in which:

15

R¹, R¹, R, R² and R³ are as defined in formula I above;

R⁴ᵇ and R⁵ᵇ are either the same or different and selected from H; optionally substituted C<sub>1-6</sub> alkyl; optionally substituted C<sub>2-6</sub> alkenyl; halo; CN; CF₃; optionally substituted aryl; optionally substituted heterocyclyl; an antioxidant; a targeting moiety; SO₃H;

SO₂NR¹³'ₐR¹⁴'ₐ in which R¹³'ₐ and R¹⁴'ₐ are as defined in formula Ia above; or OR¹⁵ᵇ, SR¹⁵ᵇ,

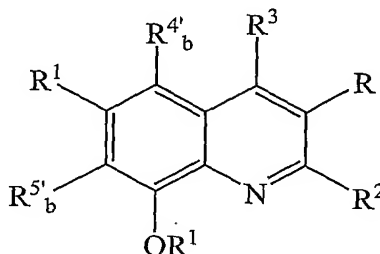
20

SO₂R¹⁵ᵇ, CONR¹⁵ᵇR¹⁶ᵇ or NR¹⁵ᵇR¹⁶ᵇ in which R¹⁵ᵇ and R¹⁶ᵇ are either the same or different and selected from H, optionally substituted C<sub>1-6</sub> alkyl, optionally substituted C<sub>2-6</sub> alkenyl, optionally

substituted C<sub>1-6</sub> acyl, optionally substituted aryl or optionally substituted heterocyclyl,  
including provisos (a) to (c), (e), (g), (h), (I), (k), (o), (q), (r), and (u) as defined  
above.

Preferred compounds of formula Ib are as follows:

• Formula IIb



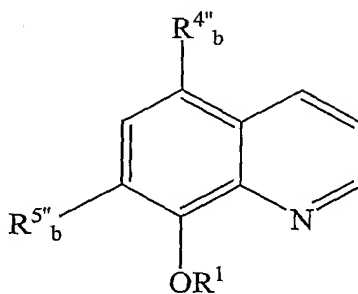
IIb

in which:

R¹, R', R, R² and R³ are as defined in formula I above; and

R⁴' and R⁵' are as defined in formula Ib above provided that at least one is halo,  
including provisos (a), (c), (g), (h), (i), (o), (q) and (u) defined above.

• Formula IIIb



IIIb

in which:

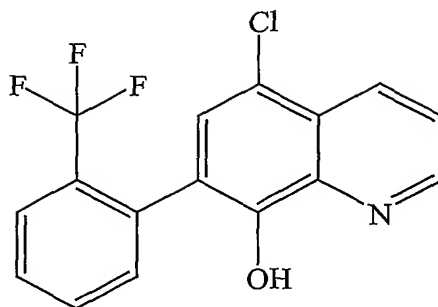
R¹ is as defined in formula I above;

R⁴'' is H or halo; and

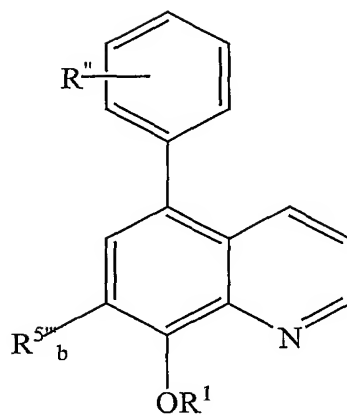
R⁵'' is optionally substituted aryl or optionally substituted heterocyclyl.

A representative example is shown below.

- 15 -



- Formula IVb



IVb

in which:

5

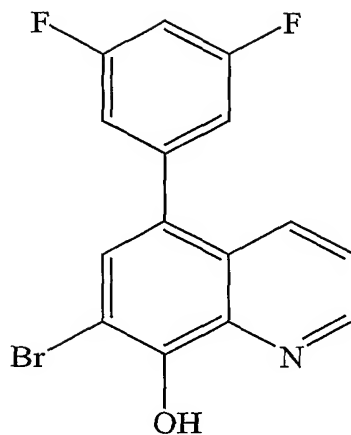
$R^1$  is as defined in formula I above;

$R''$  is  $C_{1-6}$  alkoxy, halo,  $C_{1-6}$  alkyl,  $C_{2-6}$  alkenyl or  $C_{1-6}$  haloalkyl; and

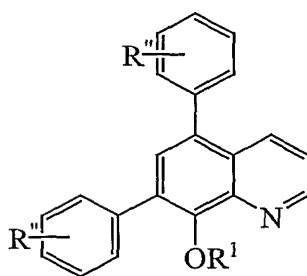
$R_b^5$  is H or halo.

A representative example is shown below.

- 16 -



- Formula Vb



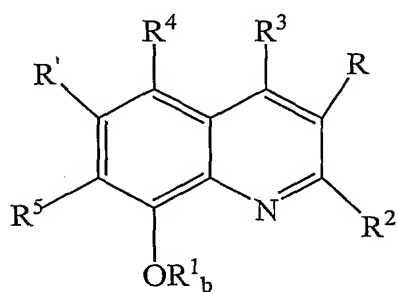
Vb

in which

5

R¹ is as defined in formula I above; and  
R'' is as defined in formula IVb above.

- Formula VIb



VIb

in which:

$R^2$  to  $R^5$ , R and R' are as defined in formula I above; and

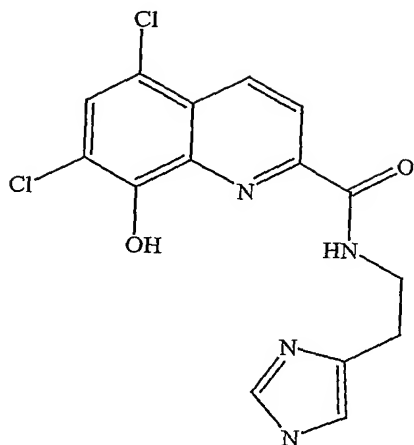
$R^1_b$  is optionally substituted  $C_{1-6}$  alkyl, optionally substituted aryl, optionally substituted aryl acyl,  $C_{1-6}$  alkyl acyl or optionally substituted heterocyclyl.

5           Formula VIb represents compounds in which the 8-hydroxyl group on the quinoline is blocked to form a prodrug, in particular an ester prodrug. The 8-hydroxy represents a principal site of metabolism for the compound of Formula I: conjugation with glucuronic acid or sulphate gives a hydrophilic species ready to be excreted. Such conjugates probably do not pass the blood brain barrier. The ester prodrug may protect the compound of Formula I from  
10 conjugation. Esterases integral to the blood brain barrier may then release the C8-hydroxy on passage through that barrier activating the compound for its role in the CNS.

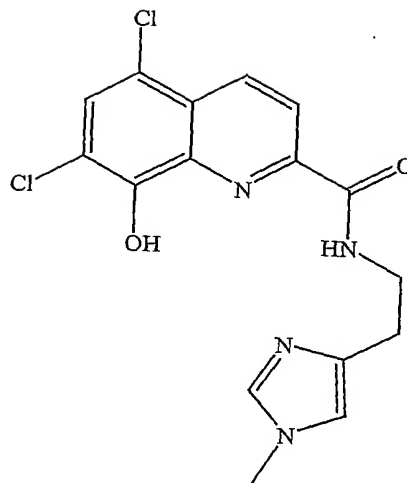
          In a particularly preferred embodiment, the compound of formula I is a compound of formula Ib or IIb in which  $R^4_b$  and  $R^5_b$  or  $R^4_{b'}$  and  $R^5_{b'}$  are both halo, more preferably chloro substituents. Preferably, at least one of  $R^2$ , R,  $R^3$  and R' is optionally  
15 substituted alkyl, optionally substituted aryl, optionally substituted heterocyclyl,  $(CH_2)_nNR^9R^{10}$  in which  $R^9$  and  $R^{10}$  are as defined above and n is 1 to 4,  $COR^6$  in which  $R^6$  is  $NR^7R^8$ ,  $OR^7$  or  $SR^7$  in which  $R^7$  and  $R^8$  are as defined above or  $NR^{11}R^{12}$ ,  $OR^{11}$ ,  $SR^{11}$  in which  $R^{11}$  and  $R^{12}$  are as defined above.

          While not wishing to be bound by theory, it is believed that substituents R,  $R^3$  and  
20 R' have a limited effect, electronically or sterically, in the chelating properties of the compounds of the present invention. Substitution at those positions can therefore be used to modulate other parameters such as cytotoxicity and physicochemical properties including the number of hydrogen bond donors and acceptors, lipophilicity (ClogP, ElogP and LogD), solubility and polar surface area. Modulation of these parameters contribute to the optimisation of the  
25 pharmacokinetic profile of the compounds. It is also postulated that substituent  $R^2$  in addition to modulating cytotoxicity and physicochemical properties could also affect activity if the substituent provides chelating properties. Examples of particularly preferred compounds having  $R^2$  substituents with chelating properties are shown below.

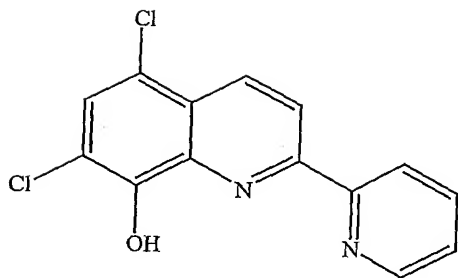
- 18 -



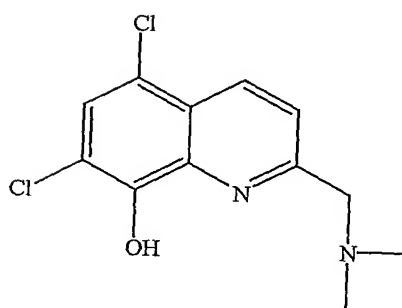
PBT 1038



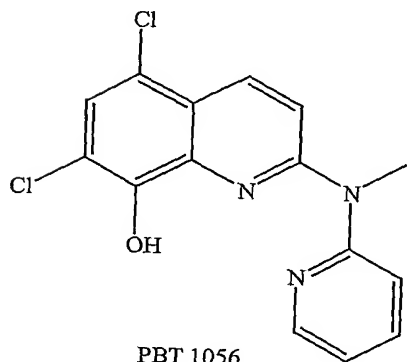
PBT 1050



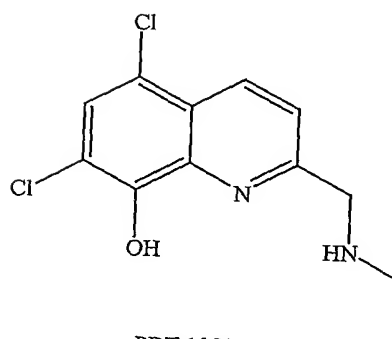
PBT 1052



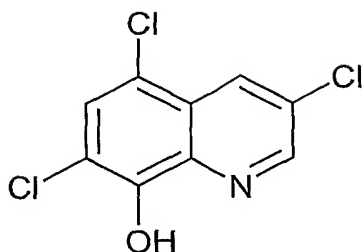
PBT 1033



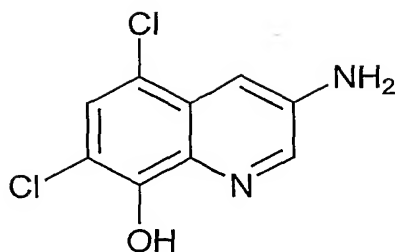
PBT 1056



PBT 1051



PBT 1058

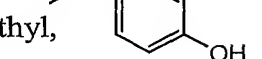


PBT 1060

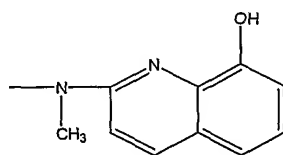
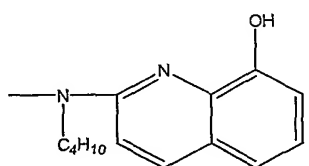
In a further aspect, the invention provides a pharmaceutical or veterinary composition comprising the compound of formula I as defined above, together with a pharmaceutically or veterinarily acceptable carrier.

Some of the compounds of formula I are novel *per se*.

Accordingly, the invention provides a compound of formula II which is a compound of formula I with the provisos that:

(a) when  $R^1$  and  $R^3$  to  $R^5$ ,  $R$  and  $R'$  are H, then  $R^2$  is not H, methyl, ,

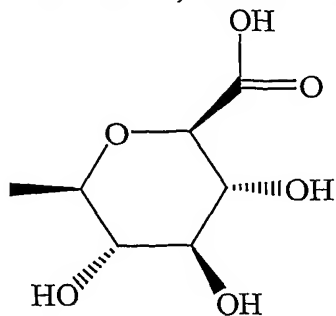
$\text{CO}_2\text{H}$ ,  $\text{CN}$ ,  $\text{CONCH}_2\text{CO}_2\text{H}$ ,  $\text{COCH}_3$ ,  $\text{CH}_2\text{NH}_2$ ,  $\text{CNOH}$ , (pyrid-2-yl), 2-hydroxyphenyl,  $\text{CHNHNH}_2$ ,  $\text{NH}$ -(pyrid-2-yl),



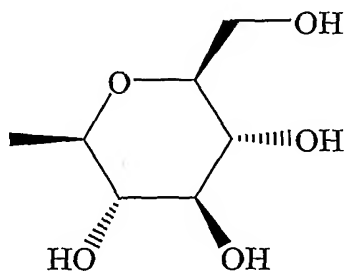
or  $\text{SO}_3\text{H}$ ;

(b) when  $R^1$  and  $R^4$  to  $R^7$  are H, then  $R^3$  is not OH and  $R^2$  is not  $\text{CO}_2\text{H}$ ;

(c) when  $R^1$  to  $R^3$ ,  $R^6$  and  $R^7$  are H, then (i) when  $R^5$  is I,  $R^4$  is not Cl,  $\text{SO}_3\text{H}$  or I; (ii) when  $R^5$  is H,  $R^4$  is not  $\text{SO}_3\text{H}$ ,  $\text{NH}_2$  or Cl; (iii)  $R^4$  and  $R^5$  are both not Cl, Br or  $\text{CH}_3$ ; and (iv) when  $R^2$  to  $R^7$  are H, then  $R^1$  is not



or

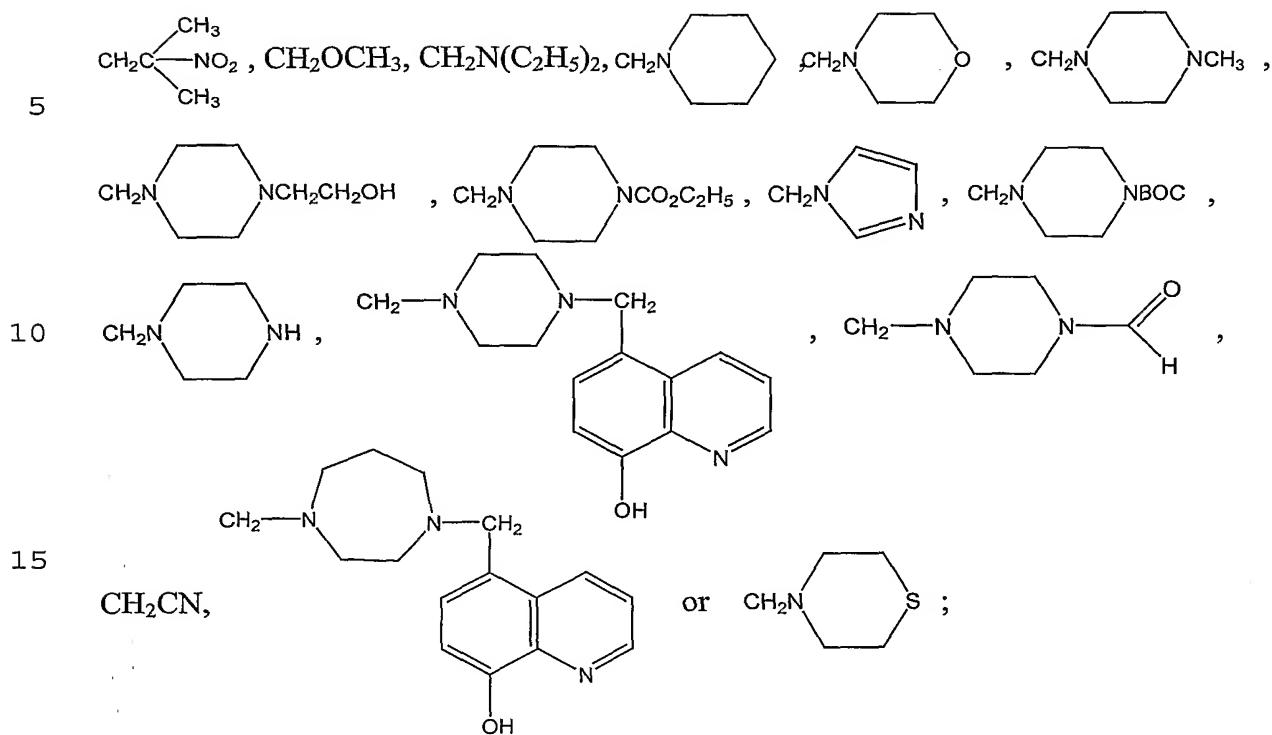


(d) when  $R^1$  to  $R^3$ ,  $R$  and  $R'$  are H, then  $R^4$  is not Cl or I and  $R^5$  is not I;



- 20 -

(e) when  $R^1$  to  $R^3$ ,  $R$ ,  $R'$  and  $R^5$  are H, then  $R^4$  is not CHO,  $\text{CHOHCCl}_3$ ,



(f) when  $R^1$ ,  $R^5$ ,  $R'$  and  $R$  are H,  $R^2$  is CO<sub>2</sub>H and  $R^3$  is OH, then  $R^4$  is not bromo, methyl, phenyl, hydroxymethyl or trifluoromethyl;

(g) when  $R^1$ ,  $R^4$ ,  $R^5$  and  $R$  are H,  $R^2$  is CO<sub>2</sub>H and  $R^3$  is OH, then  $R'$  is not bromo, iodo, methyl, phenyl, propyl, phenethyl, heptyl, benzylaminomethyl, 3-aminopropyl, 3-hydroxypropyl, 4-methoxyphenyl, 3-methylphenyl, 4-chlorophenyl, 3,4-dichlorophenyl, pyridin-3-yl, furo-2-yl, 4-chlorophenyl, 3,4-dichlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-methoxyphenyl or piperidin-2-yl;

(h) when  $R^1$ ,  $R^4$ ,  $R$  and  $R'$  are H,  $R^2$  is CO<sub>2</sub>H and  $R^3$  is OH, then  $R^5$  is not phenyl, 3-hydroxypropyl, phenethyl, 3-aminoprop-1-yl or hex-1-yl;

(i) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is CO<sub>2</sub>H and  $R^3$  is OH, then  $R$  is not N-morpholinomethyl, bromo or phenyl;

(j) when  $R^1$ ,  $R$  and  $R'$  are H,  $R^2$  is CO<sub>2</sub>H and  $R^3$  is OH, then  $R^4$  and  $R^5$  are not chloro;

(k) when  $R^1$ ,  $R^4$  and  $R'$  are H,  $R^2$  is CO<sub>2</sub>H and  $R^3$  is OH, then  $R$  and  $R^5$  are not bromo;

(l) when  $R^1$ ,  $R$ ,  $R'$  and  $R^5$  are H,  $R^2$  is CO<sub>2</sub>Me and  $R^3$  is OH, then  $R^4$  is not hydroxymethyl, phenyl or bromo;

- 21 -

(m) when  $R^1$ ,  $R$ ,  $R^4$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R'$  is not 4-methoxyphenyl, 3-methylphenyl, pyridin-3-yl, benzyl, bromo, 4-chlorophenyl, 3,4-dichlorophenyl, 3-hydroxypropyl or 3-tert-butoxycarbonylaminopropyl;

(n) when  $R^1$ ,  $R$ ,  $R^4$  and  $R'$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^5$  is not phenyl or 3-tert-butoxycarbonylaminoprop-1-yl;

(o) when  $R^1$ ,  $R$ ,  $R^4$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{Me}$ , then  $R^3$  is not toluene-4-sulphonylamino, piperazin-1-yl, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, 3-benzoylaminoprop-1-yl, phenethyl, 3-tert-butoxycarbonylaminopropyl, 3-hydroxypropyl, amino or hex-1-yl;

(p) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Na}$  and  $R^3$  is OH, then  $R$  is not phenyl;

(q) when  $R^1$ ,  $R$ ,  $R^4$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^3$  is not phenyl, 4-chlorophenyl, phenethyl, 3-hydroxypropyl, amino, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, toluene-4-sulphonylamino, 3-benzoylaminoprop-1-yl, aminoprop-1-ynyl, hex-1-yl, 5-hydroxypent-1-yl, piperazin-1-yl or 2-(1-piperazinyl)pyrimidinyl;

(r) when  $R^1$ ,  $R'$  and  $R$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^4$  and  $R^5$  are not chloro;

(s) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R$  is not bromo;

(t) when  $R^1$ ,  $R'$  and  $R^4$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R$  and  $R^5$  are not bromo;

(u) when  $R^1$ ,  $R$ ,  $R^3$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^4$  is not phenyl, 4-chlorophenyl or phenylethyl;

(v) when  $R^1$ ,  $R^5$ ,  $R'$ ,  $R^4$ ,  $R^3$  and  $R$  are H, then  $R^2$  is not 2H-tetrazol-1-yl;

(w) when  $R^1$ ,  $R^5$ ,  $R^4$  and  $R$  are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R'$  is not 3,5-dichlorophenyl or 4-fluorophenyl; and

(x) at least one of  $R^1$  to  $R^5$ ,  $R$  and  $R'$  is other than H;

(y) when  $R^1$  to  $R^3$ ,  $R^5$ ,  $R'$  and  $R$  are H, then  $R^4$  is not chloro,  $\text{NH}_2$  or  $\text{SO}_3\text{H}$ ; and

(z) when  $R^1$ ,  $R^3$  to  $R^5$ ,  $R$  and  $R'$  are H, then  $R^2$  is not  $\text{CH}_3$ .

The compound of formula II defined above may be prepared using the processes described in detail hereinafter.

### **DETAILED DESCRIPTION OF THE INVENTION**

For the purposes of this specification it will be clearly understood that the word "comprising" means "including but not limited to", and that the word "comprises" has a corresponding meaning.

The term "alkyl" used either alone or in compound words such as "optionally substituted alkyl" "haloalkyl" or "alkyl acyl" refers to straight chain, branched chain or cyclic hydrocarbon groups having from 1 to 10 carbon atoms, preferably 1 to 6 carbon atoms, more

preferably 1 to 4 carbon atoms. Illustrative of such alkyl groups are methyl, ethyl, propyl, isopropyl, butyl, isobutyl, sec-butyl, tert-butyl, pentyl, neopentyl, hexyl, cyclopropyl, cyclobutyl, cyclopentyl or cyclohexyl.

The term "alkenyl" used either alone or in compound words such as "optionally substituted alkenyl", denotes linear, branched or mono- or poly-cyclic radicals having at least one carbon-carbon double bond of 2 to 20 carbon atoms, preferably 2 to 14 carbon atoms, more preferably 2 to 6 carbon atoms. Examples of alkenyl radicals include allyl, ethenyl, propenyl, butenyl, iso-butenyl, 3-methyl-2-butenyl, 1-pentenyl, cyclopentenyl, 1-methyl-cyclopentenyl, 1-hexenyl, 3-hexenyl, cyclohexenyl, 1-heptenyl, 3-heptenyl, 1-octenyl, cyclooctenyl, 1-nonenyl, 2-nonenyl, 3-nonenyl, 1-decenyl, 3-decenyl, 1,3-butadienyl, 1,4-pentadienyl, 1,3-cyclopentadienyl, 1,3-hexadienyl, 1,4-hexadienyl, 1,3-cyclohexadienyl, 1,4-cyclohexadienyl, 1,3-cycloheptadienyl, 1,3,5-cycloheptatrienyl, 1,3,5,7-cycloocta-tetraenyl and the like.

The term "acyl" used either alone or in compound words such as "optionally substituted acyl", "aryl acyl" or "alkyl acyl", denotes carbamoyl, aliphatic acyl group, acyl group containing an aromatic ring which is referred to as aromatic acyl or an acyl group containing a heterocyclic ring which is referred to as heterocyclic acyl having 1 to 20 carbon atoms, preferably 1 to 14 carbon atoms. Examples of acyl include carbamoyl; straight chain or branched alkanoyl, such as, formyl, acetyl, propanoyl, butanoyl, 2-methylpropanoyl, pentanoyl, 2,2-dimethylpropanoyl, hexanoyl, heptanoyl, octanoyl, nonanoyl, decanoyl, undecanoyl, dodecanoyl, tridecanoyl, tetradecanoyl, pentadecanoyl, hexadecanoyl, heptadecanoyl, octadecanoyl, nonadecanoyl or icosanoyl; alkoxycarbonyl, such as, methoxycarbonyl, ethoxycarbonyl, t-butoxycarbonyl, t-pentyloxycarbonyl or heptyloxycarbonyl; cycloalkylcarbonyl, such as, cyclopropylcarbonyl, cyclobutylcarbonyl, cyclopentyl, carbonyl or cyclohexylcarbonyl; alkylsulfonyl, such as, methylsulfonyl or ethylsulfonyl; alkoxysulfonyl, such as, methoxysulfonyl or ethoxysulfonyl; aroyl, such as, benzoyl, toluoyl or naphthoyl; aralkanoyl, such as, phenylalkanoyl, for example, phenylacetyl, phenylpropanoyl, phenylbutanoyl, phenylisobutyl, phenylpentanoyl or phenylhexanoyl or naphthylalkanoyl, for example, naphthylacetyl, naphthylpropanoyl or naphthylbutanoyl; aralkenoyl, such as, phenylalkenoyl, for example, phenylpropenoyl, phenylbutenoyl, phenylmethacrylyl, phenylpentenoyl or phenylhexenoyl or naphthylalkenoyl, for example, naphthylpropenoyl, naphthylbutenoyl or naphthylpentenoyl; aralkoxycarbonyl, such as, phenylalkoxycarbonyl, for example, benzyloxycarbonyl; aryloxycarbonyl, such as, phenoxycarbonyl or naphthyloxycarbonyl, aryloxyalkanoyl, such as, phenoxyacetyl or phenoxypropionyl, arylcarbamoyl, such as, phenylcarbamoyl; arylthiocarbamoyl, such as, phenylthiocarbamoyl, arylglyoxyloyl, such as, phenylglyoxyloyl or naphthylglyoxyloyl; arylsulfonyl, such as, phenylsulfonyl or naphthylsulfonyl; heterocycliccarbonyl; heterocyclicalkanoyl, such as,

thienylacetyl, thienylpropanoyl, thienylbutanoyl, thienylpentanoyl, thienylhexanoyl, thiazolylacetyl, thiadiazolylacetyl or tetrazolylacetyl, heterocyclicalkenoyl, such as, heterocyclicpropenoyl, heterocyclicbutenoyl, heterocyclicpentenoyl or heterocyclichexenoyl; or heterocyclicglyoxyloyl, such as, thiazolylglyoxyloyl or thienylglyoxyloyl.

5 The term "heterocyclyl group" used either alone or in compound words such as "optionally substituted heterocyclyl" refers to monocyclic or polycyclic heterocyclic groups containing at least one heteroatom atom selected from nitrogen, sulphur and oxygen.

Suitable heterocyclic groups include N-containing heterocyclic groups, such as, unsaturated 3 to 6-membered heteromonocyclic groups containing 1 to 4 nitrogen atoms, for  
10 example, pyrrolyl, pyrrolinyl, imidazolyl, pyrazolyl, pyridyl, pyrimidinyl, pyrazinyl, pyridazinyl, triazolyl or tetrazolyl;

saturated 3 to 6-membered heteromonocyclic groups containing 1 to 4 nitrogen atoms, such as, pyrrolidinyl, imidazolidinyl, piperidino or piperazinyl;

unsaturated condensed heterocyclic groups containing 1 to 5 nitrogen atoms, such  
15 as indolyl, isoindolyl, indolizinyl, benzimidazolyl, quinolyl, isoquinolyl, indazolyl, benzotriazolyl or tetrazolopyridazinyl;

unsaturated 3 to 6-membered heteromonocyclic group containing an oxygen atom, such as, pyranyl or furyl;

unsaturated 3 to 6-membered heteromonocyclic group containing 1 to 2 sulphur  
20 atoms, such as, thienyl;

unsaturated 3 to 6-membered heteromonocyclic group containing 1 to 2 oxygen atoms and 1 to 3 nitrogen atoms, such as, oxazolyl, isoxazolyl or oxadiazolyl;

saturated 3 to 6-membered heteromonocyclic group containing 1 to 2 oxygen atoms and 1 to 3 nitrogen atoms, such as, morpholinyl;

25 unsaturated condensed heterocyclic group containing 1 to 2 oxygen atoms and 1 to 3 nitrogen atoms, such as, benzoxazolyl or benzoxadiazolyl;

unsaturated 3 to 6-membered heteromonocyclic group containing 1 to 2 sulphur atoms and 1 to 3 nitrogen atoms, such as, thiazolyl or thiadiazolyl;

saturated 3 to 6-membered heteromonocyclic group containing 1 to 2 sulphur  
30 atoms and 1 to 3 nitrogen atoms, such as, thiazolidinyl; and

unsaturated condensed heterocyclic group containing 1 to 2 sulphur atoms and 1 to 3 nitrogen atoms, such as, benzothiazolyl or benzothiadiazolyl.

Preferably the heterocyclyl is as an unsaturated 5- or 6-membered heteromonocyclic group containing 1 or 3 nitrogen atoms such as imidazolyl, triazolyl,  
35 pyrazolyl or pyridinyl; an unsaturated condensed heterocyclic group such as quinolyl or benzothiadiazolyl; an unsaturated 5-membered heteromonocyclyl group containing 1 to 2 sulphur atoms such as thiophenyl; or an unsaturated 5- or 6-membered heteromonocyclyl group

containing 1 to 2 sulphur atoms and 1 to 2 nitrogen atoms such as thiazolyl.

The term "aryl" used either alone or in compound words such as "optionally substituted aryl" or "aryl acyl" denotes a carbocyclic aromatic system containing one, two or three rings wherein such rings may be attached together in a pendent manner or may be fused.

5 The term "aryl embraces aromatic radicals such as phenyl, naphthyl, tetrahydronaphthyl, indane and biphenyl. Preferably, the aryl is a 5- or 6-membered aryl such as phenyl.

The term "halo" refers to fluorine, chlorine, bromine or iodine.

10 The term "optionally substituted thio" refers to optional substituents such as radicals containing a linear or branched alkyl of 1 to 10 carbon atoms, preferably 1 to 6 carbon atoms, more preferably 1 to 4 carbon atoms, attached to a divalent sulphur atom. Examples of alkylthio radicals include methylthio, ethylthio, propylthio, butylthio and hexylthio.

15 The term "optionally substituted sulfinyl" refers to optional substituents such as radicals containing a linear or branched alkyl radical, of 1 to 10 carbon atoms, preferably 1 to 6 carbon atoms, more preferably 1 to 4 carbon atoms, attached to a divalent -S(=O)- radical. Examples include methylsulfinyl, ethylsulfinyl, butylsulfinyl and hexylsulfinyl.

The term "optionally substituted sulfonyl" refers to optional substituents such as radicals containing a linear or branched alkyl radical of 1 to 10 carbon atoms, preferably 1 to 6 carbon atoms, more preferably 1 to 4 carbon atoms, attached to a divalent -SO<sub>2</sub>- radical. Examples include methylsulfonyl, ethylsulfonyl and propylsulfonyl.

20 The term "alkoxy" refers to straight chain or branched oxy-containing radicals preferably each having alkyl portions of 1 to about 6 carbon atoms. Examples of alkoxy include methoxy, ethoxy, propoxy, butoxy and *tert*-butoxy.

25 The term "optionally substituted" refers to a group which may or may not be further substituted with one or more groups selected from alkyl, alkenyl, alkynyl, aryl, aldehyde, halo, haloalkyl, haloalkenyl, haloalkynyl, haloaryl, hydroxy, alkoxy, alkenyloxy, aryloxy, benzyloxy, haloalkoxy, haloalkenyloxy, haloaryloxy, nitro, nitroalkyl, nitroalkenyl, nitroalkynyl, nitroaryl, nitroheterocyclyl, amino, alkylamino, dialkylamino, alkenylamino, alkynylamino, arylamino, diarylamino, benzylamino, dibenzylamino, acyl, alkenylacyl, alkynylacyl, arylacyl, acylamino, diacylamino, acyloxy, alkylsulphonyloxy, arylsulphenyloxy, heterocyclyl, 30 heterocycloxy, heterocyclamino, haloheterocyclyl, alkylsulphenyl, arylsulphenyl, carboalkoxy, carboaryloxy, mercapto, alkylthio, benzylthio, acylthio, cyano, phosphorus-containing groups and the like. Preferably, the optional substituent is C<sub>1-6</sub> alkyl, more preferably C<sub>1-4</sub> alkyl; CF<sub>3</sub>; fluorine; chlorine; iodine; cyano; C<sub>1-6</sub> alkoxy, more preferably C<sub>1-4</sub> alkoxy; aryl; heteroaryl; amino; or alkylamino.

35 The term "antioxidant" is used herein in its broadest sense and refers to a group which has the capacity to react with a reactive oxygen species such as a hydroxyl radical in such a way as to generate a non toxic product. Examples include phenols such as 3,4,5-

trimethoxyphenyl and 3,5-di-*t*-butyl-4-hydroxyphenyl, indole amines such as melatonin and flavonoids. Other examples may be found the literature (Wright, 2001; Karbownik, 2001; Gilgun-Sherki, 2001).

5 The term "targeting moiety" is used herein in its broadest sense and refers to a group which will facilitate the brain delivery of the drug by way of an active transport mechanism. The targeting moiety is recognised by specific transporter enzymes integral to the blood brain barrier and these transporter enzymes then provide a mechanism for the drug to be imported into the brain. Typically such transporters are sodium dependant and their substrates contain carboxylic acids such as ascorbic acid and L-glutamate. Conjugation of the targeting  
10 moiety to the drug is enacted so as to retain the acid moiety. Examples can be found in the literature (Manfredini, 2002, Tamia, 1999).

The term "metal chelator" is used herein in its broadest sense and refers to compounds having two or more donor atoms capable of binding to a metal atom, preferably Cu, Zn or Fe wherein at least two of the donor atoms are capable of simultaneous binding to the  
15 metal atom and the resultant metal complex has a thermodynamic stability greater than or equal to that of the metal ion; biological ligand complex. The said use of metal chelators as treatments for neurological disorders in accordance with the present invention is distinguished from the previously known concept of "chelation therapy". "Chelation therapy" is a term associated clinically with the removal of bulk metals such as in Wilson's disease,  $\beta$ -thalassemia and  
20 haemochromatosis. The break down in metal homeostasis in these diseases can be described as a catastrophic event much like a dam bursting leading to overwhelming flooding of the problem metal. The mechanism of action of such compounds is that bulk metal is sequestered by the chelators and cleared by excretion. By way of comparison the breakdown in metal homeostasis associated with neurological conditions of the present invention is more akin to the constant drip  
25 of a leaky tap, which if left long enough will eventually cause local damage over a long period of time. The intention of the "metal chelator" of the present invention is to disrupt an abnormal metal-protein interaction to achieve a subtle repartitioning of metals and a subsequent normalization of metal distribution with the aim that once the toxic cycle is short-circuited, endogenous clearance processes can cope more effectively with the accumulating  
30 amyloidogenic protein.

The salts of the compound of Formula I or II are preferably pharmaceutically acceptable, but it will be appreciated that non-pharmaceutically acceptable salts also fall within the scope of the present invention, since these are useful as intermediates in the preparation of pharmaceutically acceptable salts. Examples of pharmaceutically acceptable salts include salts  
35 of pharmaceutically acceptable cations such as sodium, potassium, lithium, calcium, magnesium, ammonium and alkylammonium; acid addition salts of pharmaceutically acceptable inorganic acids such as hydrochloric, orthophosphoric, sulphuric, phosphoric, nitric, carbonic,

boric, sulfamic and hydrobromic acids; or salts of pharmaceutically acceptable organic acids such as acetic, propionic, butyric, tartaric, maleic, hydroxymaleic, fumaric, citric, lactic, mucic, gluconic, benzoic, succinic, oxalic, phenylacetic, methanesulphonic, trihalomethanesulphonic, toluenesulphonic, benzenesulphonic, salicylic, sulphanilic, aspartic, glutamic, edetic, stearic, palmitic, oleic, lauric, pantothenic, tannic, ascorbic and valeric acids.

In addition, some of the compounds of the present invention may form solvates with water or common organic solvents. Such solvates are encompassed within the scope of the invention.

By "pharmaceutically acceptable derivative" is meant any pharmaceutically acceptable salt, hydrate, ester, amide, active metabolite, analogue, residue or any other compound which is not biologically or otherwise undesirable and induces the desired pharmacological and/or physiological effect.

The term "pro-drug" is used herein in its broadest sense to include those compounds which are converted *in vivo* to compounds of Formula I or II. Use of the pro-drug strategy optimises the delivery of the drug to its site of action, for example, the brain. In one aspect, the term refers to the presence of a C<sub>1-6</sub> alkyl or arylester moiety which is designed to resist hydrolysis until the pro-drug has crossed the BBB, where esterases on the inner surface of the BBB act to hydrolyse the ester and liberate the C8 hydroxyl of the compounds of formula I or II. In a second aspect, the term refers to the attachment at C2 of the 8-hydroxyquinoline core of an antioxidant group, in particular the 3,4,5-trimethoxyphenyl moiety or derivatives thereof. Exposure to the prooxidative environment of the brain will then lead to hydroxylation of the 3,4,5-trimethoxyphenyl group to give a 2-hydroxy-3,4,5-trimethoxyphenyl substituent, the hydroxyl group of which acts to enhance the chelation properties of the compounds of formula I or II.

The term "tautomer" is used herein in its broadest sense to include compounds of Formula I or II which are capable of existing in a state of equilibrium between two isomeric forms. Such compounds may differ in the bond connecting two atoms or groups and the position of these atoms or groups in the compound.

The term "isomer" is used herein in its broadest sense and includes structural, geometric and stereo isomers. As the compound of Formula I or II may have one or more chiral centres, it is capable of existing in enantiomeric forms.

The compositions of the present invention comprise at least one compound of Formula I or II together with one or more pharmaceutically acceptable carriers and optionally other therapeutic agents. Each carrier, diluent, adjuvant and/or excipient must be pharmaceutically "acceptable" in the sense of being compatible with the other ingredients of the composition and not injurious to the subject. Compositions include those suitable for oral, rectal, nasal, topical (including buccal and sublingual), vaginal or parenteral (including

subcutaneous, intramuscular, intravenous and intradermal) administration. The compositions may conveniently be presented in unit dosage form and may be prepared by methods well known in the art of pharmacy. Such methods include the step of bringing into association the active ingredient with the carrier which constitutes one or more accessory ingredients. In  
5 general, the compositions are prepared by uniformly and intimately bringing into association the active ingredient with liquid carriers, diluents, adjuvants and/or excipients or finely divided solid carriers or both, and then if necessary shaping the product.

The term "neurological condition" is used herein in its broadest sense and refers to conditions in which various cell types of the nervous system are degenerated and/or have been  
10 damaged as a result of neurodegenerative disorders or injuries or exposures. In particular, compounds of formula I or II can be used for the treatment of resulting conditions, in which damage to cells of the nervous system has occurred due to surgical interventions, infections, exposure to toxic agents, tumours, nutritional deficits or metabolic disorders. In addition, compounds of the formula I or II can be used for the treatment of the sequelae of  
15 neurodegenerative disorders, such as Alzheimer's disease, Parkinson's disease, multiple sclerosis, amyotrophic lateral sclerosis, epilepsy, drug abuse or drug addiction (alcohol, cocaine, heroin, amphetamine or the like), spinal cord disorders and/or injuries, dystrophy or degeneration of the neural retina (retinopathies) and peripheral neuropathies, such as diabetic neuropathy and/or the peripheral neuropathies induced by toxins

20 The term "neurodegenerative disorder" as used herein refers to an abnormality in which neuronal integrity is threatened. Neuronal integrity can be threatened when neuronal cells display decreased survival or when the neurons can no longer propagate a signal.

Neurological disorders that can be treated with the compounds of the present invention include acute intermittent porphyria; adriamycin-induced cardiomyopathy; AIDS dementia and HIV-1  
25 induced neurotoxicity; Alzheimer's disease; amyotrophic lateral sclerosis; atherosclerosis; cataract; cerebral ischaemia; cerebral palsy; cerebral tumour; chemotherapy-induced organ damage; cisplatin-induced nephrotoxicity; coronary artery bypass surgery; Creutzfeldt-Jacob disease and its new variant associated with "mad cow" disease; diabetic neuropathy; Down's syndrome; drowning; epilepsy and post-traumatic epilepsy; Friedrich's ataxia; frontotemporal  
30 dementia; glaucoma; glomerulopathy; haemochromatosis; haemodialysis; haemolysis; haemolytic uraemic syndrome (Weil's disease); haemorrhagic stroke; Hallerboden-Spatz disease; heart attack and reperfusion injury; Huntington's disease; Lewy body disease; intermittent claudication; ischaemic stroke; inflammatory bowel disease; macular degeneration; malaria; methanol-induced toxicity; meningitis (aseptic and tuberculous); motor neuron disease;  
35 multiple sclerosis; multiple system atrophy; myocardial ischaemia; neoplasia; Parkinson's disease; peri-natal asphyxia; Pick's disease; progressive supra-nuclear palsy; radiotherapy-induced organ damage; restenosis after angioplasty; retinopathy; senile dementia; schizophrenia;



- 28 -

sepsis; septic shock; spongiform encephalopathies; subharrachnoid haemorrhage/cerebral vasospasm; subdural haematoma; surgical trauma, including neurosurgery; thalassemia; transient ischaemic attack (TIA); traumatic brain injury (TBI); traumatic spinal injury; transplantation; vascular dementia; viral meningitis; and viral encephalitis.

5                    Additionally, compounds of the present invention may also be used to potentiate the effects of other treatments, for example to potentiate the neuroprotective effects of brain derived nerve growth factor.

                  The invention is particularly directed to conditions which induce oxidative damage of the central nervous system, including acute and chronic neurological disorders such as traumatic brain injury, spinal cord injury, cerebral ischaemia, stroke (ischaemic and haemorrhagic), subharrachnoid haemorrhage/cerebral vasospasm, cerebral tumour, Alzheimer's disease, Creutzfeldt-Jacob disease and its new variant associated with "mad cow" disease, Huntington's disease, Parkinson's disease, Friedrich's ataxia, cataract, dementia with Lewy body formation, multiple system atrophy, Hallerboden-Spatz disease, diffuse Lewy body disease, amyotrophic lateral sclerosis, motor neuron disease, multiple sclerosis, fatal familial insomnia, Gertsman Straussler Sheinker disease and hereditary cerebral haemorrhage with amyloidosis-Dutch type.

                  More particularly, the invention is directed to the treatment of neurodegenerative amyloidosis. The neurodegenerative amyloidosis may be any condition in which neurological damage results from an abnormal interaction between a biological ligand such as a protein and redox active metal ions promoting reactive oxygen species formation, radicalization and/or the deposition of amyloid. The amyloid may be formed from a variety of protein or polypeptide precursors, including but not limited to A $\beta$ , synuclein, huntingtin, SOD, amyloid precursor protein (APP) or prion protein.

25                    Thus the condition is preferably selected from the group consisting of sporadic or familial Alzheimer's disease, amyotrophic lateral sclerosis, motor neuron disease, cataract, Parkinson's disease, Creutzfeldt-Jacob disease and its new variant associated with "mad cow" disease, Huntington's disease, dementia with Lewy body formation, multiple system atrophy, Hallerboden-Spatz disease, and diffuse Lewy body disease.

30                    More preferably the neurodegenerative amyloidosis is an A $\beta$ -related condition, such as Alzheimer's disease or dementia associated with Down syndrome or one of several forms of autosomal dominant forms of familial Alzheimer's disease (reviewed in St George-Hyslop, 2000). Most preferably the A $\beta$ -related condition is Alzheimer's disease.

                  In a particularly preferred embodiment of all aspects of the invention, prior to treatment the subject has moderately or severely impaired cognitive function, as assessed by the Alzheimer's Disease Assessment Scale (ADAS)-cog test, for example an ADAS-cog value of 25 or greater.

- 29 -

In addition to slowing or arresting the cognitive decline of a subject, the methods and compounds of the invention may also be suitable for use in the treatment or prevention of neurodegenerative conditions, or may be suitable for use in alleviating the symptoms of neurodegenerative conditions. The compounds may be able to provide at least a partial reversal of the cognitive decline experienced by patients. If administered to a subject who has been identified as having an increased risk of a predisposition to neurodegenerative conditions, or to a subject exhibiting pre-clinical manifestations of cognitive decline, such as Mild Cognitive Impairment or minimal progressive cognitive impairment, these methods and compounds may be able to prevent or delay the onset of clinical symptoms, in addition to the effect of slowing or reducing the rate of cognitive decline.

Currently Alzheimer's disease and other dementias are usually not diagnosed until one or more warning symptoms have appeared. These symptoms constitute a syndrome known as Mild Cognitive Impairment (MCI), which was recently defined by the American Academy of Neurology, and refers to the clinical state of individuals who have memory impairment, but who are otherwise functioning well, and who do not meet clinical criteria for dementia (Petersen et al., 2001). Symptoms of MCI include:

- (1) Memory loss which affects job skills
- (2) Difficulty performing familiar tasks
- (3) Problems with language
- (4) Disorientation as to time and place (getting lost)
- (5) Poor or decreased judgement
- (6) Problems with abstract thinking
- (7) Misplacing things
- (8) Changes in mood or behaviour
- (9) Changes in personality
- (10) Loss of initiative

MCI can be detected using conventional cognitive screening tests, such as the Mini Mental Status Exam, and the Memory Impairment Screen, and neuropsychological screening batteries.

The term "subject" as used herein refers to any animal having a disease or condition which requires treatment with a pharmaceutically-active agent. The subject may be a mammal, preferably a human, or may be a domestic or companion animal. While it is particularly contemplated that the compounds of the invention are suitable for use in medical treatment of humans, it is also applicable to veterinary treatment, including treatment of companion animals such as dogs and cats, and domestic animals such as horses, ponies, donkeys, mules, llama, alpaca, pigs, cattle and sheep, or zoo animals such as primates, felids, canids, bovids, and ungulates.

- 30 -

Suitable mammals include members of the Orders Primates, Rodentia, Lagomorpha, Cetacea, Carnivora, Perissodactyla and Artiodactyla. Members of the Orders Perissodactyla and Artiodactyla are particularly preferred because of their similar biology and economic importance.

5 For example, Artiodactyla comprises approximately 150 living species distributed through nine families: pigs (Suidae), peccaries (Tayassuidae), hippopotamuses (Hippopotamidae), camels (Camelidae), chevrotains (Tragulidae), giraffes and okapi (Giraffidae), deer (Cervidae), pronghorn (Antilocapridae), and cattle, sheep, goats and antelope (Bovidae). Many of these animals are used as feed animals in various countries. More  
10 importantly, many of the economically important animals such as goats, sheep, cattle and pigs have very similar biology and share high degrees of genomic homology.

The Order Perissodactyla comprises horses and donkeys, which are both economically important and closely related. Indeed, it is well known that horses and donkeys interbreed.

15 As used herein, the term "therapeutically effective amount" is meant an amount of a compound of the present invention effective to yield a desired therapeutic response, for example, to prevent or treat a neurological condition.

The specific "therapeutically effective amount" will, obviously, vary with such factors as the particular condition being treated, the physical condition of the subject, the type of  
20 subject being treated, the duration of the treatment, the nature of concurrent therapy (if any), and the specific formulations employed and the structure of the compound or its derivatives.

The compounds of the present invention may additionally be combined with other medicaments to provide an operative combination. It is intended to include any chemically compatible combination of pharmaceutically-active agents, as long as the  
25 combination does not eliminate the activity of the compound of formula I or II. It will be appreciated that the compound of the invention and the other medicament may be administered separately, sequentially or simultaneously.

Other medicaments may include, for example, where the condition is a  $\beta$ -amyloid related condition, particularly Alzheimer's disease, an inhibitor of the acetylcholinesterase  
30 active site, for example phenserine, galantamine, or tacrine; an antioxidant, such as Vitamin E or Vitamin C; an anti-inflammatory agent such as flurbiprofen or ibuprofen optionally modified to release nitric oxide (for example NCX-2216, produced by NicOx) or an oestrogenic agent such as 17- $\beta$ -oestradiol.

Methods and pharmaceutical carriers for preparation of pharmaceutical  
35 compositions are well known in the art, as set out in textbooks such as Remington's Pharmaceutical Sciences, 20th Edition, Williams & Wilkins, Pennsylvania, USA.

- 31 -

As used herein, a "pharmaceutical carrier" is a pharmaceutically acceptable solvent, suspending agent or vehicle for delivering the compound of formula I or II to the subject. The carrier may be liquid or solid and is selected with the planned manner of administration in mind. Each carrier must be pharmaceutically "acceptable" in the sense of being compatible with other ingredients of the composition and non injurious to the subject.

The compound of formula I or II may be administered orally, topically, or parenterally in dosage unit formulations containing conventional non-toxic pharmaceutically acceptable carriers, adjuvants, and vehicles. The term parenteral as used herein includes subcutaneous injections, aerosol for administration to lungs or nasal cavity, intravenous, intramuscular, intrathecal, intracranial, injection or infusion techniques.

The present invention also provides suitable topical, oral, and parenteral pharmaceutical formulations for use in the novel methods of treatment of the present invention. The compounds of the present invention may be administered orally as tablets, aqueous or oily suspensions, lozenges, troches, powders, granules, emulsions, capsules, syrups or elixirs. The composition for oral use may contain one or more agents selected from the group of sweetening agents, flavouring agents, colouring agents and preserving agents in order to produce pharmaceutically elegant and palatable preparations. Suitable sweeteners include sucrose, lactose, glucose, aspartame or saccharin. Suitable disintegrating agents include corn starch, methylcellulose, polyvinylpyrrolidone, xanthan gum, bentonite, alginic acid or agar. Suitable flavouring agents include peppermint oil, oil of wintergreen, cherry, orange or raspberry flavouring. Suitable preservatives include sodium benzoate, vitamin E, alphatocopherol, ascorbic acid, methyl paraben, propyl paraben or sodium bisulphite. Suitable lubricants include magnesium stearate, stearic acid, sodium oleate, sodium chloride or talc. Suitable time delay agents include glyceryl monostearate or glyceryl distearate. The tablets contain the active ingredient in admixture with non-toxic pharmaceutically acceptable excipients which are suitable for the manufacture of tablets.

These excipients may be, for example, (1) inert diluents, such as calcium carbonate, lactose, calcium phosphate or sodium phosphate; (2) granulating and disintegrating agents, such as corn starch or alginic acid; (3) binding agents, such as starch, gelatin or acacia; and (4) lubricating agents, such as magnesium stearate, stearic acid or talc. These tablets may be uncoated or coated by known techniques to delay disintegration and absorption in the gastrointestinal tract and thereby provide a sustained action over a longer period. For example, a time delay material such as glyceryl monostearate or glyceryl distearate may be employed. Coating may also be performed using techniques described in the U.S. Pat. Nos. 4,256,108; 4,160,452; and 4,265,874 to form osmotic therapeutic tablets for control release.

The compound of formula I or II as well as the pharmaceutically-active agent useful in the method of the invention can be administered, for *in vivo* application, parenterally

by injection or by gradual perfusion over time independently or together. Administration may be intravenously, intraarterial, intraperitoneally, intramuscularly, subcutaneously, intracavity, transdermally or infusion by, for example, osmotic pump. For *in vitro* studies the agents may be added or dissolved in an appropriate biologically acceptable buffer and added to a cell or tissue.

5 Preparations for parenteral administration include sterile aqueous or non-aqueous solutions, suspensions, and emulsions. Examples of non-aqueous solvents are propylene glycol, polyethylene glycol, vegetable oils such as olive oil, and injectable organic esters such as ethyl oleate. Aqueous carriers include water, alcoholic/aqueous solutions, emulsions or suspensions, including saline and buffered media. Parenteral vehicles include sodium chloride solution, 10 Ringer's dextrose, dextrose and sodium chloride, lactated Ringer's intravenous vehicles include fluid and nutrient replenishers, electrolyte replenishers (such as those based on Ringer's dextrose), and the like. Preservatives and other additives may also be present such as, for example, anti-microbials, anti-oxidants, chelating agents, growth factors and inert gases and the like.

15 Generally, the terms "treating", "treatment" and the like are used herein to mean affecting a subject, tissue or cell to obtain a desired pharmacologic and/or physiologic effect. The effect may be prophylactic in terms of completely or partially preventing a disease or sign or symptom thereof, and/or may be therapeutic in terms of a partial or complete cure of a disease. "Treating" as used herein covers any treatment of, amelioration of, or prevention of 20 disease in a vertebrate, a mammal, particularly a human, and includes: (a) preventing the disease from occurring in a subject that may be predisposed to the disease, but has not yet been diagnosed as having it; (b) inhibiting the disease, i.e., arresting its development; or (c) relieving or ameliorating the effects of the disease, i.e., cause regression of the effects of the disease.

The invention includes various pharmaceutical compositions useful for 25 ameliorating disease. The pharmaceutical compositions according to one embodiment of the invention are prepared by bringing a compound of formula I or II, analogues, derivatives or salts thereof, or combinations of compound of formula I or II and one or more pharmaceutically-active agents into a form suitable for administration to a subject using carriers, excipients and additives or auxiliaries. Frequently used carriers or auxiliaries include magnesium carbonate, 30 titanium dioxide, lactose, mannitol and other sugars, talc, milk protein, gelatin, starch, vitamins, cellulose and its derivatives, animal and vegetable oils, polyethylene glycols and solvents, such as sterile water, alcohols, glycerol and polyhydric alcohols. Intravenous vehicles include fluid and nutrient replenishers. Preservatives include antimicrobial, anti-oxidants, chelating agents and inert gases. Other pharmaceutically acceptable carriers include aqueous solutions, non-toxic 35 excipients, including salts, preservatives, buffers and the like, as described, for instance, in Remington's Pharmaceutical Sciences, 20th ed. Williams and Wilkins (2000) and The British National Formulary 43rd ed. (British Medical Association and Royal Pharmaceutical Society of

Great Britain, 2002; <http://bnf.rhn.net>), the contents of which are hereby incorporated by reference. The pH and exact concentration of the various components of the pharmaceutical composition are adjusted according to routine skills in the art. See Goodman and Gilman's The Pharmacological Basis for Therapeutics (7th ed., 1985).

5           The pharmaceutical compositions are preferably prepared and administered in dose units. Solid dose units may be tablets, capsules and suppositories. For treatment of a subject, depending on activity of the compound, manner of administration, nature and severity of the disorder, age and body weight of the subject, different daily doses can be used. Under certain circumstances, however, higher or lower daily doses may be appropriate. The  
10 administration of the daily dose can be carried out both by single administration in the form of an individual dose unit or else several smaller dose units and also by multiple administration of subdivided doses at specific intervals.

          The pharmaceutical compositions according to the invention may be administered locally or systemically in a therapeutically effective dose. Amounts effective for this use will, of  
15 course, depend on the severity of the disease and the weight and general state of the subject. Typically, dosages used *in vitro* may provide useful guidance in the amounts useful for *in situ* administration of the pharmaceutical composition, and animal models may be used to determine effective dosages for treatment of the cytotoxic side effects. Various considerations are described, e.g., in Langer, Science, 249: 1527, (1990). Formulations for oral use may be in the  
20 form of hard gelatin capsules wherein the active ingredient is mixed with an inert solid diluent, for example, calcium carbonate, calcium phosphate or kaolin. They may also be in the form of soft gelatin capsules wherein the active ingredient is mixed with water or an oil medium, such as peanut oil, liquid paraffin or olive oil.

          Aqueous suspensions normally contain the active materials in admixture with  
25 excipients suitable for the manufacture of aqueous suspension. Such excipients may be (1) suspending agent such as sodium carboxymethyl cellulose, methyl cellulose, hydroxypropylmethylcellulose, sodium alginate, polyvinylpyrrolidone, gum tragacanth and gum acacia; (2) dispersing or wetting agents which may be (a) naturally occurring phosphatide such as lecithin; (b) a condensation product of an alkylene oxide with a fatty acid, for example,  
30 polyoxyethylene stearate; (c) a condensation product of ethylene oxide with a long chain aliphatic alcohol, for example, heptadecaethylenoxycetanol; (d) a condensation product of ethylene oxide with a partial ester derived from a fatty acid and hexitol such as polyoxyethylene sorbitol monooleate, or (e) a condensation product of ethylene oxide with a partial ester derived from fatty acids and hexitol anhydrides, for example polyoxyethylene sorbitan monooleate.

35           The pharmaceutical compositions may be in the form of a sterile injectable aqueous or oleagenous suspension. This suspension may be formulated according to known methods using those suitable dispersing or wetting agents and suspending agents which have

- 34 -

been mentioned above. The sterile injectable preparation may also a sterile injectable solution or suspension in a non-toxic parenterally-acceptable diluent or solvent, for example, as a solution in 1,3-butanediol. Among the acceptable vehicles and solvents that may be employed are water, Ringer's solution, and isotonic sodium chloride solution. In addition, sterile, fixed  
5 oils are conventionally employed as a solvent or suspending medium. For this purpose, any bland fixed oil may be employed including synthetic mono-or diglycerides. In addition, fatty acids such as oleic acid find use in the preparation of injectables.

Compounds of formula I or II may also be administered in the form of liposome delivery systems, such as small unilamellar vesicles, large unilamellar vesicles, and  
10 multilamellar vesicles. Liposomes can be formed from a variety of phospholipids, such as cholesterol, stearylamine, or phosphatidylcholines.

The compounds of formula I or II may also be presented for use in the form of veterinary compositions, which may be prepared, for example, by methods that are conventional in the art. Examples of such veterinary compositions include those adapted for:

15 (a) oral administration, external application, for example drenches (e.g. aqueous or non-aqueous solutions or suspensions); tablets or boluses; powders, granules or pellets for admixture with feed stuffs; pastes for application to the tongue;

(b) parenteral administration for example by subcutaneous, intramuscular or intravenous injection, e.g. as a sterile solution or suspension; or (when appropriate) by  
20 intramammary injection where a suspension or solution is introduced in the udder via the teat;

(c) topical applications, e.g. as a cream, ointment or spray applied to the skin; or

(d) intravaginally, e.g. as a pessary, cream or foam.

Dosage levels of the compound of formula I or II of the present invention are of the order of about 0.5 mg to about 20 mg per kilogram body weight, with a preferred dosage  
25 range between about 0.5 mg to about 10 mg per kilogram body weight per day (from about 0.5 gms to about 3 gms per patient per day). The amount of active ingredient that may be combined with the carrier materials to produce a single dosage will vary depending upon the host treated and the particular mode of administration. For example, a formulation intended for oral administration to humans may contain about 5 mg to 1g of an active compound with an  
30 appropriate and convenient amount of carrier material which may vary from about 5 to 95 percent of the total composition. Dosage unit forms will generally contain between from about 5 mg to 500 mg of active ingredient.

Optionally the compounds of the invention are administered in a divided dose schedule, such that there are at least two administrations in total in the schedule.

35 Administrations are given preferably at least every two hours for up to four hours or longer; for example the compound may be administered every hour or every half hour. In one preferred embodiment, the divided-dose regimen comprises a second administration of the compound of

- 35 -

the invention after an interval from the first administration sufficiently long that the level of active compound in the blood has decreased to approximately from 5-30% of the maximum plasma level reached after the first administration, so as to maintain an effective content of active agent in the blood. Optionally one or more subsequent administrations may be given at a corresponding interval from each preceding administration, preferably when the plasma level has decreased to approximately from 10-50% of the immediately-preceding maximum.

It will be understood, however, that the specific dose level for any particular patient will depend upon a variety of factors including the activity of the specific compound employed, the age, body weight, general health, sex, diet, time of administration, route of administration, rate of excretion, drug combination and the severity of the particular disease undergoing therapy.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 is a scatterplot showing the levels of soluble and insoluble A $\beta$  fractions obtained from transgenic mice brains following treatment with PBT 1 and PBT 1038 [methodology as per assay 11];

Fig. 2 is a scatterplot showing the levels of soluble and insoluble A $\beta$  fractions obtained from transgenic mice brains following treatment with PBT 1033 and PBT 1051 [methodology as per assay 11];

Fig. 3 is a scatterplot showing the levels of soluble and insoluble A $\beta$  fractions obtained from transgenic mice brains following treatment with PBT 1052 [methodology as per assay 11];

Fig. 4(a) is a graph showing the dose normalised plasma concentrations of PBT 1033 following IV (2 mg/Kg) and oral (30 mg/Kg) administration to rats;

Fig. 4(b) is a graph showing the dose normalised plasma concentrations of PBT 1038 following IV (2 mg/Kg) and oral (30 mg/Kg) administration to rats;

Fig. 4(c) is a graph showing the dose normalised plasma concentrations of PBT 1050 following IV (2 mg/Kg) and oral (30 mg/Kg) administration to rats;

Fig. 4(d) is a graph showing the dose normalised plasma concentrations of PBT 1051 following IV (2 mg/Kg) and oral (30 mg/Kg) administration to rats;

Fig. 5 is a graph summarising the effect of CQ (PBT 1), PBT 1033, PBT 1038, PBT 1051 and PBT 1052 on soluble and insoluble A $\beta$  in transgenic mouse brains [methodology as per assay 11];

Fig. 6 is a graph showing the immunohistochemistry of PBT 1033, PBT 1038, PBT 1051 and PBT 1052 on amyloid plaque abundance in transgenic mice brains [methodology as per assay 15];

Fig. 7 is a flow chart of subjects studied;



- 36 -

Fig. 8 are graphs showing mean change ( $\pm$ SE) over time from baseline in cognitive abilities (as assessed with ADAS-cog) in (A) two arms of CQ vs placebo and (B) stratification by severity within treatment arms [less-severely affected (ADAS-cog < 25), more-severely affected (ADAS-cog  $\geq$ 25) (\*p  $\leq$  0.05; \*\* p  $\leq$  0.01);

Fig. 9 are graphs showing mean change ( $\pm$ SE) over time from baseline in plasma A $\beta_{42}$  levels in (A) the arms of CQ vs placebo and (B) stratification by severity as in Fig 8. (\*\*\*p $\leq$  0.001);

Fig. 10 are graphs showing mean change ( $\pm$ SE) over time from baseline in (A) plasma Zn (B) plasma Cu in the two arms of CQ vs placebo; and

Fig. 11 is a graph showing relative changes in behavioral (ADAS-cog) and biochemical (plasma/CSF A $\beta$ ) levels over the course of AD.

## EXAMPLES

The invention will now be described in detail by way of reference only to the following non-limiting examples.

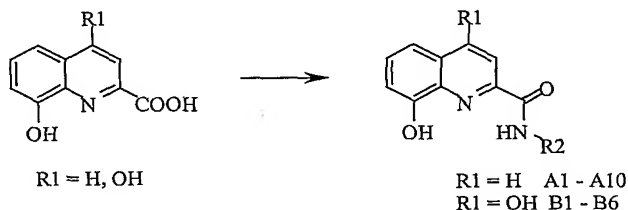
### **General**

8-Hydroxyquinoline-2-carboxylic acid **1** (Shrader *et al*, 1988), 8-hydroxyquinoline-2-carbonitrile **2** (Shrader *et al*, 1988), 2-chloro-8-hydroxyquinoline **3** (Wang *et al*, 1996; Fleming *et al*, 1971), 2-aminomethylthiazole **4** (Dondoni *et al*, 1987, 1996), 2,5,7-trichloro-8-hydroxyquinoline **10** (Ostrovskaya *et al*, 1986), 5,7-dichloro-8-benzyloxy-quinoline-2-carboxylic acid **18** (Carissimi, M., 1972), 7-chloro-5-iodo-8-hydroxyquinoline **20** (Gershon *et al*, 1971), 4-chloro-8-methoxy-quinoline-3-carboxylic acid ethyl ester **25** (Richard *et al*, 1997) and 1-methyl-1*H*-histamine hydrochloride (Durant *et al*, 1976) were prepared according to the literature. The following compounds/reagents were sourced commercially: quinolines: 2-methyl-quinolin-8-ol, 8-hydroxy-quinoline (8-HQ) and 5,7-dibromo-8-hydroxy-quinoline were purchased from Fluka; 4,8-dihydroxy-quinoline-2-carboxylic acid, 5-chloro-7-iodo-8-hydroxy-quinoline, 5,7-dichloro-2-methyl-quinolin-8-ol and 5,7-diiodo-8-hydroxyquinoline were purchased from Aldrich; amines: histamine, 2-aminoethylpyridine, 2-aminothiazole, 2-(2-aminoethyl)pyridine, 2-(aminomethyl)pyridine, 5-methyl-2-aminothiazole, 2-aminophenol, 1,2-diaminoethane, glycine, 1,2-phenylenediamine, di-(2-picolyl)amine and 2-(2-methylaminoethyl)pyridine were all purchased from Aldrich; aldehydes: 4-imidazolecarboxaldehyde, 2-thiazolecarboxaldehyde and 2-pyridinecarboxaldehyde were all purchased from Aldrich; azoles: pyrazole, imidazole, methylimidazole and 1*H*-1,2,3-triazole were purchased from Aldrich; boronic acids: 2-(trifluoromethyl)phenylboronic acid, 2-methoxyphenylboronic acid, *o*-tolylboronic acid, 2-fluorophenylboronic acid, 3-

- 37 -

methoxyphenylboronic acid, 4-methoxyphenylboronic acid, *m*-tolylboronic acid, 4-(dimethylamino)phenylboronic acid, 2-formylphenylboronic acid, thianaphthene-2-boronic acid, 3,5-difluorophenylboronic acid, 2,4-difluorophenylboronic acid, 3-thiopheneboronic acid, 3-fluorophenylboronic acid, 4-fluorophenylboronic acid and 3-nitrophenylboronic acid were all purchased from Aldrich; and organozinc reagents: 2-pyridylzinc bromide, 2-(methylthio)phenylzinc iodide, 2-(ethoxycarbonyl)phenylzinc iodide and 6-methylpyridylzinc bromide (0.5 M solution in THF) were commercially available (Aldrich). 3-Pyridylboronic acid was purchased from Frontier Scientific. Solvents were analytical grade and used as supplied. THF was distilled from sodium and benzophenone under argon. <sup>1</sup>H NMR spectra (δ, relative to TMS) were recorded on a Varian Unity 300 spectrometer unless otherwise indicated; *J*-Values are given in hertz. Mass spectral data were recorded on a Micromass Quattro II mass spectrometer.

### Example 1 - Preparation of 8-hydroxy-quinoline-2-carboxylic acid amides (Scheme 1)



Scheme 1

#### Procedure A:

1,3-Dicyclohexylcarbodiimide (182 mg, 0.87 mmol) was added to a stirred solution of 1-hydroxybenzotriazole hydrate (119 mg, 0.87 mmol) and 8-hydroxy-quinoline-2-carboxylic acid **1** (150 mg, 0.87 mmol) in DMF and dichloromethane (1:1, 10 mL). After 30 min, histamine (182 mg, 0.87 mmol) was added and the mixture stirred at RT for a further 16 h. The volatiles were then removed *in vacuo* and the remaining residue gave, after purification by column chromatography on silica (ethyl acetate/*i*-PrOH/2 N NH<sub>4</sub>OH, 6:2:1), 8-hydroxy-quinoline-2-carboxylic acid[2-(1*H*-imidazol-4-yl)-ethyl]-amide **A1** as a cream-colored solid.

The above reaction was repeated using amines with 1 or 4,8-dihydroxy-quinoline-2-carboxylic acid: histamine gave **B1**; 2-(2-aminoethyl)pyridine gave **A2**, 2-(aminomethyl)pyridine gave **A5/B2**, 2-aminothiazole gave **A3**, 5-methyl-2-aminothiazole gave **A4**, 2-aminophenol gave **A6**, 1,2-diaminoethane gave **A7**, glycine gave **A8/B3**, 1,2-phenylenediamine gave **B4** and di-(2-picolyl)amine gave **A10**.

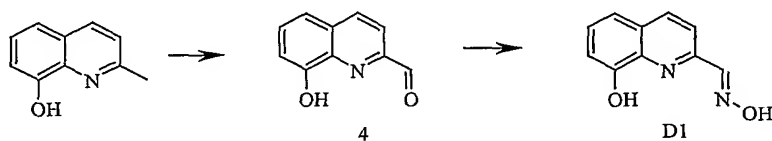
Using **A8** as the starting acid, coupling with amines 2-(aminomethyl)pyridine gave **B5** and histamine gave **B6**. Yields and data are given in Table 1.

**Procedure B:**

8-Hydroxy-quinoline-2-carboxylic acid **1** (100 mg, 0.59 mmol) or 4,8-dihydroxyquinoline-2-carboxylic acid (121 mg, 0.59 mmol) and phosphorus oxychloride (5 mL) were heated under reflux for 1 h, cooled, and concentrated. THF (20 mL) was added to the residue and the mixture cooled (0 °C) before the addition of Et<sub>3</sub>N (0.5 mL) and the amine (1.18 mmol). The mixture was allowed to warm to RT. After 16 h, the volatiles were removed *in vacuo* and the resulting residue afforded, after column chromatography on silica, the 8-hydroxy-quinoline-2-carboxylic acid amide. Yields and data are given in Table 1.

**Example 2 – Preparation of 2-Acetyl-8-hydroxy-quinoline C1 (Scheme 2)***Scheme 2*

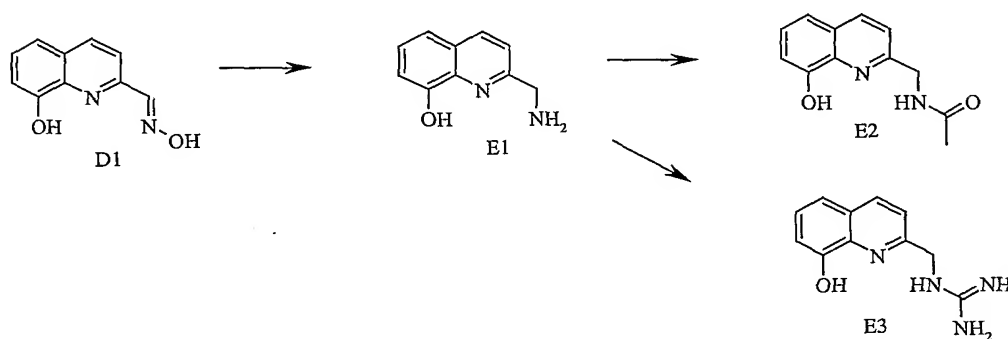
Methylmagnesium bromide (1.2 mL of a 3 M solution in diethyl ether, 3.5 mmol) was added dropwise into a stirred solution of 8-hydroxyquinoline-2-carbonitrile **2** (100 mg, 0.588 mmol) in diethyl ether (10 mL) at -15 °C. The resulting solution was allowed to warm to RT over 2 h and stirred at RT for a further 4 h. The reaction mixture was then quenched with saturated NH<sub>4</sub>Cl and extracted with ethyl acetate (10 mL x 3). The extracts were combined, dried (Na<sub>2</sub>SO<sub>4</sub>) and concentrated to afford the title compound as a pale orange solid (108 mg, 98%) **C1**. Spectral data of this compound are given in Table 1.

**Example 3 – Preparation of 8-Hydroxy-quinoline-2-carboxaldehyde Oxime D1 (Scheme 3)***Scheme 3*

A solution of 2-methyl-quinolin-8-ol (536 mg, 3.37 mmol) in dioxane (8 mL) was added dropwise over 3 h into a stirred mixture of SeO<sub>2</sub> (665 mg, 5.99 mmol) in dioxane (25 mL) at 50 – 55 °C. The resulting mixture was then heated at 80 °C for 16 h, cooled, and the solids filtered

off. The filtrate was concentrated and the residue purified by column chromatography on silica (dichloromethane/MeOH, 1:0 – 40:1). This afforded 8-hydroxy-quinoline-2-carboxaldehyde **4** as a straw-coloured solid (358 mg, 61%). **4**:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  10.24 (s, 1 H), 8.34 (d,  $J=8.6$ , 1 H), 8.22 (br, 1 H), 8.07 (d,  $J=8.6$ , 1 H), 7.64 (dd,  $J=7.5$  and 8.0, 1 H), 7.44 (d,  $J=8.0$ , 1 H), 7.30 (d,  $J=7.5$ , 1 H). The mixture of **4** (100 mg, 0.578 mmol), NaOAc (63 mg, mmol), hydroxylamine hydrochloride (60 mg, 0.863 mmol) and water (5 mL) was heated at 100 °C for 15 min. The precipitate was isolated by filtration. This provided the title oxime (ID 969) **D1** as an off-white solid (87 mg, 80%); spectral data of this compound are shown in Table 2.

#### Example 4 - 2-Aminomethyl-quinolin-8-ol **E1** (Scheme 4)



Scheme 4

8-Hydroxy-quinoline-2-carboxaldehyde oxime **D1** (167 mg, 0.888 mmol) and MeOH (50 mL) was treated under hydrogenolysis conditions (atmospheric  $\text{H}_2$ , catalytic 10% Pd/carbon) at RT. After 4 h, the catalyst was filtered off and the volatiles removed which afforded 2-aminomethyl-quinolin-8-ol **E1** as a light brown solid (126 mg, 82%); spectral data of this compound are given in Table 2.

#### *N*-(8-Hydroxy-quinolin-2-ylmethyl)-guanidine **E3** (Scheme 4)

*N,N'*-Bis(*tert*-butoxycarbonyl)-1*H*-pyrazole-1-carboxamidine (54 mg, 0.174 mmol) was added to a stirred mixture of 2-aminomethyl-quinolin-8-ol **E1** (25 mg, 0.144 mmol) in THF (5 mL). After 16 h at RT, the volatiles were removed *in vacuo* and the residue provided, after column chromatography on silica (ethyl acetate/hexane, 1:2), the (Boc)<sub>2</sub>-derivative of *N*-(8-Hydroxy-quinolin-2-ylmethyl)-guanidine as a colorless solid (52 mg, 87%). A solution of this solid (47 mg, 0.113 mmol) and concentrated hydrochloric acid (0.5 mL) in dioxane (1 mL) was then stirred at RT for 16 h, and concentrated.  $\text{H}_2\text{O}$  (2 mL) was added, the pH adjusted to 8 (conc.  $\text{NH}_4\text{OH}$ ) and the mixture concentrated. The solid was dissolved in MeOH and the solution

trituted with ethyl acetate. The resulting solid was filtered off and the filtrate was concentrated to a solid. The latter, after column chromatography on silica (ethyl acetate/*i*-PrOH/H<sub>2</sub>O, 12:4:1), afforded the title compound **E3** as an off-white solid (23 mg, 94%); spectral data are given in Table 2.

5 **2-Acetamidomethyl-quinolin-8-ol E2 (Scheme 4)**

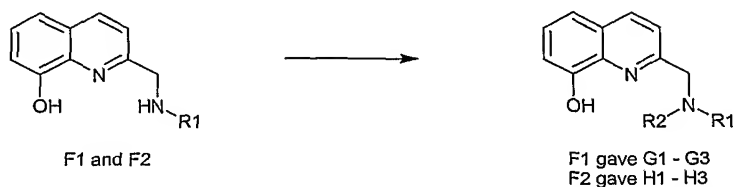
A solution of 2-aminomethyl-quinolin-8-ol **E1** (30 mg, 0.172 mmol) and Ac<sub>2</sub>O (1 mL) in pyridine (2 mL) was stirred at RT overnight and concentrated. Subsequent column chromatography on silica (ethyl acetate) gave 2-acetamido-8-acetoxy-quinoline as a colorless solid (35 mg, 79%). A solution of 2-acetamido-8-acetoxy-quinoline (33 mg, 0.128 mmol) and  
10 K<sub>2</sub>CO<sub>3</sub> (50 mg, 0.362 mmol) in MeOH (1 mL) and H<sub>2</sub>O (0.5 mL) was stirred at RT for 16 h. Volatiles were removed *in vacuo* and H<sub>2</sub>O (2 mL) added. The pH of the mixture was adjusted to 7 (2 N HCl) and the solid was isolated by filtration, washed with H<sub>2</sub>O (1 mL x 2) and dried. The title compound **E2** was isolated as a cream solid (21 mg, 76 %); spectral data are given in Table 2.

15 **Example 5 - Reductive amination of 8-hydroxyquinoline-2-carboxaldehyde (Scheme 5)**

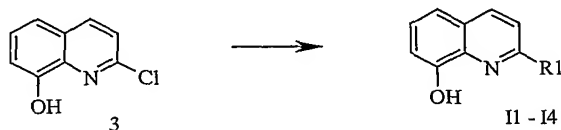


Scheme 5

Sodium triacetoxyborohydride (225 mg, 1.061 mmol) was added to a stirred solution of 8-hydroxy-quinoline-2-carboxaldehyde **4** (200 mg, 1.156 mmol) and histamine (128 mg, 1.152 mmol) in dichloroethane (10 mL). The mixture was left to stir at RT for 16 h, neutralized  
20 (aqueous NaHCO<sub>3</sub>), and concentrated. The resulting residue, after column chromatography on silica (ethyl acetate/*i*-PrOH/2 N NH<sub>4</sub>OH, 6:2:1), afforded 2-{[2-(1*H*-imidazol-4-yl)-ethylamino]-methyl}-quinolin-8-ol **F1** as a straw-colored solid (190 mg, 61%). The above method was repeated using other amines: 2-(aminomethyl)pyridine gave **F2** and 2-(2-methylaminoethyl)pyridine gave **F3**, data given in Table 2.

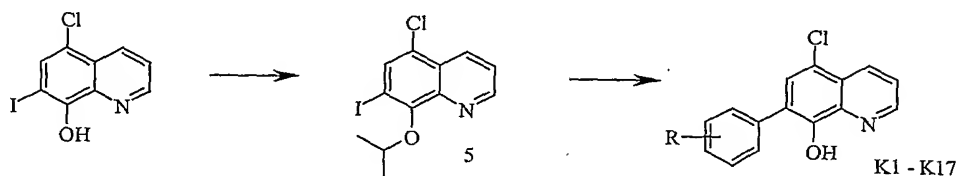
**Example 6 - Reductive amination with amines from Example 5 (Scheme 6)***Scheme 6*

Following the procedure of Example 5, aldehydes: 2-imidazolecarboxaldehyde gave **G1/H1**, 2-pyridinecarboxaldehyde gave **G2/H2** and 2-thiazolecarboxaldehyde gave **H3** when treated with **F1** (G series) or **F2** (H series). Results and spectral data are given in Table 2.

**Example 7 -2-(Azole)-8-hydroxyquinolines I1 – I4 (Scheme 7)***Scheme 7*

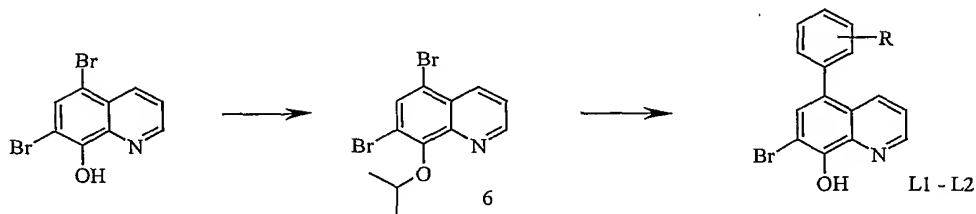
A mixture of 2-chloro-quinolin-8-ol **3** (80 mg, 0.447 mmol) and pyrazole (152 mg, 2.233 mmol) was heated at 175 °C in a steel autoclave for 48 h. The crude product was then purified by column chromatography on silica (ethyl acetate/hexane, 1:1) to give 2-pyrazol-1-yl-quinolin-8-ol (compound ID 964) **I1** as a white solid (68 mg, 72%).

The above procedure was repeated using imidazole, 2-methylimidazole and 1*H*-1,2,3-triazole to give **I2**, **I3** and **I4**. The crude product for **I4** was washed with MeOH (10 mL x 3) to give 2-[1,2,3]triazol-1-yl-quinolin-8-ol (compound ID 994) **I4** as an off-white solid (67 mg, 71%). Spectral data of these products are given in Table 3.

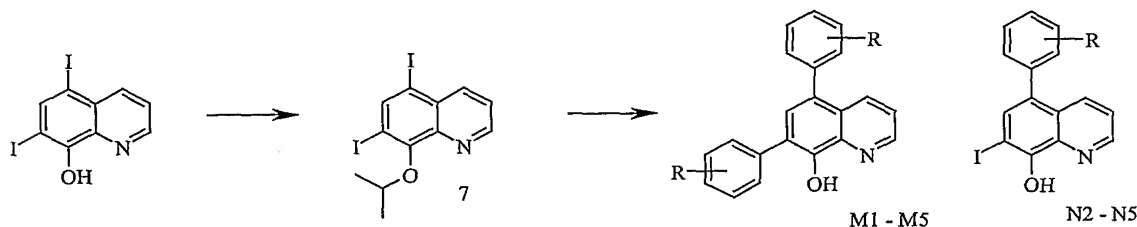
**Example 8 - Preparation of 5-chloro-7-aryl-8-hydroxyquinolines K1 – K17 (Scheme 8)***Scheme 8*

2-Bromopropane (0.46 mL, 4.90 mmol) was added into a stirred mixture of the 5-chloro-7-iodo-quinolin-8-ol (1.00 g, 3.27 mmol), K<sub>2</sub>CO<sub>3</sub> (1.86 g, 13.5 mmol) and DMSO (10 mL). After 16 h at RT, saturated NH<sub>4</sub>Cl (10 mL) was added and the mixture extracted with dichloromethane (10 mL x 3). The extracts were combined and concentrated. Diethyl ether (40 mL) was added to the residue and the resulting mixture washed successively with 2 N NaOH, H<sub>2</sub>O and brine, and dried (Na<sub>2</sub>SO<sub>4</sub>). Subsequent column chromatography on silica (ethyl acetate/hexane, 1:1) afforded 5-chloro-7-iodo-8-isopropoxy-quinoline **5** as a solid (1.06 g, 93%). **5**: <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 8.93 (dd, *J*=1.5 and 4.2, 1 H), 8.52 (dd, *J*=1.5 and 8.4, 1 H), 7.98 (s, 1 H), 7.53 (dd, *J*=4.2 and 8.4, 1 H), 5.38 (m, 1 H), 1.43 (d, *J*=6.0, 6 H). To a stirred mixture of 5-chloro-7-iodo-8-isopropoxy-quinoline **5** (200 mg, 0.58 mmol), phenylboronic acid (77 mg, 0.62 mmol), 2 N Na<sub>2</sub>CO<sub>3</sub> (7.2 mL), EtOH (1.2 mL) and benzene (6 mL) was added, under a blanket of argon, Pd(PPh<sub>3</sub>)<sub>4</sub> (20 mg). The mixture was stirred under reflux for 16 h, cooled and concentrated. This provided, after column chromatography on silica (ethyl acetate/hexane, 1:9), 5-chloro-7-phenyl-8-isopropoxy-quinoline as a yellow solid. To a stirred solution of the 8-isopropoxy-quinoline (0.339 mmol) in dichloromethane (2 mL) at -78 °C was added BCl<sub>3</sub> (1.36 mL of a 1 M solution in dichloromethane, 1.36 mmol). After 2 h, the reaction mixture was allowed to warm to RT and stirred for a further 2 h. MeOH (5 mL) was added and the mixture was concentrated to dryness. This process was repeated four times. Further washing of the remaining residue with diethyl ether (2 mL x 3) provided **K1** in 91% yield. Data in Table 4.

In a similar fashion, reaction of **5** with boronic acids: 2-(trifluoromethyl)phenylboronic acid, 2-methoxyphenylboronic acid (Note cleavage to the 2-hydroxyphenyl derivative), *o*-tolylboronic acid, 2-fluorophenylboronic acid, 3-methoxyphenylboronic acid, 4-methoxyphenylboronic acid, *m*-tolylboronic acid, 4-(dimethylamino)phenylboronic acid, 2-formylphenylboronic acid, thianaphthene-2-boronic acid, 3,5-difluorophenylboronic acid, 2,4-difluorophenylboronic acid, 3-thiopheneboronic acid, 3-fluorophenylboronic acid, 4-fluorophenylboronic acid and 3-nitrophenylboronic acid; and isopropoxy cleavage with BCl<sub>3</sub> gave 5-chloro-7-aryl-8-hydroxyquinolines **K2-K17**. Data in Table 4.

**Example 9 - Preparation of 5-aryl-7-bromo-8-hydroxyquinolines L1 – L2 (Scheme 9).***Scheme 9*

Reaction of 5,7-dibromo-quinolin-8-ol with 2-bromopropane following the method described in Example 8 gave 5,7-dibromo -8-isopropoxy-quinoline **6** (97%):  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  8.94 (dd,  $J=1.5$  and 4.2, 1 H), 8.48 (dd,  $J=1.5$  and 8.4, 1 H), 8.00 (s, 1 H), 7.52 (dd,  $J=4.2$  and 8.4, 1 H), 5.22 (m, 1 H), 1.43 (d,  $J=6.1$ , 6 H); mass spectrum:  $m/z$  344, 346, 348 ( $\text{M}^+ + 1$ , 50, 100 and 50%, respectively). Reaction of **6** with aryl boronic acids, and cleavage of the isopropoxy group following the method outlined in Example 8 gave compounds **L1** and **L2** (data in Table 4).

**Example 10 - Preparation of 5,7-diaryl-8-hydroxyquinolines M1 – M5 and 5-aryl-7-iodo-8-hydroxyquinolines N2 – N5 (Scheme 10)***Scheme 10***Preparation of 5,7-diaryl-8-hydroxyquinolines M1 – M5 and 5-aryl-7-iodo-8-hydroxyquinolines N2 – N5 (Scheme 10) .**

Reaction of 5,7- diiodo -quinolin-8-ol with 2-bromopropane following the method described in Example 8 gave 5,7-dibromo -8-isopropoxy-quinoline **7** (93%):  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  8.86 (dd,  $J=1.5$  and 4.4, 1 H), 8.46 (s, 1 H), 8.33 (dd,  $J=1.5$  and 8.5, 1 H), 7.49 (dd,  $J=4.4$  and 8.5, 1 H), 5.40 (m, 1 H), 1.43 (d,  $J=6.1$ , 6 H). To a stirred mixture of **7** (200 mg, 0.51 mmol), phenylboronic acid (143 mg, 1.17 mmol), 2 N  $\text{Na}_2\text{CO}_3$  (7.2 mL), EtOH (1.2 mL) and benzene (6 mL) was added, under a blanket of argon,  $\text{Pd}(\text{PPh}_3)_4$  (21 mg). The mixture was stirred under reflux for 16 h, cooled and concentrated. This provided, after column chromatography on silica

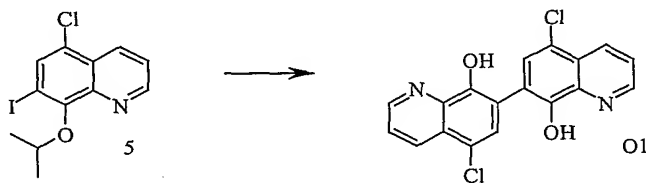


- 44 -

(ethyl acetate/hexane, 1:9), 5,7-diphenyl-8-isopropoxy-quinoline as a yellow solid (157 mg, 91%). Cleavage of the isopropoxy group following the method outlined in Example 8 gave 5,7-diphenyl-8-hydroxy-quinoline **M1** in 91% yield. (See table 4 for data).

- 5 Reaction of **7** with aryl boronic acids, and cleavage of the isopropoxy group following the method outlined in Example 8 gave compounds **M2** – **M5** (data in Table 4). In those cases where the boronic acid contained an *ortho* substituent, the Suzuki reaction yielded a mixture of 5-aryl-7-iodo-8-isopropoxyquinolines and 5,7-diaryl-8-isopropoxyquinoline, which could be separated prior to isopropoxy cleavage to provide both 5-aryl-7-iodo-8-hydroxyquinolines **N2** - **N5** and 5,7-diaryl-8-hydroxyquinolines **M2** - **M5**.

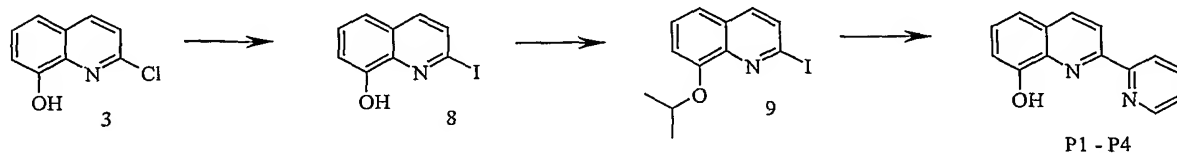
**Example 11 - Preparation of 5,5'-Dichloro-8,8'-dihydroxy-7,7'-biquinoline O1 (Scheme 11)**



*Scheme 11*

- 15 A solution of **5** (0.576 mmol), bis(pinacolato)diboron (1.1 equiv.), 2 N Na<sub>2</sub>CO<sub>3</sub> (2 mL) and KOAc (3 equiv) was stirred in the presence of a catalytic amount of PdCl<sub>2</sub>(dppf) in DMF (10 mL) at 80 °C for 3 h. The reaction mixture was then quenched with saturated NH<sub>4</sub>Cl and extracted with diethyl ether (10 mL x 3), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated. Column chromatography of the resulting residue (silica; ethyl acetate/hexane, 1:1) afforded 5,5'-dichloro-8,8'-diisopropoxy-7,7'-biquinoline (compound ID 971) as a solid (56 mg, 22%).
- 20 Cleavage of the isopropoxy groups with BCl<sub>3</sub> following the procedure outlined in Example 8 gave **O1** in 22% yield.

**Example 12 - Preparation of 2-aryl-8-hydroxyquinolines P1 – P4 (Scheme 12)**



*Scheme 12*

**2-Iodo-quinolin-8-ol 8**

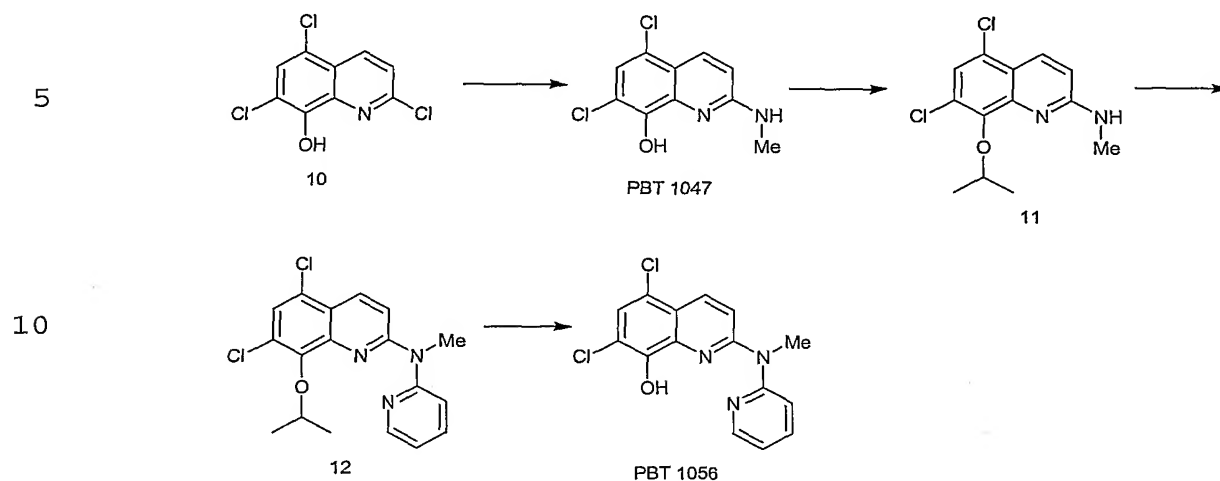
Acetyl chloride (0.422 mL, 5.95 mmol) was added dropwise over 20 min into a stirred slurry of 2-chloro-quinolin-8-ol **3** (500 mg, 2.79 mmol), NaI (649 mg, 4.33 mmol) and AcCN (3 mL) at RT.<sup>5</sup> The mixture was then stirred at 35 – 40 °C for 3 h, then overnight at 70 °C, and concentrated. H<sub>2</sub>O (10 mL) was added, and mixture was extracted with dichloromethane (10 mL x 3). The extracts were combined and washed successively with a 1:1 solution of saturated NaHCO<sub>3</sub> and sodium thiosulfate (5 mL x 2), and H<sub>2</sub>O (10 mL x 2), and dried (Na<sub>2</sub>SO<sub>4</sub>). The residue obtained after solvent removal gave, after column chromatography on silica (ethyl acetate/hexane, 1:8 – 1:3), 2-Iodo-quinolin-8-ol **8** as a white solid (268 mg, 35%) and a 1:2 inseparable mixture of 8-acetoxy-2-iodo-quinoline and 8-acetoxy-2-chloro-quinoline (360 mg) **8**: <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 7.80 – 7.77 (m, 2 H), 7.49 (dd, *J*=8.1 and 8.1, 1 H), 7.73 (d, *J*=8.1, 1 H), 7.21 (d, *J*=8.1, 1 H), 1.77 (br, 1 H); mass spectrum: *m/z* 272 (*M*<sup>+</sup> + 1, 100%).

**2-(Pyrid-2-yl)-8-hydroxyquinoline M1.**

Reaction of 2-iodo-quinolin-8-ol **8** with 2-bromopropane following the method described in Example 8 gave 2-iodo-8-isopropoxyquinoline **9** in 84% yield. **9**: <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 7.75 – 7.67 (m, 2 H), 7.45 (dd, *J*=7.0 and 8.0, 1 H), 7.33 (dd, *J*=1.2 and 8.0, 1 H), 7.12 (dd, *J*=1.2 and 7.0, 1 H), 4.80 (m, 1 H), 1.49 (d, *J*=5.9, 6 H). To a stirred solution of **9** (29 mg, 0.093 mmol) and PdCl<sub>2</sub>(PPh<sub>3</sub>)<sub>2</sub> (5 mg) in THF (2.5 mL) under an argon atmosphere at RT was added dropwise over 5 min 2-pyridylzinc bromide (0.370 mL of a 0.5 M solution in THF, 0.185 mmol). After 2 h, saturated NH<sub>4</sub>Cl (5 mL) was added and the mixture extracted with dichloromethane (10 mL x 3). The combined extracts were washed with H<sub>2</sub>O (10 mL) and brine (10 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated. Subsequent column chromatography on silica (dichloromethane/MeOH, 19:1) gave 2-(pyrid-2-yl)-8-isopropoxyquinoline as a yellow solid. The isopropyl ether was cleaved according to the procedure of Example 8, to give 2-(Pyrid-2-yl)-8-hydroxyquinoline **P1** (22 mg, 89%) (data in Table 5).

This reaction was repeated using: 2-(methylthio)phenylzinc iodide, 2-(ethoxycarbonyl)phenylzinc iodide and 6-methylpyridylzinc bromide to give **P2**, **P3** and **P4**. Spectral data tabulated (Table 5).

**Example 13 – Preparation of 5,7-dichloro-2-methylamino-8-hydroxyquinoline (PBT 1047) and 5,7-dichloro-2-(methyl-pyridin-2-yl-amino)-quinolin-8-ol (PBT 1056) (Scheme 13)**



*Scheme 13*

**5,7-Dichloro-2-methylamino-8-hydroxyquinoline (PBT 1047)**

2,5,7-Trichloro-8-hydroxyquinoline 10 (200 mg, 0.805 mmol) and a solution of methylamine in ethanol (12 mL of a 33% solution) were heated in a sealed vessel at 90°C for 26 h, and cooled. The precipitate was then isolated via filtration and washed with diethyl ether. This provided pure 5,7-dichloro-2-methylamino-8-hydroxyquinoline (**PBT 1047**) as a pale yellow solid (186 mg, 95%). <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, 400 MHz): δ 8.80 (br, 1 H), 7.98 (d, *J*=9.1, 1 H), 7.48 (br, 1 H), 7.25 (s, 1 H), 6.90 (d, *J*=9.1, 1H), 2.98 (d, *J*=4.8, 3 H); mass spectrum: *m/z* 243, 245 (*M*<sup>+</sup> + 1, 100 and 66%, respectively).

**5,7-Dichloro-8-hydroxy-2-(methyl-pyridin-2-yl-amino)-quinoline (PBT 1056)**

A solution of 5,7-dichloro-2-methylamino-8-hydroxyquinoline (1.02 g, 4.21 mmol), anhydrous potassium carbonate (2.4 g) and 2-bromopropane (0.6 mL) in dimethyl sulphoxide (10 mL) was stirred at RT for 2 days. Saturated ammonium chloride solution was added and the mixture was extracted with dichloromethane (30 mL x 3). The extracts were combined, dried, and concentrated. The residue, after column chromatography (silica gel, dichloromethane), gave 5,7-dichloro-2-methylamino-8-isopropoxyquinoline 11 as an off-white solid (938 mg, 78%). <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 8.13 (d, *J*=9.0, 1 H), 7.28 (s, 1 H), 6.69 (d, *J*=9.0, 1 H), 5.10 (m, 1 H), 4.90 (br, 1 H), 3.11 (d, *J*=5.0, 3 H), 1.43 (s, 3 H), 1.41 (s, 3 H).

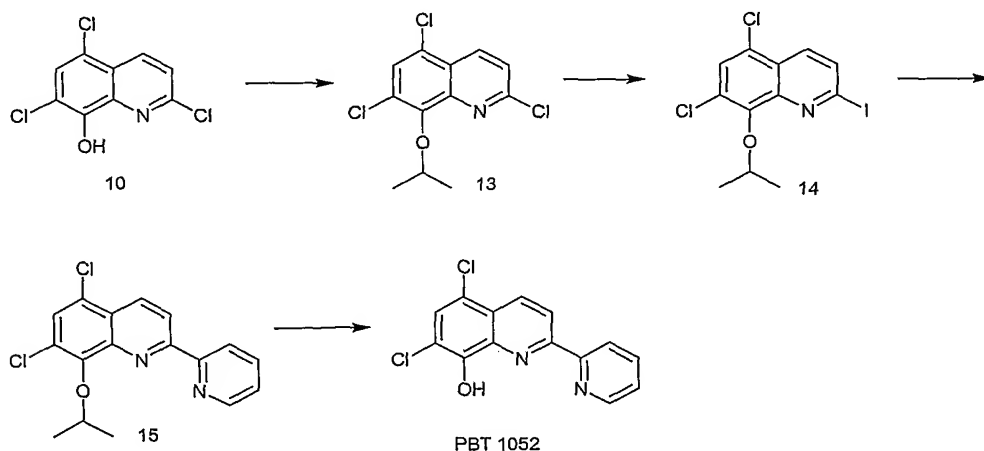
To a solution of 5,7-dichloro-2-methylamino-8-isopropoxyquinoline 11 (200 mg, 0.701 mmol), *racemic*-BINAP (17.5 mg, 4 mol %), Pd<sub>2</sub>(dba)<sub>3</sub> (12.8 mg, 2 mol %) and sodium *tert*-butoxide (78.6 mg, 0.818 mmol) in dry toluene (10 mL) under an argon atmosphere was

added 2-bromopyridine (0.056 mL, 0.584 mmol). The orange-brown solution was then heated at 80°C for 3 h. More 2-bromopyridine (0.010 mL, 0.104 mmol) was added and heating resumed for a further 2 h. The reaction mixture was quenched with saturated ammonium chloride, extracted with dichloromethane (20 mL x 3), the extracts combined, dried, and concentrated.

The residue gave, after column chromatography (silica gel, dichloromethane/methanol (1:0 – 100:1), 5,7-dichloro-8-isopropoxy-2-(methyl-pyridin-2-yl-amino)-quinoline **12** as an off-white solid (175 mg, 69%). <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz): δ 8.47 (dd, *J*=1.7 and 5.0, 1 H), 8.21 (d, *J*=9.3, 1 H), 7.72 (m, 1 H), 7.40 (s, 1 H), 7.32 (m, 2 H), 7.09 (dd, *J*=5.0 and 7.0, 1 H), 5.14 (m, 1 H), 3.80 (s, 3 H), 1.41 (s, 3 H), 1.40 (s, 3 H).

The isopropyl ether **12** (171 mg, 0.472 mmol) was cleaved with boron trichloride according to the procedure of Example 8 to give, after methanol treatment, 5,7-dichloro-8-hydroxy-2-(methyl-pyridin-2-yl-amino)-quinoline as the hydrochloride (170 mg). Water (10 mL) was added and the pH of the mixture was adjusted to 8 with saturated NaHCO<sub>3</sub>. The solid was then isolated via filtration. Subsequent column chromatography (silica gel, dichloromethane/methanol (9:1)) yielded the title compound (**PBT 1056**) as an off-white solid (140 mg, 93%). <sup>1</sup>H NMR (CD<sub>3</sub>OD, 400 MHz): δ 8.43 (dd, *J*=2.0 and 5.0, 1 H), 8.18 (d, *J*=9.4, 1 H), 7.89 (ddd, *J*=2.0, 8.0 and 8.0, 1 H), 7.41 (d, *J*=8.0, 1 H), 7.35 (s, 1 H), 7.27 (d, *J*=9.4, 1 H), 7.25 (dd, *J*=5.0 and 8.0, 1 H), 3.75 (s, 3 H).

#### Example 14 – Preparation of 5,7-dichloro-8-hydroxy-2-(2-pyridyl)quinoline (Scheme 14)



Scheme 14

A mixture of 2,5,7-trichloro-8-hydroxyquinoline **10** (1.14 g, 4.61 mmol), 2-bromopropane (1.10 mL, 11.5 mmol) and anhydrous potassium carbonate (1.56 g, 11.5 mmol) in DMF (15 mL) was heated at 60 °C overnight. The mixture was then poured into water, extracted

with ethyl acetate (20 mL x 3), the extracts combined, and dried. Solvent removal gave a brown oil (3.15 g). Subsequent column chromatography (silica gel, ethyl acetate/hexane (1:9)) afforded 2,5,7-trichloro-8-isopropoxy-quinoline **13** as a white solid (1.15 g, 87%), m.p. 83 - 85 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz): δ 8.43 (d, *J*=10, 1 H), 7.64 (s, 1 H), 7.45 (d, *J*=10, 1 H), 5.15 (m, 1 H), 1.46 (s, 3 H), 1.42 (s, 3 H).

A mixture of 2,5,7-trichloro-8-isopropoxy-quinoline **13** (5.93 g, 20.5 mmol), sodium iodide (12.3 g, 82 mmol) and acetyl chloride (1.4 mL, 20 mmol) in acetonitrile (30 mL) was heated under reflux overnight. The mixture was then poured into water and extracted with ethyl acetate (30 mL x 3). The combined extracts was washed with 10% sodium thiosulphate solution, water, brine, dried with magnesium sulphate and concentrated to give an orange solid (6.9 g). Purification via column chromatography (silica gel, ethyl acetate/hexanes (1:19)) gave the iodide, 5,7-dichloro-2-iodo-8-isopropoxy-quinoline **14**, as a white solid (4.57 g, 58%), m.p. 97 - 99 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz): δ 8.05 (d, *J*=8.6, 1 H), 7.80 (d, *J*=8.6, 1 H), 7.62 (s, 1 H), 5.02 (m, 1 H), 1.45 (s, 3 H), 1.42 (s, 3 H).

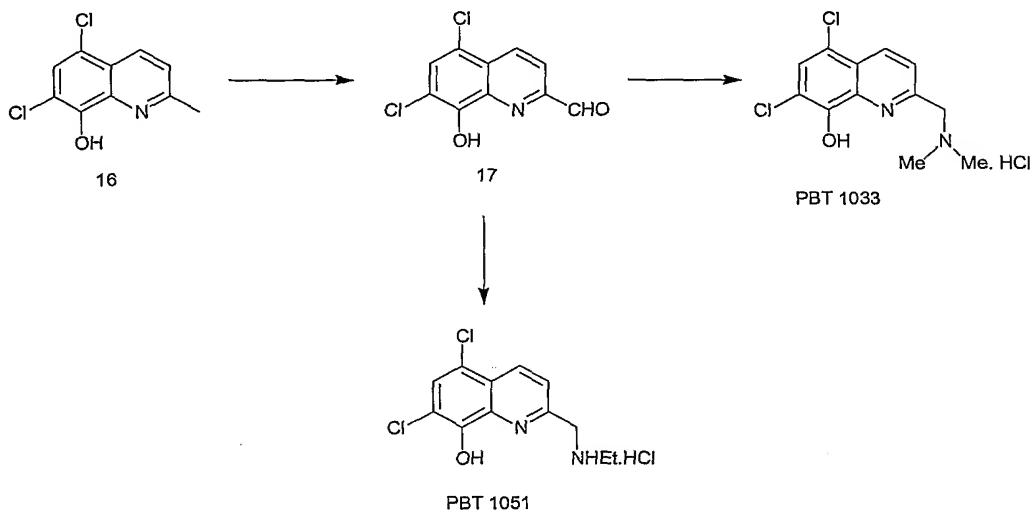
Palladium chloride bis(triphenylphosphine) (362 mg, 0.51 mmol) was added to a stirred solution of 5,7-dichloro-2-iodo-8-isopropoxy-quinoline **14** (2.80 g, 7.35 mmol) in anhydrous THF (150 mL) at room temperature under an atmosphere of nitrogen. 2-Pyridylzinc bromide (29.4 mL of a 0.5 M solution in THF, 14.7 mmol) was then added dropwise over 15 minutes and the mixture was stirred at RT for 2 h. Saturated ammonium chloride was added and the mixture extracted with ethyl acetate (30 mL x 3), the combined extracts dried, and concentrated. The residue afforded, after column chromatography (silica gel, ethyl acetate/hexanes (1:9)), 5,7-dichloro-8-isopropoxy-2-(2-pyridyl)quinoline **15** as a white solid (1.83 g, 75%), m.p. 112 - 114 °C. <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>, 200 MHz): δ 8.78 - 8.58 (m, 4 H), 7.85 (m, 1 H), 7.64 (s, 1 H), 7.39 (m, 1 H), 5.25 (m, 1 H), 1.52 (s, 3 H), 1.50 (s, 3 H).

Boron trichloride (27 mL of a 1 M solution in dichloromethane, 27.6 mmol) was added dropwise to a solution of 5,7-dichloro-8-isopropoxy-2-(2-pyridyl)quinoline **15** (1.83 g, 5.51 mmol) in dichloromethane (30 mL) at 0 °C under an atmosphere of nitrogen. The mixture was stirred at 0 °C for 1 h and then allowed to warm to RT. After 24 h, some starting material was still present (by TLC analysis). More boron trichloride (14 mL) was added and stirring resumed for a further 4 h. The reaction was quenched with methanol (10 mL) and the volatiles removed *in vacuo*. The process was repeated until the residue reached constant weight. This gave 5,7-dichloro-8-hydroxy-2-(2-pyridyl)quinoline as the hydrochloride salt. The hydrochloride salt (1.68 g) and water (20 mL) was then treated with saturated sodium bicarbonate until the pH of the solution was 8. The mixture was the extracted with ethyl acetate (30 mL x 3) and dried. The residue obtained after solvent removal was washed with methanol. This provided 5,7-dichloro-8-hydroxy-2-(2-pyridyl)quinoline (**PBT 1052**) as an off-white solid

- 49 -

(1.25 g, 78%), m.p. >230 °C. <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, 200 MHz): δ 10.8 (br, 1 H), 9.21 (d, *J*=9, 1 H), 8.30–8.62 (m, 3 H), 8.12 (m, 1 H), 7.88 (s, 1 H), 7.62 (m, 1 H).

**Example 15 – Preparation of 5,7-dichloro-2-dimethylaminomethyl-quinolin-8-ol hydrochloride (PBT 1033) (Scheme 15)**



*Scheme 15*

**5,7-Dichloro-8-hydroxyquinoline-2-carboxaldehyde 17**

A solution of 5,7-dichloro-2-methyl-quinolin-8-ol **16** (1.5 g, 6.58 mmol) in 1,4-dioxane (20 mL) was added dropwise over 3 h to a stirred suspension of selenium dioxide (1.3 g, 11.72 mmol) in 1,4-dioxane (60 mL) at 50 - 55 °C. The resulting mixture was then heated at 80 °C overnight, cooled, and the solids filtered off (celite). The filtrate was concentrated and the residue, after washing with diethyl ether (10 mL x 3), gave **17** as a yellow solid (quantitative yield). This material was used in the subsequent step without further purification. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz): δ 10.26 (s, 1 H), 8.69 (d, *J*=8.8, 1 H), 8.37 (br, 1 H), 8.17 (d, *J*=8.8, 1 H), 7.76 (s, 1 H).

**5,7-Dichloro-2-dimethylaminomethyl-quinolin-8-ol hydrochloride (PBT 1033)**

Triethylamine (0.55 mL) was added dropwise to a stirred solution of 5,7-dichloro-8-hydroxyquinoline-2-carboxaldehyde **17** (1.0 g, 4.13 mmol) and dimethylamine hydrochloride (365 mg, 4.48 mmol) in 1,2-dichloroethane (50 mL). After 5 minutes, sodium triacetoxyborohydride (1.2 g, 5.66 mmol) was added portionwise over 5 minutes. The mixture was then allowed to stir at RT overnight. Dichloromethane (100 mL) was added, the mixture washed with saturated sodium bicarbonate (50 mL x 3), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated. The resulting residue was extracted with diethyl ether (50 mL x 4), the ethereal extracts combined

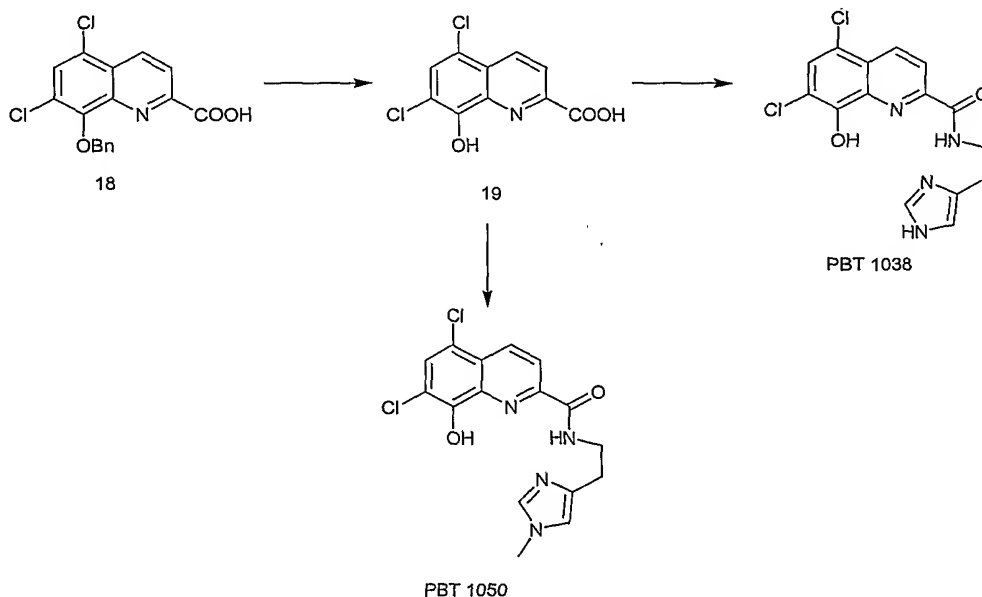
- 50 -

and concentrated. Concentrated hydrochloric acid (5 mL) was then added and the mixture concentrated *in vacuo*. The process was repeated twice. The residue, after washing with dichloromethane, gave 5,7-dichloro-2-dimethylaminomethyl-quinolin-8-ol hydrochloride (**PBT 1033**) as a pale straw-coloured solid (0.96 g, 73%). <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, 400 MHz): δ 10.80 (s, 1 H), 10.40 (br, 1 H), 8.60 (d, *J*=8.6, 1 H), 7.92 (s, 1 H), 7.78 (d, *J*=8.6, 1 H), 4.83 (d, *J*=5.3, 2 H), 2.94 (s, 3 H), 2.92 (s, 3 H).

**Preparation of 5,7-dichloro-2-ethylaminomethyl-quinolin-8-ol hydrochloride (PBT 1051) (Scheme 15)**

The procedure described in Example 15 was repeated on 5,7-dichloro-8-hydroxyquinoline-2-carboxaldehyde **17** (1.00 g, 4.13 mmol) substituting dimethylamine hydrochloride with ethylamine hydrochloride. This provided 5,7-dichloro-2-ethylaminomethyl-quinolin-8-ol hydrochloride (**PBT 1051**) as a pale straw-coloured solid (0.60 g, 47%). <sup>1</sup>H NMR (DMSO-d<sub>6</sub>): δ 9.40 (br, 2 H), 8.59 (d, *J*=8.8, 1 H), 7.90 (s, 1 H), 7.76 (d, *J*=8.8, 1 H), 4.64 (s, 2 H), 3.14 (q, *J*=7.2, 2 H), 1.32 (t, *J*=7.2, 3 H).

**Example 16 – Preparation of 5,7-dichloro-8-hydroxy-quinoline-2-carboxylic acid [2-(1*H*-imidazol-4-yl)-ethyl]-amide (PBT 1038) (Scheme 16)**



Scheme 16

**5,7-Dichloro-8-hydroxyquinoline-2-carboxylic acid 19**

A mixture of 5,7-dichloro-8-benzyloxy-quinoline-2-carboxylic acid **18** (2.56 g, 7.35 mmol) and concentrated hydrochloric acid (25 mL) was stirred at RT for 48 h, and then concentrated to dryness. The resulting residue was washed with diethyl ether (20 mL x 2). This provided 5,7-dichloro-8-hydroxyquinoline-2-carboxylic acid **19** as a yellow solid (1.78 g, 94%).  
<sup>1</sup>H NMR (CDCl<sub>3</sub>/DMSO-d<sub>6</sub> (19:1), 400 MHz): δ 10.60 (br, 1 H), 8.53 (d, *J*=8.8, 1 H), 8.22 (d, *J*=8.8, 1 H), 7.60 (s, 1 H).

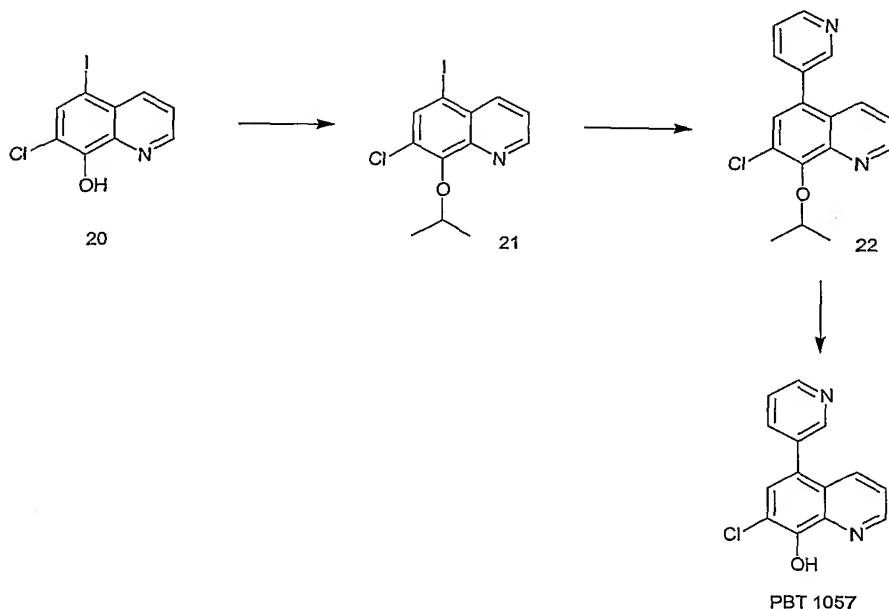
**5,7-Dichloro-8-hydroxyquinoline-2-carboxylic acid [2-(1*H*-imidazol-4-yl)-ethyl]-amide (PBT 1038)**

According to the procedure described in Example 1, 5,7-dichloro-8-hydroxyquinoline-2-carboxylic acid **19** (597 mg, 2.31 mmol), dicyclohexylcarbodiimide (483 mg, 2.31 mmol), 1-hydroxybenzotriazole hydrate (316 mg, 2.31 mmol), histamine dihydrochloride (425 mg, 2.31 mmol) and triethylamine (0.5 mL) gave, after column purification (silica gel, ethyl acetate/isopropanol/water (12:4:1)), 5,7-dichloro-8-hydroxyquinoline-2-carboxylic acid [2-(1*H*-imidazol-4-yl)-ethyl]-amide (**PBT 1038**) as a pale straw-coloured solid (276 mg, 34%).  
<sup>1</sup>H NMR (DMSO-d<sub>6</sub>, 400 MHz): δ 11.40 (br, 2 H), 9.74 (m, 1 H), 8.64 (d, *J*=8.6, 1 H), 8.28 (d, *J*=8.6, 1 H), 7.92 (s, 1 H), 7.53 (s, 1 H), 6.83 (s, 1 H), 3.59 (m, 2 H), 2.81 (m, 2 H).

**Preparation of 5,7-dichloro-8-hydroxyquinoline-2-carboxylic acid [2-(1-methyl-1*H*-imidazol-4-yl)-ethyl]-amide (PBT 1050) (Scheme 16)**

Following the procedure of Example 1, 5,7-dichloro-8-hydroxyquinoline-2-carboxylic acid **19** (1.00 g, 3.88 mmol) was treated with dicyclohexylcarbodiimide (0.96 g, 4.60 mmol), 1-hydroxybenzotriazole hydrate (0.53 g, 5.20 mmol), 1-methyl-1*H*-histamine hydrochloride (1.24 g, 7.67 mmol) and triethylamine (0.65 mL) for 24 h. The solid was isolated via filtration and dissolved in hot methanol. Upon cooling, this provided 5,7-dichloro-8-hydroxy-quinoline-2-carboxylic acid [2-(1-methyl-1*H*-imidazol-4-yl)-ethyl]-amide (**PBT 1050**) as colourless needles (0.99 g, 70%).  
<sup>1</sup>H NMR (DMSO-d<sub>6</sub>, 400 MHz): δ 10.25 (s, 1 H), 9.10 (m, 1 H), 8.14 (s, 1 H), 7.83 (d, *J*=8.6, 1 H), 7.44 (d, *J*=8.6, 1 H), 7.12 (s, 1 H), 6.68 (s, 1 H), 2.95 (s, 3 H), 2.85 (m, 2 H), 2.15 (m, 2 H).



**Example 17 – Preparation of 7-chloro-5-(pyridin-3-yl)-quinolin-8-ol (PBT 1057) (Scheme 17)***Scheme 17*

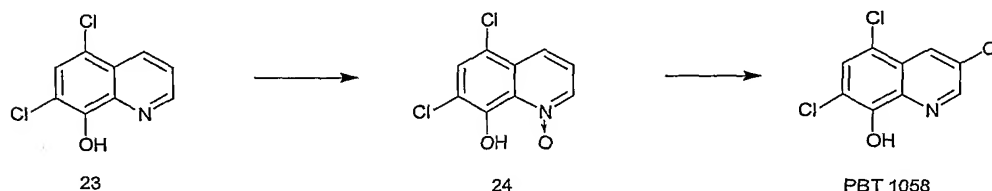
Following the procedure described in Example 8, 7-chloro-5-iodo-8-hydroxy-quinoline **20** and 2-bromopropane gave 7-chloro-5-iodo-8-isopropoxy-quinoline **21** (80%). <sup>1</sup>H NMR (CDCl<sub>3</sub>/DMSO-d<sub>6</sub> (19:1), 400 MHz): δ 9.09 (m, 1 H), 8.55 (m, 1 H), 8.10 (s, 1 H), 7.63 (m, 1 H), 5.15 (m, 1 H), 1.49 (s, 3 H), 1.47 (s, 3 H).

A mixture of **21** (180 mg, 0.518 mmol), 3-pyridylboronic acid (76 mg, 0.622 mmol), KF (60 mg, 1.04 mmol), Pd(Ph<sub>3</sub>P)<sub>4</sub> (10 mg) and toluene-water (1:1, 10 mL) was heated under reflux under an argon atmosphere for 16 h. The mixture was cooled, quenched with saturated ammonium chloride, extracted with dichloromethane (10 mL x 3), the extracts combined, dried, and concentrated. The residue gave, after column chromatography (silica gel, dichloromethane/methanol (40:1)), 7-chloro-5-(pyridin-3-yl)-8-isopropoxyquinoline **22** as a pale cream solid (20 mg, 14%); 132 mg of starting material was also recovered. **22**: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz): δ 9.00 (m, 1 H), 8.08 (m, 1 H), 7.93 (d, *J*=7.7, 1 H), 7.70 - 7.56 (m, 2 H), 7.55 (s, 1 H), 7.50 - 7.42 (m, 2 H), 5.23 (m, 1 H), 1.49 (s, 3 H), 1.48 (s, 3 H).

Cleavage of the isopropyl ether **22** (20 mg, 0.07 mmol) with boron trichloride following the method outlined in Example 8, gave 7-chloro-5-(pyridin-3-yl)-quinolin-8-ol (**PBT 1057**) as a pale straw-coloured solid (17 mg, 94%). <sup>1</sup>H NMR (CD<sub>3</sub>OD, 400 MHz): δ 9.16 (m, 2

H), 9.04 (d,  $J=5.8$ , 1 H), 8.93 (dd,  $J=1.2$  and 8.6, 1 H), 8.84 (m, 1 H), 8.30 (dd,  $J=5.8$  and 8.1, 1 H), 8.09 (s, 1 H), 8.08 (dd,  $J=5.1$  and 8.6, 1 H).

**Example 18 – Preparation of 3,5,7-trichloro-8-hydroxyquinoline (PBT 1058) (Scheme 18)**

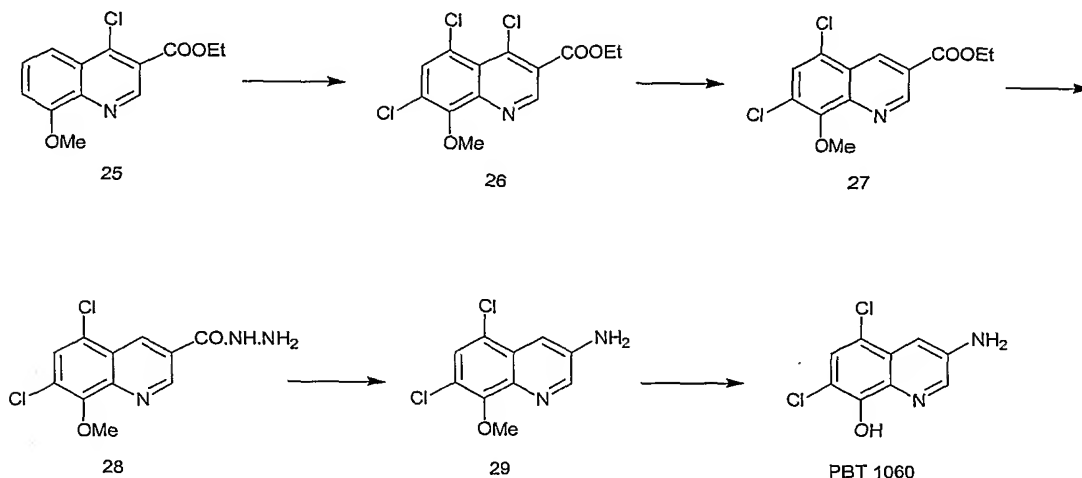


*Scheme 18*

*m*-Chloroperbenzoic acid (3.10 g of a 70% reagent, 26 mmol) was added portionwise to a stirred solution of 5,7-dichloro-8-hydroxyquinoline **23** (5.00 g, 23 mmol) in chloroform (150 mL) at 0 °C. After 1 h, the mixture was warm to RT and allowed to stir for a further 48 h. The mixture was concentrated and the residue partitioned between ethyl acetate and 1 N NaHCO<sub>3</sub> (200 mL, 1:1); some of the 1-*N*-oxide **24** remained as a precipitate and was isolated via filtration. The filtrate was then extracted with ethyl acetate (40 mL x 3), the extracts combined, dried, and concentrated to give more 1-*N*-oxide **24**. A total of 4.76 g (90%) of 1-*N*-oxide **24** was obtained. <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>, 400 MHz): δ 8.74 (d,  $J=5.9$ , 1 H), 8.24 (d,  $J=8.8$ , 1 H), 8.02 (s, 1 H), 7.70 (dd,  $J=5.9$  and 8.8, 1 H).

A solution of **24** (2.01 g, 8.8 mmol) and phosphorus oxychloride (40 mL) was heated under reflux for 18 h. Excess phosphorus oxychloride was removed *in vacuo*, concentrated hydrochloric acid (80 mL) was added, the solution heated under reflux for 2 h, and cooled. The mixture was then poured into ice and aqueous ammonia, adjusting the pH to 8. The precipitate was isolated via filtration and washed with water. This material was then dissolved in dichloromethane and successively filtered through short pads of silica gel and celite. The solvent was removed providing 3,5,7-trichloro-8-hydroxyquinoline (**PBT 1058**) as an off-white solid (0.92 g, 42 %), m.p. 144 - 147 °C (lit. (Gershon *et al*, 1999) 159 - 160 °C). <sup>1</sup>H NMR (CD<sub>3</sub>OD): δ 8.85 (d,  $J=2.2$ , 1 H), 8.53 (d,  $J=2.2$ , 1 H), 7.71 (s, 1 H); mass spectrum: *m/z* 248, 250, 252 (*M*<sup>+</sup> + 1, 100, 100 and 33%, respectively).

**Example 19 – Preparation of 3-amino-5,7-dichloro-8-methoxyquinoline (PBT 1060)**  
**(Scheme 19)**



*Scheme 19*

**4,5,7-Trichloro-8-methoxy-quinoline-3-carboxylic acid ethyl ester 26**

To a stirred solution of 4-chloro-8-methoxy-quinoline-3-carboxylic acid ethyl ester (1.00 g, 3.76 mmol) in chloroform (50 mL) was added, dropwise over 1 h, a solution of sulfuryl chloride (15 mL) in chloroform (15 mL) whilst maintaining the temperature at 25 - 30 °C. The solution was then heated at 60 - 70 °C for 48 h. During this time, further sulfuryl chloride (2 mL) was added at regular intervals (2, 24 and 30 h). The solution was allowed to cool to RT and added to ice-aqueous ammonia, adjusting the pH to 8. The mixture was then extracted with dichloromethane (20 mL x 3), the extracts combined and concentrated. Column chromatography (silica gel, dichloromethane/methanol (100:1)) gave the title compound **26** as a cream solid (0.36 g, 29%). <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 400 MHz): δ 9.04 (s, 1 H), 7.78 (s, 1 H), 4.51 (q, *J*=7.1, 2 H), 4.14 (s, 3 H), 1.46 (t, *J*=7.1, 3 H).

**5,7-Dichloro-8-methoxy-quinoline-3-carboxylic acid ethyl ester 27**

A suspension of zinc powder (0.70 g) was stirred at 20 °C in a solution of 4,5,7-trichloro-8-methoxy-quinoline-3-carboxylic acid ethyl ester **26** (364 mg, 1.09 mmol) and acetic acid (2.2 ml) in 1,4-dioxane (15 ml). After 20 minutes, ethyl acetate (20 ml) was added and the resultant mixture filtered through a pad of celite. The filtrate was washed with saturated aqueous sodium chloride solution (10 ml), dried (MgSO<sub>4</sub>), filtered, and the solvent removed under vacuum. The residue was chromatographed on flash silica (ethyl acetate/hexane, 1:9), yielding 130 mg (39%) of the title compound **27** as a white solid. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz): δ 9.51 (d,

$J=2.0$ , 1 H), 9.15 (d,  $J=2.0$ , 1 H), 7.72 (s, 1 H), 4.51 (q,  $J=7.2$ , 2 H), 4.18 (s, 3 H), 1.47 (t,  $J=7.2$ , 3 H).

### 5,7-Dichloro-8-methoxy-quinoline-3-carboxylic acid hydrazide **28**

A solution of 5,7-dichloro-8-methoxy-quinoline-3-carboxylic acid ethyl ester **27** (404 mg, 1.35 mmol) and hydrazine monohydrate (1.0 g) in ethanol (10 ml) was heated under reflux for 5 hours. Upon cooling, a white crystalline solid was deposited. This was isolated via filtration, washed with ethanol, and dried, yielding the hydrazide **28** (307 mg, 80%) as a white solid.  $^1\text{H-NMR}$  (DMSO- $d_6$ , 400 MHz):  $\delta$  10.32 (br, 1 H), 9.33 (d,  $J=2.4$ , 1 H), 8.89 (d,  $J=2.4$ , 1 H), 8.02 (s, 1 H), 4.66 (br, 2 H), 4.06 (s, 3 H).

### 3-Amino-5,7-dichloro-8-methoxy-quinoline **29**

Sodium nitrite (180 mg, 2.61 mmol) was added at 0 °C to a stirred suspension of 5,7-dichloro-8-methoxy-quinoline-3-carboxylic acid hydrazide **28** (248 mg, 0.87 mmol) in 1 M hydrochloric acid (2 ml), acetic acid (5 ml) and water (20 ml). Stirring was continued at 0° for 1 h, the ice bath removed and upon warming to RT, the heterogeneous mixture was heated under reflux. The mixture became homogeneous after about 30 minutes and heating was continued for a total of 6 h. Upon cooling, the volatiles were removed under vacuum and the residue partitioned between ethyl acetate (20 ml) and 10% aqueous ammonia solution (10 ml). The layers were separated and the aqueous layer washed with more ethyl acetate (5 ml x 2). The combined ethyl acetate layers were dried ( $\text{Na}_2\text{SO}_4$ ), filtered and the ethyl acetate removed under vacuum. The residue gave, after flash chromatography (ethyl acetate/hexane, 1:1), the title compound **29** (106 mg, 50%) as a white solid.  $^1\text{H-NMR}$  (DMSO- $d_6$ , 400 MHz):  $\delta$  8.48 (d,  $J=2.4$ , 1 H), 7.63 (s, 1 H), 7.28 (d,  $J=2.4$ , 1 H), 6.16 (br, 2 H), 3.97 (s, 3 H).

### 3-Amino-5,7-dichloro-quinolin-8-ol

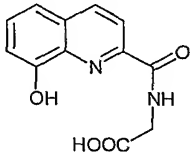
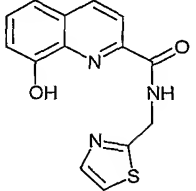
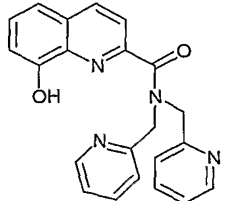
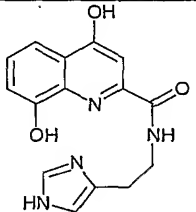
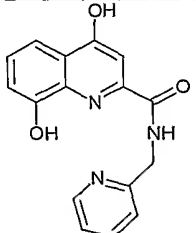
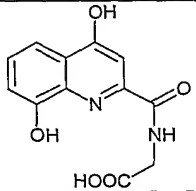
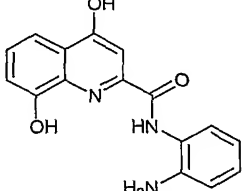
Boron tribromide (2.0 ml of a 1 M solution in dichloromethane, 2.0 mmol) was added to a stirred suspension of 3-amino-5,7-dichloro-8-methoxy-quinoline (104 mg, 0.43 mmol) in dichloromethane (10 ml) at -30 °C. Stirring was continued for 14 h with the cold bath being allowed to reach RT. The mixture was then cooled to 0 °C and water (1 ml) added. The dichloromethane was then removed and ethyl acetate (10 ml) and more water (5 ml) were added. The yellow precipitate that formed was collected by filtration and dried to give 74 mg of a mixture of starting material and product (NMR analysis). The ethyl acetate layer from the filtrate was dried ( $\text{Na}_2\text{SO}_4$ ), filtered, and the solvent removed under vacuum to yield 42 mg of solid which was also a mixture of starting material and product (NMR analysis). The two solid samples were combined and the components separated by flash chromatography (ethyl acetate/2-propanol, 1:0-3:1). This provided title compound as the hydrobromide (30 mg), and

- 56 -

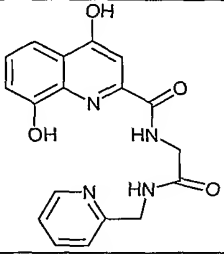
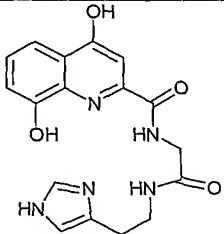
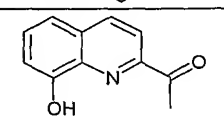
recovered starting material (57 mg). To a mixture of 3-amino-5,7-dichloro-quinolin-8-ol hydrobromide and water (10 mL) was added saturated NaHCO<sub>3</sub> until the pH was 8. The solid was isolated yielding the title compound (**PBT 1060**) as a cream solid (25 mg, 26%). <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 400 MHz): δ 8.15 (br, 1 H), 7.24 (br, 1 H), 7.14 (br, 1 H), 5.70 (br, 2 H); mass spectrum: *m/z* 229, 231 (M<sup>+</sup> + 1, 100 and 66%, respectively). 3-Amino-5,7-dichloro-quinolin-8-ol hydrobromide: <sup>1</sup>H-NMR (CD<sub>3</sub>OD, 400 MHz): δ 8.43 (d, *J*=2.6, 1 H), 7.46 (d, *J*=2.6, 1 H), 7.42 (s, 1 H).

Table 1 Data for Examples 1 and 2.

Product ID	Method of Preparation <sup>a</sup>	Product	Yield (%)	<sup>1</sup> H NMR data	Mass spectral data
A1	A		52	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 9.81 (m, 1 H), 8.30 – 8.23 (m, 2 H), 7.91 (d, $J=9.0$ , 1 H), 7.86 (s, 1 H), 7.68 (d, $J=9.0$ , 1 H), 7.51 (m, 1 H), 7.36 (m, 1 H), 7.19 (d, $J=4.0$ , 1 H), 6.94 (s, 1 H), 3.80 (m, 2 H), 3.03 (m, 2 H)	
A2	A		85	(CDCl <sub>3</sub> ): $\delta$ 9.61 (m, 1 H), 8.60 (d, $J=5.4$ , 1 H), 8.27 – 8.18 (m, 2 H), 8.03 (m, 1 H), 7.93 (d, $J=8.0$ , 1 H), 7.77 (d, $J=8.3$ , 1 H), 7.63 (d, $J=8.0$ , 1 H), 7.51 (d, $J=8.0$ , 1 H), 7.35 (d, $J=8.0$ , 1 H), 7.24 (m, 1 H), 3.90 (m, 2 H), 3.42 (m, 2 H)	294 (M <sup>+</sup> + 1)
A3	A		65	(CDCl <sub>3</sub> ): $\delta$ 9.53 (m, 1 H), 8.42 – 8.25 (m, 2 H), 7.65 – 7.25 (m, 6 H)	272 (M <sup>+</sup> + 1)
A4	A		81	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 10.35 (br, 1 H), 8.38 – 8.29 (m, 2 H), 7.58 (m, 1 H), 7.48 (s, 1 H), 7.40 (d, $J=8.3$ , 1 H), 7.27 – 7.20 (m, 2 H), 2.48 (s, 3 H)	286 (M <sup>+</sup> + 1)
A5	A		81	(CDCl <sub>3</sub> ): $\delta$ 10.54 (t, $J=4.0$ , 1 H), 8.72 (br, 1 H), 8.63 (d, $J=5.6$ , 1 H), 8.30 – 8.18 (m, 2 H), 7.9 (d, $J=7.8$ , 1 H), 7.64 – 7.30 (m, 5 H), 5.10 (m, 2 H)	280 (M <sup>+</sup> + 1)
A6	A		65	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 11.34 (br, 1 H), 8.36 (s, 1 H), 7.59 (m, 1 H), 7.56 (d, $J=9.0$ , 1 H), 7.41 (d, $J=9.0$ , 1 H), 7.23 (d, $J=4.0$ , 1 H), 7.19 (d, $J=4.0$ , 1 H), 7.09 (m, 1 H), 6.96 (m, 1 H), 5.00 (br, 2 H)	
A7	A		71	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 10.16 (m, 1 H), 8.60 (br, 1 H), 8.25 (m, 1 H), 7.92 (d, $J=7.8$ , 1 H), 7.67 (d, $J=7.8$ , 1 H), 7.57 – 7.35 (m, 2 H), 5.20 (br, 2 H), 3.89 (m, 2 H), 2.60 (m, 2 H)	

A8	A		62	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 10.35 (m, 1 H), 8.37 (s, 1 H), 7.81 (m, 1 H), 7.59 – 7.39 (m, 2 H), 4.23 (m, 2 H), 3.60 (br, 2 H)	
A9	B		60	(CDCl <sub>3</sub> ): $\delta$ 9.01 (m, 1 H), 8.31 (m, 1 H), 8.25 (br, 1 H), 7.77 (d, $J=3.4$ , 1 H), 7.55 (dd, $J=8.0$ and 8.0, 1 H), 7.40 (d, $J=8.0$ , 1 H), 7.33 (d, $J=3.4$ , 1 H), 7.27 (m, 1 H), 7.24 (d, $J=7.3$ , 1 H), 5.05 (m, 2 H)	286 (M <sup>+</sup> + 1)
A10	B		63	(CDCl <sub>3</sub> ): $\delta$ 9.60 (br, 1 H), 8.75 (d, $J=4.2$ , 1 H), 8.58 (d, $J=4.5$ , 1 H), 8.28 (d, $J=8.6$ , 1 H), 8.08 (d, $J=8.6$ , 1 H), 7.77 (m, 1 H), 7.68 (m, 1 H), 7.58 – 7.15 (m, 7 H)	371 (M <sup>+</sup> + 1)
B1	A		77	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 9.60 (m, 1 H), 8.29 (s, 1 H), 7.91 (d, $J=8.3$ , 1 H), 7.68 – 7.65 (d, $J=9.0$ , 1 H), 7.50 – 7.29 (m, 2 H), 7.15 – 7.07 (m, 2 H), 3.40 (m, 2 H), 3.30 (br, 2 H), 3.10 (m, 2 H).	
B2	A		31	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 10.11 (m, 1 H), 9.55 (br, 1 H), 8.63 (d, $J=4.4$ , 1 H), 7.95 (m, 1 H), 7.70 – 7.65 (m, 2 H), 7.58 (s, 1 H), 7.45 (m, 1 H), 7.38 – 7.34 (m, 2 H), 7.14 (m, 1 H), 4.96 (m, 2 H)	
B3	A		78	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 10.35 (m, 1 H), 8.37 (s, 1 H), 7.81 (m, 1 H), 7.59 – 7.39 (m, 2 H), 4.23 (m, 2 H), 3.60 (br, 2 H)	
B4	A		97	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 10.99 (br, 1 H), 9.63 (br, 1 H), 7.77 (s, 1 H), 7.69 (d, $J=8.5$ , 1 H), 7.50 – 7.32 (m, 3 H), 7.18 – 7.05 (m, 2 H), 6.85 – 6.78 (m, 2 H), 4.20 (br, 2 H)	296 (M <sup>+</sup> + 1)

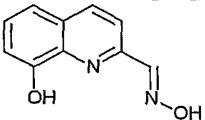
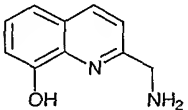
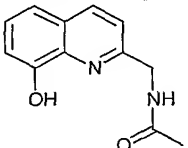
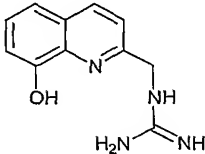
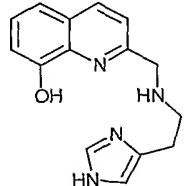
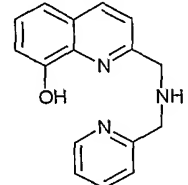
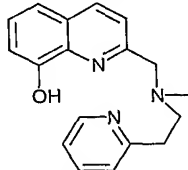
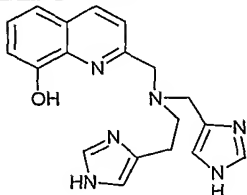
- 59 -

B5	A		51	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 9:1): $\delta$ 10.02 (m, 1 H), 8.49 (s, 1 H), 7.95 (m, 1 H), 7.72 – 7.60 (m, 3 H), 7.22 – 7.10 (m, 4 H), 4.57 (m, 2 H), 4.22 (m, 2 H), 3.20 (br, 2 H)	352 (M <sup>+</sup> + 1)
B6	A		47	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 9.92 (m, 1 H), 7.67 (d, <i>J</i> =8.8, 1 H), 7.53 (m, 1 H), 7.50 – 7.30 (m, 4 H), 7.12 (d, <i>J</i> =8.0, 1 H), 6.76 (s, 1 H), 4.09 (m, 2 H), 3.48 (m, 2 H), 2.60 (m, 2 H)	390 (M <sup>+</sup> + 1)
C1			98	(CDCl <sub>3</sub> ): $\delta$ 8.31 (d, <i>J</i> =9.0, 1 H), 8.18 (d, <i>J</i> =9.0, 1 H), 8.15 (br, 1 H), 7.60 (dd, <i>J</i> =9.0 and 9.0, 1 H), 7.42 (d, <i>J</i> =9.0, 1 H), 7.28 (d, <i>J</i> =9.0, 1 H), 2.88 (s, 3 H)	

<sup>a</sup>See Experimental Section: A = General Procedure A; B = General Procedure B.



Table 2 Data for Examples 3, 4, 5 and 6

Product ID	Product	Yield (%)	<sup>1</sup> H NMR data	Mass spectral data
D1		80	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 11.00 (br, 1 H), 8.41 (s, 1 H), 8.16 (d, <i>J</i> =8.6, 1 H), 8.03 (d, <i>J</i> =8.6, 1 H), 7.47 (m, 1 H), 7.34 (d, <i>J</i> =8.3, 1 H), 7.23 (d, <i>J</i> =7.5, 1 H), 2.40 (br, 1 H)	
E1		82	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 8.12 (d, <i>J</i> =8.0, 1 H), 7.46 – 7.30 (m, 4 H), 7.17 (d, <i>J</i> =7.3, 1 H), 4.20 (br s, 2 H), 3.20 (br, 2 H)	
E2		60	(CDCl <sub>3</sub> ): δ 8.25 (d, <i>J</i> =8.3, 1 H), 7.53 – 7.48 (m, 2 H), 7.38 (d, <i>J</i> =8.3, 1 H), 7.30 (d, <i>J</i> =7.6, 1 H), 6.70 (br, 1 H), 4.82 (m, 2 H), 3.53 (s, 1 H), 2.14 (s, 3 H)	
E3		82	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 8.89 (br, 1 H), 8.20 (d, <i>J</i> =8.5, 1 H), 7.56 (m, 1 H), 7.50 – 7.40 (m, 2 H), 7.33 (d, <i>J</i> =8.0, 1 H), 7.21 (d, <i>J</i> =7.5, 1 H), 5.30 (br, 1 H), 4.83 (m, 2 H), 2.88 (br s, 3 H)	
F1		61	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 8.02 (d, <i>J</i> =8.6, 1 H), 7.50 (s, 1 H), 7.35 – 7.20 (m, 4 H), 7.06 (d, <i>J</i> =7.3, 1 H), 6.74 (s, 1 H), 6.30 (br, 2 H), 4.10 (s, 2 H), 3.03 (m, 2 H), 2.84 (m, 2 H)	
F2		86	(CDCl <sub>3</sub> ): δ 8.63 (d, <i>J</i> =4.7, 1 H), 8.11 (d, <i>J</i> =8.3, 1 H), 7.66 (m, 1 H), 7.46 (d, <i>J</i> =8.3, 1 H), 7.41 (d, <i>J</i> =7.8, 1 H), 7.34 (d, <i>J</i> =8.3, 1 H), 7.28 (d, <i>J</i> =8.3, 1 H), 7.22 – 7.16 (m, 2 H), 4.18 (m, 2 H), 4.02 (m, 2 H), 2.60 (br, 2 H)	
F3		68	(CDCl <sub>3</sub> ): δ 8.53 (d, <i>J</i> =4.9, 1 H), 8.06 (d, <i>J</i> =8.3, 1 H), 7.59 (m, 1 H), 7.45 (d, <i>J</i> =8.3, 1 H), 7.40 (d, <i>J</i> =7.8, 1 H), 7.29 (d, <i>J</i> =8.3, 1 H), 7.16 (d, <i>J</i> =8.3, 1 H), 7.14 (m, 1 H), 3.91 (s, 2 H), 3.08 (m, 2 H), 2.91 (m, 2 H), 2.39 (s, 3 H)	
G1		77	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 8.02 (d, <i>J</i> =8.6, 1 H), 7.50 (s, 1 H), 7.35 – 7.20 (m, 4 H), 7.06 (d, <i>J</i> =7.3, 1 H), 6.74 (s, 1 H), 6.30 (br, 2 H), 4.10 (s, 2 H), 3.03 (m, 2 H), 2.84 (m, 2 H)	

- 61 -

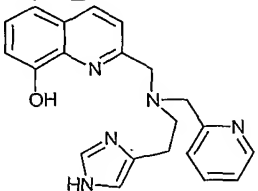
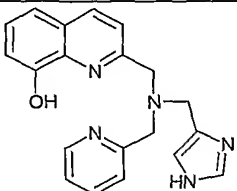
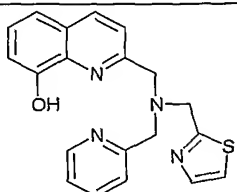
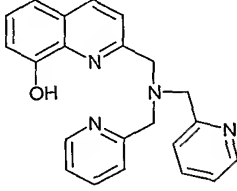
G2		79	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 8.61 (d, $J=4.9$ , 1 H), 8.00 (d, $J=8.3$ , 1 H), 7.68 (s, 1 H), 7.59 (dd, $J=7.5$ and 7.5, 1 H), 7.42–7.13 (m, 7 H), 6.71 (s, 1 H), 4.04 (s, 2 H), 3.93 (s, 4 H), 3.90 (br, 1 H), 2.89 (br s, 4 H)	
H1		66	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 8.59 (d, $J=4.8$ , 1 H), 8.10 (d, $J=8.5$ , 1 H), 7.71–7.64 (m, 2 H), 7.57 (d, $J=8.5$ , 1 H), 7.48–7.37 (m, 2 H), 7.32–7.14 (m, 3 H), 6.96 (s, 1 H), 3.98 (s, 2 H), 3.84 (s, 2 H), 3.80 (br, 1 H), 3.72 (s, 2 H)	346 (M <sup>+</sup> + 1)
H3		51	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): $\delta$ 8.11 (d, $J=8.3$ , 1 H), 7.80–7.65 (m, 2 H), 7.53 (d, $J=8.0$ , 1 H), 7.45–7.10 (m, 5 H), 6.76 (s, 1 H), 5.30 (br, 1 H), 4.08 (m, 4 H), 2.94 (m, 4 H)	363 (M <sup>+</sup> + 1)
H2		73	(CDCl <sub>3</sub> ): $\delta$ 8.57 (m, 2 H), 8.10 (d, $J=8.5$ , 1 H), 7.70–7.63 (m, 2 H), 7.62–7.54 (m, 3 H), 7.41 (dd, $J=8.0$ and 8.0, 1 H), 7.31–7.14 (m, 4 H), 4.03 (s, 2 H), 3.94 (s, 4 H), 3.40 (br, 1 H)	357 (M <sup>+</sup> + 1)

Table 3 Data for Example 7.

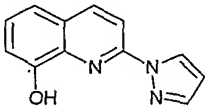
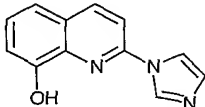
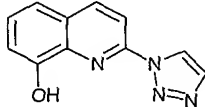
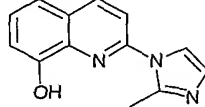
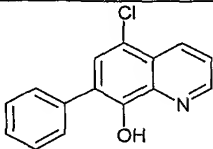
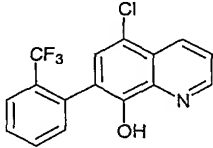
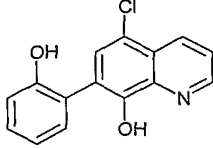
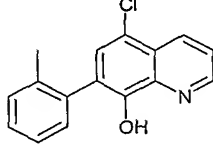
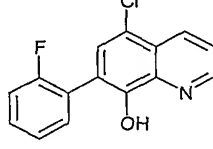
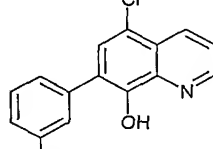
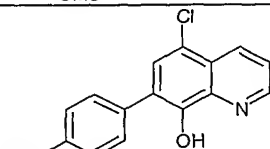
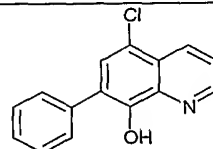
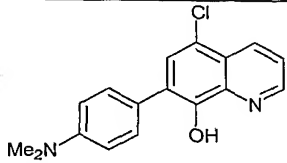
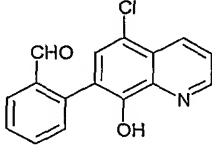
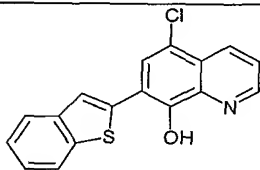
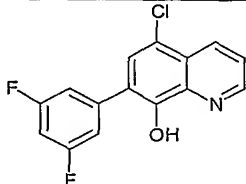
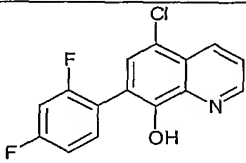
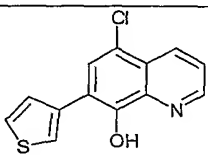
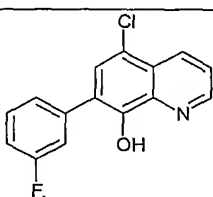
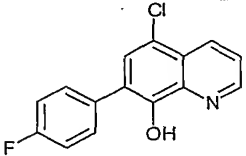
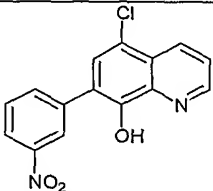
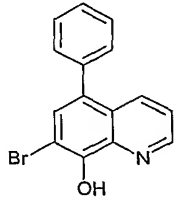
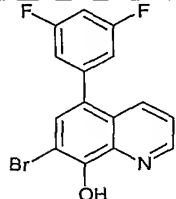
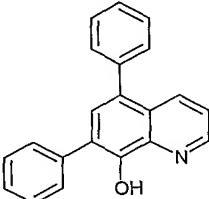
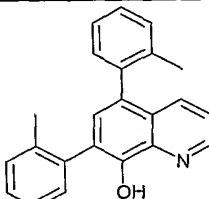
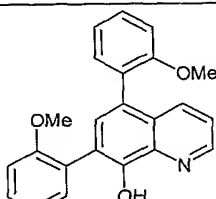
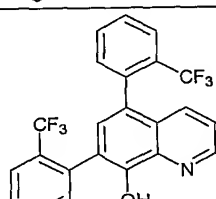
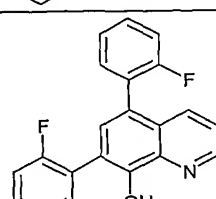
Product ID	Product	Yield (%)	<sup>1</sup> H NMR data	Mass spectral data
I1		72	(CDCl <sub>3</sub> ): δ 8.73 (d, <i>J</i> =8.7, 1 H), 8.28 (d, <i>J</i> =9.0, 1 H), 8.25 (d, <i>J</i> =9.0, 1 H), 7.81 (s, 1 H), 7.66 (s, 1 H), 7.46 – 7.36 (m, 2 H), 7.23 (m, 1 H), 6.56 (m, 1 H)	
I2		75	(CDCl <sub>3</sub> ): δ 8.47 (s, 1 H), 8.34 (d, <i>J</i> =8.9, 1 H), 7.82 (s, 1 H), 7.70 (br, 1 H), 7.57 (d, <i>J</i> =8.9, 1 H), 7.48 (dd, <i>J</i> =7.5 and 7.5, 1 H), 7.39 (m, 1 H), 7.28 – 7.25 (m, 2 H)	
I3		71	(DMSO- <i>d</i> <sub>6</sub> ) (400MHz): δ 8.96 (br, 1 H), 7.58 (d, <i>J</i> =9.4, 1 H), 7.54 – 7.49 (m, 2 H), 7.37 (d, <i>J</i> =7.8, 1 H), 7.31 (dd, <i>J</i> =7.8 and 7.8, 1 H), 7.18 (dd, <i>J</i> =1.4 and 7.8, 1 H)	
I4		68	(CDCl <sub>3</sub> ): δ 8.34 (dd, <i>J</i> =1.5 and 8.8, 1 H), 7.86 (br, 1 H), 7.58 – 7.48 (m, 2 H), 7.42 – 7.40 (m, 2 H), 7.28 (d, <i>J</i> =7.8, 1 H), 7.10 (br, 1 H), 2.71 (s, 3 H)	

Table 4 Data for Examples 8, 9, 10 and 11.

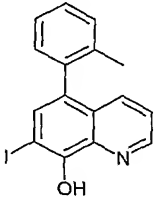
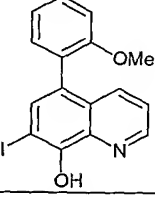
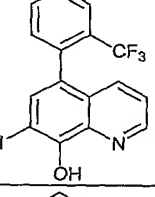
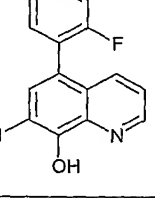
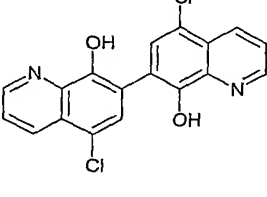
Product ID	Product	Yield (%)	<sup>1</sup> H NMR data	Mass spectral data
K1		89	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.16 (m, 1 H), 9.03 (d, <i>J</i> =8.6, 1 H), 7.95 (dd, <i>J</i> =5.3 and 8.6, 1 H), 7.82 (m, 1 H), 7.58 – 7.42 (m, 3 H), 5.65 (br, 1 H)	256 (M <sup>+</sup> + 1, 100%), 258 (M <sup>+</sup> + 1, 33%)
K2		55	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.23 (d, <i>J</i> =5.1, 1 H), 9.13 (m, 1 H), 8.02 (m, 1 H), 7.83 (d, <i>J</i> =8.0, 1 H), 7.69 (s, 1 H), 7.64 (m, 1 H), 7.41 (d, <i>J</i> =7.3, 1 H), 5.60 (br, 1 H)	324 (M <sup>+</sup> + 1, 100%), 326 (M <sup>+</sup> + 1, 33%)
K3		96	(DMSO-d <sub>6</sub> )(400MHz): δ 8.99 (d, <i>J</i> =4.0, 1 H), 8.57 (d, <i>J</i> =8.4, 1 H), 7.78 (dd, <i>J</i> =4.0 and 8.4, 1 H), 7.62 (s, 1 H), 7.35 (m, 1 H), 7.18 (m, 1 H), 7.16 – 6.86 (m, 2 H)	270 [(M - H) <sup>-</sup> , 100%], 272 [(M - H) <sup>-</sup> , 33%]
K4		90	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.19 (d, <i>J</i> =5.0, 1 H), 9.13 (d, <i>J</i> =8.3, 1 H), 7.96 (dd, <i>J</i> =5.0 and 8.3, 1 H), 7.73 (s, 1 H), 7.40 – 7.22 (m, 4 H), 4.10 (br, 1 H), 2.23 (s, 3 H)	270 (M <sup>+</sup> + 1, 100%), 272 (M <sup>+</sup> + 1, 33%)
K5		95	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.19 (m, 1 H), 9.12 (d, <i>J</i> =8.5, 1 H), 8.00 (dd, <i>J</i> =5.1 and 8.5, 1 H), 7.83 (s, 1 H), 7.54 (m, 1 H), 7.47 (m, 1 H), 7.30 (dd, <i>J</i> =8.3 and 8.5, 1 H), 7.22 (dd, <i>J</i> =8.5 and 8.5, 1 H), 7.00 (br, 1 H)	274 (M <sup>+</sup> + 1, 100%), 276 (M <sup>+</sup> + 1, 33%)
K6		98	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.17 (d, <i>J</i> =4.7, 1 H), 9.10 (d, <i>J</i> =8.3, 1 H), 7.96 (dd, <i>J</i> =4.9 and 8.3, 1 H), 7.91 (s, 1 H), 7.43 (dd, <i>J</i> =8.1 and 8.1, 1 H), 7.28 – 7.24 (m, 2 H), 6.95 (m, 1 H), 5.00 (br, 1 H), 3.88 (s, 3 H)	286 (M <sup>+</sup> + 1, 100%), 288 (M <sup>+</sup> + 1, 33%)
K7		95	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.16 – 9.00 (m, 2 H), 7.92 (dd, <i>J</i> =4.9 and 8.6, 1 H), 7.88 (d, <i>J</i> =6.3, 1 H), 7.22 – 7.66 (m, 2 H), 7.07 – 7.05 (m, 2 H), 5.70 (br, 1 H), 3.85 (s, 3 H)	286 (M <sup>+</sup> + 1, 100%), 288 (M <sup>+</sup> + 1, 33%)
K8		95	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.19 – 9.13 (m, 2 H), 8.05 – 7.93 (m, 2 H), 7.54 – 7.48 (m, 2 H), 7.40 (dd, <i>J</i> =7.3 and 7.3, 1 H), 7.27 (d, <i>J</i> =7.3, 1 H), 6.65 (br, 1 H), 2.45 (s, 3 H)	

K9		97	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.04 (m, 1 H), 8.81 (d, <i>J</i> =8.5, 1 H), 7.97 – 7.88 (m, 4 H), 7.82 (m, 1 H), 7.75 (s, 1 H), 4.20 (br, 1 H), 3.26 (s, 6 H)	
K10		68	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.24 (s, 1 H), 8.74 (d, <i>J</i> =8.3, 1 H), 8.52 (d, <i>J</i> =8.0, 1 H), 8.36 (s, 1 H), 8.24 (d, <i>J</i> =8.0, 1 H), 7.97 (dd, <i>J</i> =7.3 and 7.3, 1 H), 7.82 – 7.70 (m, 3 H), 4.20 (br, 1 H)	
K11		23	(DMSO-d <sub>6</sub> )(400MHz): δ 9.47 (d, <i>J</i> =6.0, 1 H), 9.09 (d, <i>J</i> =8.0, 1 H), 8.51 (s, 1 H), 8.40 (s, 1 H), 8.25 (m, 1 H), 8.10 (m, 1 H), 8.00 (m, 1 H), 7.48 – 7.44 (m, 2 H)	
K12		93	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.04 (m, 1 H), 7.82 (m, 1 H), 7.81 (m, 1 H), 7.74 (s, 1 H), 7.38 – 7.30 (m, 2 H), 6.88 (m, 1 H), 4.60 (br, 1 H)	
K13		43	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.05 (m, 1 H), 8.81 (d, <i>J</i> =8.6, 1 H), 7.81 (m, 1 H), 7.67 (s, 1 H), 7.57 (m, 1 H), 7.07 – 6.95 (m, 2 H), 3.25 (br, 1 H)	
K14		91	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.00 (m, 1 H), 8.53 (m, 1 H), 8.16 (m, 1 H), 8.06 (s, 1 H), 7.82 (m, 1 H), 7.75 (dd, <i>J</i> =4.2 and 8.5, 1 H), 7.66 (dd, <i>J</i> =2.9 and 5.9, 1 H)	
K15		97	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.13 (m, 1 H), 9.03 (m, 1 H), 7.93 (m, 1H), 7.87 (m, 1 H), 7.52 – 7.43 (m, 3 H), 7.14 (m, 1H), 5.35 br, 1 H)	
K16		69	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.14 (d, <i>J</i> =4.9, 1 H), 9.05 (d, <i>J</i> =8.6, 1 H), 7.94 (dd, <i>J</i> =5.2 and 8.6, 1 H), 7.86 (s, 1 H), 7.75 – 7.69 (m, 2 H), 7.24 – 6.75 (m, 2 H), 5.20 (br, 1 H)	509 (M <sup>+</sup> + 1, 100%), 511 (M <sup>+</sup> + 1, 33%)
K17		41	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.06 (m, 1 H), 8.78 (m, 1 H), 8.64 (m, 1 H), 8.26 (m, 1 H), 8.14 (d, <i>J</i> =8.0, 1 H), 7.83 (dd, <i>J</i> =4.6 and 8.8, 1 H), 7.80 (s, 1 H), 7.72 (dd, <i>J</i> =8.0 and 8.0, 1 H), 4.60 (br, 1 H)	

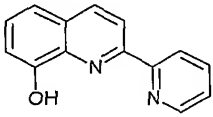
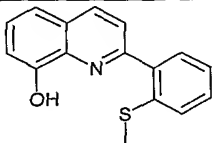
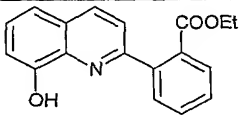
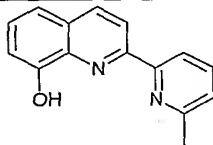
- 65 -

L1		88	(CDCl <sub>3</sub> ): δ 9.00 – 8.88 (m, 2 H), 8.02 (s, 1 H), 7.83 (m, 1 H), 7.60 – 7.38 (m, 5 H), 3.80 (br, 1 H)	300 (M <sup>+</sup> + 1, 100%), 302 (M <sup>+</sup> + 1, 100%)
L2		68	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.18 (m, 1 H), 8.74 (m, 1 H), 7.92 – 7.84 (m, 2 H), 7.04 – 6.95 (m, 3 H), 5.00 (br, 1 H)	336 (M <sup>+</sup> + 1, 100%), 338 (M <sup>+</sup> + 1, 33%)
M1		91	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.15 (m, 1 H), 8.92 (d, J=8.3, 1 H), 7.89 (m, 1 H), 7.81 (m, 1 H), 7.78 – 7.72 (m, 2 H), 7.60 – 7.41 (m, 8 H), 4.60 (br, 1 H)	298 (M <sup>+</sup> + 1)
M2		70	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.10 (m, 1 H), 9.52 (d, J=8.3, 1 H), 7.81 (m, 1 H), 7.59 (s, 1 H), 7.45 – 7.23 (m, 8 H), 3.50 (br, 1 H), 2.25 (s, 3 H), 2.03 (s, 3 H)	
M3		29	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.25 (m, 1 H), 8.59 (d, J=8.3, 1 H), 7.88 – 7.81 (m, 2 H), 7.56 – 7.32 (m, 5 H), 7.18 – 7.03 (m, 3 H), 3.90 (br, 1 H), 3.73 (s, 6 H)	
M4		4		432 (M-H) <sup>-</sup>
M5		39	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.13 (m, 1 H), 8.60 (m, 1 H), 7.82 (m, 1 H), 7.76 (s, 1 H), 7.64 – 7.18 (m, 8 H), 3.30 (br, 1 H)	

- 66 -

N2		16	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.07 (d, <i>J</i> =4.4, 1 H), 8.42 (d, <i>J</i> =8.3, 1 H), 8.08 (s, 1 H), 7.81 (dd, <i>J</i> =3.2 and 8.3, 1 H), 7.47 – 7.30 (m, 3 H), 7.20 (d, <i>J</i> =7.4, 1 H), 5.80 (br, 1 H), 2.01 (s, 3 H)	
N3		46	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.06 (d, <i>J</i> =3.9, 1 H), 8.53 (d, <i>J</i> =8.5, 1 H), 8.08 (s, 1 H), 7.83 (dd, <i>J</i> =5.2 and 8.6, 1 H), 7.51 (m, 1 H), 7.27 (m, 1 H), 7.15 (d, <i>J</i> =8.3, 1 H), 7.07 (d, <i>J</i> =8.3, 1 H), 4.50 (br, 1 H), 3.70 (s, 3 H)	378 (M <sup>+</sup> + 1)
N4		79	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.08 (m, 1 H), 8.26 (m, 1 H), 8.07 (s, 1 H), 7.89 (m, 1 H), 7.80 (dd, <i>J</i> =5.1 and 8.5, 1 H), 7.75 – 7.65 (m, 2 H), 7.36 (m, 1 H), 5.75 (br, 1 H)	416 (M <sup>+</sup> + 1)
N5		59	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 9.11 (m, 1 H), 8.54 (m, 1 H), 8.10 (s, 1 H), 7.88 (dd, <i>J</i> =5.1 and 8.7, 1 H), 7.54 (m, 1 H), 7.42 – 7.22 (m, 3 H), 5.30 (br, 1 H)	366 (M <sup>+</sup> + 1)
O1		22		355 [(M – H) <sup>–</sup> , 100%], 357 [(M – H) <sup>–</sup> , 66%]

**Table 5** Data for the 2-aromatic group-substituted 8-HQ Derivatives (prepared via the Negishi Coupling Reaction)<sup>a</sup>

Product ID	Product	Yield (%)	<sup>1</sup> H NMR data	Mass spectral data
P1		89	(CDCl <sub>3</sub> ): δ 8.98 (d, <i>J</i> =3.9, 1 H), 8.60 (d, <i>J</i> =8.8, 1 H), 8.40–8.15 (m, 3 H), 7.75 (m, 1 H), 7.60 (m, 1 H), 7.50–7.35 (m, 3 H)	223 (M <sup>+</sup> + 1)
P2		80	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 8.68 (d, <i>J</i> =8.3, 1 H), 7.93 (d, <i>J</i> =8.3, 1 H), 7.76–7.54 (m, 5 H), 7.50 (d, <i>J</i> =7.5, 1 H), 7.41 (dd, <i>J</i> =7.3 and 7.3, 1 H), 2.50 (br, 1 H), 2.49 (s, 3 H)	268 (M <sup>+</sup> + 1)
P3		33	(CDCl <sub>3</sub> ): δ 8.66 (d, <i>J</i> =8.8, 1 H), 8.22 (d, <i>J</i> =7.1, 1 H), 7.80–7.38 (m, 7 H), 4.20 (q, <i>J</i> =7.0, 2 H), 1.70 (br, 1 H), 1.18 (t, <i>J</i> =7.0, 3 H)	294 (M <sup>+</sup> + 1)
P4		95	(CDCl <sub>3</sub> /DMSO-d <sub>6</sub> , 19:1): δ 8.64 (d, <i>J</i> =8.5, 1 H), 8.42 (d, <i>J</i> =7.6, 1 H), 8.28 (d, <i>J</i> =8.5, 1 H), 8.10 (m, 1 H), 7.78 (m, 1 H), 7.48–7.16 (m, 3 H), 2.68 (s, 3 H), 2.59 (br, 1 H)	279 (M <sup>+</sup> + 1)

**Example 20 - Assessment of Compounds of Formula I or II**

5

The following Assays were used in the assessment of the compounds of formula I or II for suitability for use in the methods of the invention.

**Assay 1. Fluorometric H<sub>2</sub>O<sub>2</sub> Assay**

10

A fluorometric assay was used to test the ability of a test compound to inhibit hydrogen peroxide generation by Aβ in the presence of copper based on dichlorofluorescein diacetate (DCF; Molecular Probes, Eugene OR). The DCF solution (5mM) in 100% dimethyl sulphoxide (previously purged with argon for 2hr at 20°C) was deacetylated in the presence of 0.25M NaOH for 30min and neutralised at pH 7.4 to a final concentration of 1mM. Horseradish peroxidase(HRP) stock solution was prepared to 1μM at pH 7.4. The reactions were carried out in PBS, pH 7.4 in a 96 well plate (total volume =250μl/well). The reaction solutions contained Aβ 1-42 at concentrations in the range of 50nM to 1μM, copper-glycine chelate (Cu-Gly), was prepared by adding CuCl<sub>2</sub> to glycine in the ratio of 1:6 and added to the Aβ in the proportion 2Cu-Gly : 1Aβ), reducing agents including dopamine (5μM) or ascorbic acid, deacetylated DCF 100μM, and HRP, 0.1μM. 1-10μM EDTA or another chelator may also be present as a control for free copper, but was not required for the assay to function. The reaction mixture was

15

20



- 68 -

incubated at 37°C for 60 min. Catalase (4000 units/ml) and H<sub>2</sub>O<sub>2</sub> (1-2.5 µM) standards in PBS pH 7.4 may be included as positive controls. Fluorescence was recorded using a plate reader with excitation and emission filters at 485nm and 530nm respectively. H<sub>2</sub>O<sub>2</sub> concentration may be established by comparing fluorescence with the H<sub>2</sub>O<sub>2</sub> standards. Inhibition of Aβ H<sub>2</sub>O<sub>2</sub> production was assayed by including a given concentration of test compound(s) in the test wells.

## Assay 2. Neurotoxicity Assays

### *Primary cortical neuronal cultures*

Cortical cultures were prepared as previously described (White *et al.*, 1998). Embryonic day 14 BL6Jx129sv mouse cortices were removed, dissected free of meninges and dissociated in 0.025% (wt/vol) trypsin. Dissociated cells were plated in 48 well culture plates at a density of  $2 \times 10^6$  cells/mL in MEM with 25% (vol/vol) FCS and 5% (vol/vol) HS and incubated at 37°C, 2hrs. Media was then replaced with Neurobasal media (Invitrogen Life Technologies) and B27 supplements (Invitrogen Life Technologies). Cultures were maintained at 37°C in 5% CO<sub>2</sub>. Prior to experimentation, the culture medium was replaced with Neurobasal media and B27 minus antioxidants (Invitrogen Life Technologies).

### *Primary cerebellar granule neuronal cultures*

Cerebella from post-natal day 5-6 (P5-6) mice were removed and dissected free of meninges and dissociated in 0.025% trypsin. Cerebellar granule neurons (CGN) were plated in 24 well culture plates at 350 000 cells/cm<sup>2</sup> in BME (Invitrogen Life Technologies) supplemented with 10% Fetal Calf Serum (FCS), 2 mM glutamine and 25 mM KCl. Gentamycin sulphate (100 µg/mL) was added to all plating media and cultures were maintained at 37°C in 5% CO<sub>2</sub>.

## Assay 3. Assays for Cell Viability

### *(a) MTS Assay for Cell Viability*

Cell viability is determined using the MTS assay. Culture medium is replaced with fresh neurobasal medium plus B27 supplements minus antioxidants. 1/10th volume MTS solution (Cell Titre 96 Aqueous One, Promega Corporation) and incubated at 37°C, 2hrs. 200 microlitre aliquots are measured with a spectrophotometer at 560 nm.

### *(b) LDH Assay for Cell Viability*

Cell death is determined from culture supernatants free of serum and cell debris using the lactate dehydrogenase (LDH) Cytotoxicity Detection Kit (Boehringer Ingelheim) according to the manufacturer's instructions.

**(c) Assay for  $A\beta$  Neurotoxicity and  $A\beta$  Neuroprotection**

Neuronal cortical cells were cultured for five days as per Assay 2. On day six the neurobasal (NB) media (Invitrogen Life Technologies) and B27 supplement (Invitrogen Life Technologies) were replaced with NB media and B27 supplement (no antioxidants). On day six, test compounds were individually added to the neuronal cell cultures:

The test compounds were dissolved in 100% DMSO to a concentration of 2.5 mM (10mM if excess compound was weighed out per vial – then diluted to 2.5mM). 2.5mM stock solution was serially diluted 1 in 10 to give working solutions of 250uM, 25uM, 2.5uM.

 **$A\beta$  preparation:**

$A\beta$  was initially dissolved in 20mM NaOH to a concentration of 1mM and sonicated for 5 minutes. The peptide was then diluted in H<sub>2</sub>O and 10 X PBS to a final concentration of 200uM  $A\beta$  in 1X PBS. The peptide was again sonicated for 5 minutes and then spun at 14000 rpm for 5 min and transferred to a fresh tube.

The test compounds were dissolved in 100% DMSO to a concentration of 2.5 mM (10mM if excess compound was weighed out per vial – then diluted to 2.5mM). 2.5mM stock solution was serially diluted 1 in 10 [in NB media and B27 (no antioxidants)] to give working solutions of 250uM, 25uM, 2.5uM. Test compounds were not added directly to cells, instead they were added to a 48 well 'Drug Plate' as comprised below:

**Preparation of "Drug Plate":**

To a 48 well plate add:

Well 1: 515 ul NB+B27(no antioxidant)\* + 24 ul 25uM test compound + 60ul  $A\beta$  diluent\*\*

Well 2 : 515 ul NB+B27(no antioxidant) + 24 ul 250uM test compound + 60ul  $A\beta$  diluent

Well 3 : 515 ul NB+B27(no antioxidant) + 24 ul test compound diluent\*\*\* + 60ul  $A\beta$ 1-42

Well 4 : 515 ul NB+B27(no antioxidant) + 24 ul 2.5uM test compound + 60ul  $A\beta$ 1-42

Well 5 : 515 ul NB+B27(no antioxidant) + 24 ul 25uM test compound + 60ul  $A\beta$ 1-42

Well 6 : 515 ul NB+B27(no antioxidant) + 24 ul 250 uM test compound + 60ul  $A\beta$ 1-42 diluent

Well 7 : 515 ul NB+B27(no antioxidant) + 24 ul test compound diluent + 60ul  $A\beta$ 1-42 diluent

Well 8 : 600 ul NB+B27(no antioxidant)

N.B. 60ul  $A\beta$ 1-42 equals 20ul  $A\beta$ 1-42 per well equals 20 uM  $A\beta$ 1-42

The Drug Plate was incubated at 37° C for 15 mins. 200 ul of each well was added in triplicate to the corresponding cell plate. The cell plate was incubated at 37 C, for 4 days.

\* NB media + B27 (no antioxidants) ,

- 70 -

\*\* A $\beta$  diluent 2mM NaOH, 1 X PBS

\*\*\* PBT diluent 10% DMSO in NB+B27(no antioxidant)

**Completion of the assay:**

5 On the 4<sup>th</sup> day after treating the cells the assay is completed by adding MTS to the cells.

**(d) Assay for Test Compound Cytotoxicity**

10 Neuronal cortical cells were cultured for five days as per Assay 2 in NB media and B27 supplement.

On day six the test compounds were added to the neuronal cell cultures in NB media and B27 supplement minus antioxidants.

15 Test compounds were dissolved in 100% DMSO to a concentration of 2.5 mM (10mM if excess compound was weighed out per vial – then diluted to 2.5mM). 2.5mM stock solution was serially diluted 1 in 10 to give working solutions of 250uM, 25uM, 2.5uM. Test compounds were not added directly to cells, instead they were added to a 48 well 'Drug Plate' as comprised below:

Preparation of "Drug Plate":

To a 48 well plate add:

20 Well 1: 576 ul NB+B27(no antioxidant)\* + 24 ul 2.5uM test compound  
Well 2 : 576 ul NB+B27(no antioxidant) + 24 ul 25uM test compound  
Well 3 : 576 ul NB+B27(no antioxidant) + 24 ul 250uM test compound  
Well 4 : 576 ul NB+B27(no antioxidant) + 24 ul 2.5uM test compound  
Well 5 : 576 ul NB+B27(no antioxidant) + 24 ul 25uM test compound  
25 Well 6 : 576 ul NB+B27(no antioxidant) + 24 ul 250uM test compound  
Well 7 : 576 ul NB+B27(no antioxidant) + 24 ul test compound diluent\*\*  
Well 8 : 600 ul NB+B27(no antioxidant)

30 The Drug Plate was incubated at 37° C for 15 mins. 200 ul of each well was added in triplicate to the corresponding cell plate. The cell plate was incubated at 37 C, for 4 days.

\* NB media and B27 (no antioxidants) ,

\*\* PBT diluent 10% DMSO in NB+B27 (no antioxidants)

35 On completion of the assay, 1/10 volume MTS was added per well of plate (ie 25ul/ 250 ul). The plates were incubated at 37C for 2hrs, and then absorbance was read at 560nm.

**Assay 4. Caspase Assay**

To measure caspase activity in neuronal cultures, growth medium is removed, cells are washed twice with control salt solution (pH 7.4) and ice-cold cell extraction buffer is added directly to the cultures. The extraction buffer consists of 20 mM Tris (pH 7.4), 1 mM sucrose, 0.25 mM EDTA, 1 mM dithiothreitol (DTT), 0.5 mM PMSF, 1% Triton X-100 (Tx-100) and 1 µg/mL of pepstatin and aprotinin. After incubation for 15 min on ice, the extraction buffer is removed, centrifuged for 5 min at 4°C in a microcentrifuge and 100 µL of supernatant is added to each well of a 96 well plate. 100 µL of 200 µM substrate (either DEVD-pNA, VEID-pNA or IETD-pNA for caspases 3, 6 and 8 respectively) is added to each well to give a final concentration of 100 µM substrate. Plates are incubated at 37°C for 2, 4, 6 or 24 hr and the absorbance is determined at a wavelength of 415 nm (Abs415). The absorbance reading is compared to a known standard of pNA alone.

**Assay 5. Annexin V Assay**

To determine the level of annexin V binding to cells, cultures are washed twice with control salt solution (pH 7.4) followed by the addition of annexin V-FITC at a concentration of approximately 0.5 µg/mL in control salt solution (pH 7.4). Propidium iodide (10 µg/mL) is also added to the cultures at the same time. Cells are incubated in the dark for 30 min at ambient temperature and subsequently washed three times with fresh control salt solution. Analysis of FITC fluorescence (ex. 488 nm, em. 510 nm) is determined using a Leica DMIRB microscope. Photographs are taken with a Leica MPS 60 camera attachment using ASA400 colour film, and negatives are scanned into Adobe Photoshop v2.0.1.

**Assay 6. Lipoprotein Oxidation Assay**

Two different assays of metal-mediated lipid peroxidation can be utilized. The first assay involves measuring the oxidative activity of metallated proteins. This is determined by mixing dialyzed metallated or native protein (at designated concentrations) with 0.5 mg/mL LDL for 24 hr (37°C). Lipid peroxidation (LPO) is measured using a lipid peroxidation assay kit (LPO 486, Oxis International Inc. Portland, OR) as per kit instructions. The level of LPO is determined by comparing absorbance (486 nm) with LDL alone (100% LPO). The second assay is used to measure the LPO activity of native proteins in the presence of free, non-protein-bound Cu. This involves adding non-metallated peptides (140 µM) to 0.5 mg/mL LDL together with 20 µM Cu-gly and assaying for LPO as for the metallated proteins. The level of LPO is determined by comparing the absorbance (486 nm) with LDL + Cu-gly (100% LPO). As a negative control, LDL is also exposed to dialysed Cu-gly solutions comparable to those used to Cu-metallate the proteins.

**Assay 7. Cytotoxicity Induced by Cu-Metallated Proteins**

Proteins or synthetic peptides are mixed with metal-glycine solutions at equimolar or two-fold metal to protein concentration. Metal-protein mixtures are incubated overnight at 37°C and then extensively dialysed (24 hr against two changes of dH<sub>2</sub>O (3 L/change) at room temperature) using mini-dialysis cups with a 3,500 kilodalton cut-off (Pierce, Rockford, IL). Dialysis of proteins against PBS pH 7.4 resulted in metallated proteins with identical activity to dH<sub>2</sub>O dialysis.

To determine their neurotoxic effects, metallated proteins, native proteins or peptides are added to two day-old primary cortical neuronal cultures. The cultures are also exposed to Cu-gly (5 or 10 µM) or LDL. Positive control cultures are treated with Cu-gly + LDL or the LPO product, 4-hydroxy-nonenol (HNE, Sigma Chemicals). Cultures are assayed for cell death using the lactate dehydrogenase (LDH) assay kit (Roche Molecular Biochemicals, Nunawading, Australia) according to the manufacturer's instructions.

**Assay 8. Acridine Orange Assay for Aβ-Mediated Loss of Lysosomal Acidification**

Cultured mouse cortical neurons are treated with Aβ1-42 (20µM) for 16 h and then stained with 5 mg/ml acridine orange (AO) for 5 min at 37°C. 15 min at 37°C. The AO-induced fluorescence is measured with a red filter on a fluorescence microscope. AO is a lysosomotropic weak base which accumulates in the endosomal/lysosomal compartments and displays orange fluorescence during incubation. AO is sequestered inside the lysosomes as long as there is a substantial proton gradient over the lysosomal membranes. Treatment of cells with Aβ1-42 disrupts the lysosomal membrane proton gradient and relocalises AO into the cytosol, as indicated by the loss of orange fluorescence within 16–24 hr.

**Assay 9. Human Brain Amyloid Solubilisation Assay**

This assay was performed in order to assess the ability of a test compound to mobilise Aβ from the insoluble to the soluble phase of an extract of tissue from *post mortem* human AD brain.

Up to 0.5 g of plaque-bearing cortex without meninges was homogenized using a DIAX 900 homogenizer (Heudolph and Co, Kelheim, Germany) or other suitable device for three 30-second periods at full speed in 2 ml of ice-cold phosphate-buffered saline, pH 7.4. To obtain the phosphate-buffered saline-extractable fraction, the homogenate was centrifuged at 100,000 x g for 30 min and the supernatant removed. Alternatively, the tissue was freeze dried then pulverised to form a powder which was then weighed out into aliquots for extraction as above. Supernatant, either freeze-dried and resuspended or in unconcentrated form, was dissolved in 200 µl of Tris-Tricine sodium dodecyl sulfate (SDS) sample buffer pH 8.3

containing 8% SDS, 10% 2-mercaptoethanol. Aliquots (10 µl) were then boiled for 10 minutes before SDS–polyacrylamide gel electrophoresis. The insoluble fraction of the cortical samples was obtained by resuspending the initial pelleted sample in 1 ml of phosphate-buffered saline. A 50-µl aliquot of this suspension was then boiled in 200 ml of sample buffer as above.

5 Tris-Tricine polyacrylamide gel electrophoresis was performed by loading appropriately diluted samples on to 10% to 20% gradient gels (Novex, San Diego, CA) followed by transfer on to 0.2-µm nitrocellulose membrane (Bio-Rad, Hercules, CA). Aβ was detected by using monoclonal antibody W02, which detects residues 5 through 8, 17 (or another suitable antibody) in conjunction with horseradish peroxidase–conjugated rabbit anti-mouse IgG (Dako, Denmark), and visualized by using enhanced chemiluminescence (eg ECL; Amersham Life Science, Buckinghamshire, UK). Each gel included three lanes containing 0.5, 1, and 2 ng of synthetic Aβ<sub>40</sub> (Keck Laboratory, Yale University, New Haven, CT) as reference standards.

10 Blot films were scanned by using a suitable imaging system such as the UVP gel documentation system, and densitometry performed using suitable software, eg UVP Labworks. The dynamic range of the film/scanner was determined by using a step tablet (No. 911ST600, Kodak, Rochester NY), a calibrated film exposed by the manufacturer to provided steps of known increasing intensity. The quantifiable range of signal intensity for densitometric analysis of the mono- and dimeric Aβ bands was based on the comparison with a curve obtained by scanning and densitometry of the step tablet. Samples in which the signal intensity is low after preliminary assay may be re-assayed by using synthetic standards of lower or higher concentration.

15 All samples were analysed at least twice, and gel loadings and dilutions were adjusted to fit within the quantifiable region of the standard curve. The proportion of ‘soluble’ to ‘insoluble’ Aβ may be used to determine the efficiency of extraction of a test compound compared with the efficiency of a known compound, such as clioquinol (PBT 1). The insoluble Aβ being comprised of the pelletable fraction derived from the insoluble amyloid plaque from the above cortical samples and the soluble fraction comprising monomeric and/or oligomeric soluble Aβ.

#### 30 Assay 10. Metal Partitioning

To assay effects upon the partitioning of various metals, including zinc and copper, following extraction of brain tissue in the presence of a test compound, soluble and insoluble fractions from an extract of human brain tissue are prepared as for the amyloid solubilisation assay. Metals in the two fractions are analysed by inductively-coupled plasma mass spectrometry, following appropriate pretreatment with nitric acid and/or hydrogen peroxide where necessary.

### Assay 11. Effect of Administration of Test Compounds on A $\beta$ deposits in Transgenic Animals

Transgenic mouse models are available for a number of neurological disorders, including Alzheimer's disease (Games et al., 1995; Hsiao et al., 1996); Parkinson's disease (Masliah et al., 2000); familial amyotrophic lateral sclerosis (ALS) (Gurney et al., 1994); Huntington's disease (Reddy et al., 1998); and Creutzfeldt-Jakob disease (CJD) (Telling et al., 1994). We have found that one of the transgenic models for Alzheimer's disease, the APP2576 transgenic mouse (Hsiao et al., 1996) also has a high incidence of cataract. These animal models were suitable for testing the methods of the invention.

Transgenic mice of the strain APP2576 (Hsiao et al 1996) were used. Eight to nine month old female mice were selected and divided into groups for treatment.

Mice were sacrificed at intervals, and their brains examined to determine whether the treatment with test compounds decreased brain amyloid formation, and the identification of the most effective administration protocol. The levels of soluble and insoluble A $\beta$  in the brain and serum were determined using calibrated Western blots as per the methodology described for Assay 9. Brain Amyloid Solubilisation Assay.

Other mice in each group were tested over a period of up to eight months for cognitive performance, using a Morris water maze according to standard methods. The general health and well-being of the animals was also measured every day by a blinded operator, using a five point integer scale which subjectively rates a combination of features, including motor activity, alertness and general health signs.

### Assay 12. Solubility Assay

Stock solutions of compounds of formula I or II (1mM) were prepared in dimethyl sulfoxide. Compounds which did not dissolve were classed as not soluble (N). The DMSO stock solutions were diluted 1 in 100 into PBS pH 7.4. Compounds which gave a clear solution were classed as soluble (Y), while those compounds which gave a translucent suspension after dissolution in DMSO were classed as "crashed out" (C).

### Assay 13. Physiochemical Properties

#### *Polar Surface Area Calculations (PSA)*

Polar surface area values were calculated using the web-based program available through "Molinspiration", a package for calculation of molecular properties.

#### *Turbidimetric Solubility Measurements*

The solubility estimate was measured at both pH 2.0 and pH 6.5. This is within the pH range that can be anticipated along the proximal gastrointestinal tract in humans.

- 75 -

The compounds were dissolved in DMSO to appropriate concentrations and then spiked into either 0.01M HCl (approx. pH = 2.0) or pH 6.5 isotonic phosphate buffer, the final DMSO concentration being 1%. Samples were then analysed via Nephelometry to determine a solubility range. [as per D. Bevan and R. S. Lloyd, Anal. Chem. 2000, 72, 1781-1787].

#### *cLog P values*

Theoretical Log P values were determined using the ACD Log P software. The values quoted have been calculated from an untrained database and refer to the unionised species.

#### *E Log D*

Effective Log D values were measured using a chromatographic method employing a SUPELCOSIL LC-ABZ column using an octanol saturated mobile phase at pH 7.4. See F. Lombardo *et al*, J. Med. Chem. 2000, 43, 2922-2928.

### **Assay 14. Blood Brain Barrier Penetration**

The test compounds were dissolved in DMSO and phosphate buffered saline (PBS) was added to obtain solutions at a concentration of 50  $\mu$ M in PBS containing 1.25-2.5% DMSO. A trace amount of  $^{14}$ C-sucrose was added to each stock infusion solution (approx 0.01  $\mu$ Ci/mL) to act as Blood-Brain Barrier (BBB)-impermeable marker in order to assess the integrity of the BBB during each perfusion and to estimate the volume of the residual vascular space (RVS) in samples of brain tissue (ie: the volume of fluid remaining inside the lumen of blood vessels at the end of each perfusion).

Adult male Spague Dawley rats (180-190g) were anaesthetized with intraperitoneal injections of Urethane (25% w/v) at a dose of 1.0 mL/100g body weight. The right common carotid artery was surgically exposed and cannulated for perfusion of the cerebral circulation. The right external carotid artery (which supplies tissues outside the skull) was then ligated distal to its bifurcation from the right common carotid artery so that all of the infusion solution would pass into the brain via the remaining right internal carotid artery. The heart was then exposed and transected immediately prior to the commencement of the infusion. The rate of the infusion was controlled by a pump set to deliver at 3.2mL/min (approx. 85% of the normal blood supply to the brain for this size of rat). The infusion cannula initially contained a 0.5 mL pre-wash of heparinised PBS (10 IU/ml) that acts to flush blood vessels and to prevent blood from clotting and blocking small vessels.

After 1.5 minutes, the infusion pump automatically stopped, the cannula was withdrawn from the carotid artery and a sample of the infusion solution (1-1.5 mL) was then collected from the tip of the infusion cannula. The brain was then dissected free and divided



into 3 parts; the right hemisphere together with the right midbrain, the left hemisphere together with the left midbrain and the hindbrain (cerebellum, pons and brainstem). Only the right part of the brain was used for subsequent measurements because perfusion via the right internal carotid artery preferentially supplies the right hemisphere and right midbrain (the left hemisphere and hindbrain receive a variable collateral perfusion). The brain tissue samples from each animal were frozen at -30°C, homogenized and weighed aliquots analysed by LC-MS to give total brain concentration. The analysis was carried out using the Micromass Triple Quad instrument. The mobile phase consisted of an acetonitrile / water gradient (containing 0.05% Formic acid) and the column was a Phenomenex Luna CN.

Small aliquots from each brain tissue sample and the corresponding infusion solution were analysed by liquid scintillation counting to determine the level of  $^{14}\text{C}$ -sucrose. The residual vascular space (RVS) in each brain tissue sample was calculated by dividing the measured concentration of sucrose in brain tissue (dpm/mg) by its concentration in the corresponding infusion solution (dpm/ $\mu\text{L}$ ). This is the volume of fluid that remains inside blood vessels at the end of each perfusion. Multiplying this RVS by the concentration of the test compound in the infusion solution gives the total residual amount of the test compound that is present inside blood vessels in each brain tissue sample (ie: that which has not crossed the BBB). Subtracting this from the total brain concentration gives the amount of drug in each brain tissue sample that is outside the blood vessels (ie: which has crossed the BBB). Dividing this RVS-corrected brain concentration gives the brain uptake ratio (Equation. 1).

*Equation 1.*

$$\text{Brain Uptake Ratio} = \frac{[\text{brain ng.mg}^{-1}] - [\text{RVS ng.}\mu\text{L}^{-1}]}{[\text{infusion solution ng.}\mu\text{L}^{-1}]}$$

A total of 5-6 brain perfusion experiments were performed for each of the test compounds and mean brain uptake ratios were calculated.

Ratios of greater than 50% indicate compounds that enter the brain extremely rapidly; ratios between 10 and 50% indicate compounds that enter the brain well; ratios less than 10% (not observed) would indicate compounds that enter the brain very slowly and would not be suitable for therapeutic administration; ratios less than 1% (not observed) would indicate compounds that are effectively excluded from the brain.

- 77 -

**Assay 15. Transgenic Mouse Brain Immunohistochemistry**

The APP2576 transgenic mouse (Hsiao et al., 1996) as referred to in Assay 11 were utilized in this assay. The contralateral formalin-fixed mouse brain tissue was coronally cut. Sections (10  $\mu$ m) were taken from the corresponding sites and treated with 80% formic acid for antigen retrieval. The primary antibody used was monoclonal antibody 1E8, which recognizes epitopes between residues 18 and 22 of A $\beta$  (SmithKline Beecham, UK). Immunoreactivity was developed with secondary antibody linked to horseradish peroxidase (using a 3,39-diaminobenzidinechromagen) (Dako) and alkaline phosphatase (using 5-bromo-4-chloro 3-indoxyl phosphate and nitroblue tetrazolium chloride chromagen) (Dako). Plaque abundance per section was assessed by two operators blinded to treatment according to the following scale:

0 = no plaques apparent

1 = plaques present but very sparse

2 = several plaques present

3 = numerous plaques visible in restricted areas

4 = plaques abundant and not restricted to any particular area.

Intermediate values eg 2.5 were assigned where applicable.

Students' t ' test was used for comparisons between groups.

**Assay 16. Pharmacokinetic Profile****(a) PBT-1033**

- Intravenous infusion of PBT-1033; 2 mg/Kg (1 mL of a 0.5 mg/mL solution in 7.5% DMSO with 0.1m Captisol in Citrate Buffer adjusted to pH 3.0) was administered over 5 minutes to 2 rats and arterial blood was sampled up to 24 hours.
- Oral administration of PBT-1033; 30 mg/Kg (as a suspension in CMC-SSV\*) via administered via oral gavage to 2 rats and arterial blood was sampled up to 26 hours.
- Plasma concentrations of PBT-1033 were determined by LCMS (LOQ 3.7 nM). For rat 020710-D, an overlapping peak was present for PBT-1033.

\* Standard Suspending Vehicle – 0.5% w/v Na-Carboxymethyl Cellulose (CMC), 5% v/v benzyl alcohol, 4% v/v Tween 80 in 0.9% NaCl.

- 78 -

*Calculations:*

$$CL_{total} = \frac{Dose_{IV}}{AUC_{IV}} \quad V_{d\beta} = \frac{CL_{total}}{\beta} \quad BA(\%) = \frac{AUC_{oral} * Dose_{IV}}{AUC_{IV} * Dose_{oral}}$$

$CL_{total}$  = total plasma clearance after IV administration

$V_{d\beta}$  = volume of distribution during the elimination phase after IV administration

BA = oral bioavailability

$AUC_{IV}$  = area under the plasma concentration versus time profile from time zero to infinity after IV administration

$AUC_{oral}$  = area under the plasma concentration versus time profile from time zero to infinity after oral administration

$\beta$  = terminal elimination rate constant after IV administration

The results are shown in Fig. 4(a).

#### (b) PBT-1038

- Intravenous infusion of PBT-1038; (0.5 mg/Kg in 7.5% DMSO in Citrate Buffer pH 3.0) was administered over 5 minutes to 2 rats and arterial blood was sampled up to 24 hours.
- Oral administration of PBT-1038; (30 mg/Kg as a 0.05 % CMC suspension) via administered via oral gavage to 2 rats and arterial blood was sampled up to 24 hours.
- Plasma concentrations of PBT-1038 were determined by MS (LOQ 3 nM)

*Calculations:*

As described above for PBT-1033.

The results are shown in Fig. 4(b).

#### (c) PBT-1050

- Intravenous infusion of PBT-1050; (2 mg/Kg in 7.5% DMSO in Citrate Buffer pH 3.0) was administered over 5 minutes to 2 rats and arterial blood was sampled up to 24 hours.
- Oral administration of PBT-1050; (30 mg/Kg as a 0.05% CMC suspension) was administered via oral gavage to 2 rats and arterial blood was sampled up to 24 hours.
- Plasma concentrations of PBT-1050 were determined by MS (LOQ 3 nM)

*Calculations:*

As described above for PBT-1033

- 79 -

The results are shown in Fig. 4(c).

**(d) PBT-1051**

- Intravenous infusion of PBT-1051; 2 mg/Kg (1 mL of a 0.6 mg/mL solution in 7.5% DMSO in Citrate Buffer pH 3.0) was administered over 5 minutes to 2 rats and arterial blood was sampled up to 24 hours.
- Oral administration of PBT-1051; 30 mg/Kg (as a suspension in CMC-SSV\*) was administered via oral gavage to 2 rats and arterial blood was sampled up to 24 hours.
- Plasma concentrations of PBT-1051 were determined by LCMS (LOQ 3.7 nM)

\* Standard Suspending Vehicle – 0.5% w/v Na-Carboxymethyl Cellulose (CMC), 5% v/v benzyl alcohol, 4% v/v Tween 80 in 0.9% NaCl.

*Calculations:*

As described above for PBT-1033.

The results are shown in Fig. 4(d).

**Table 6. Screening Tests of Compound of formula I or II for the treatment of Alzheimer's disease.**

Table 6 Parameter	Assay
Sol. (Y,C,N)	Assay 1
clogP	Assay 13
Peroxide IC50	Assay 1
Viable 10uM	Assay 8
BAS score	Assay 9

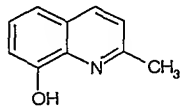
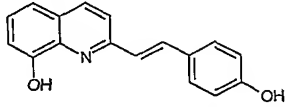
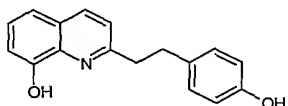
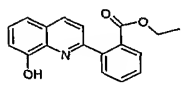
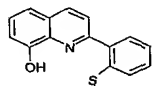
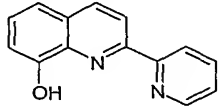
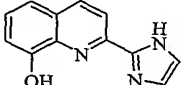
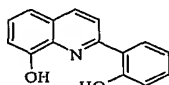
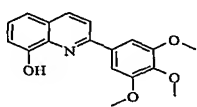
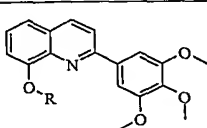
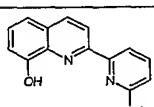
N/A = not assayed.

= not effective at solubilising plaques relative to PBS.

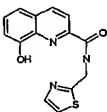
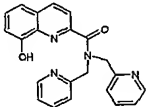
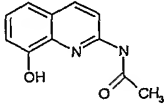
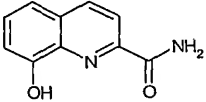
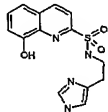
+ = effective at solubilising plaques at more than 1 concentration relative to PBS.

++ = extremely effective at solubilising plaques relative to PBS. This would mean better than twice the amount of PBS at most concentrations tested on each of 2 or more experiments

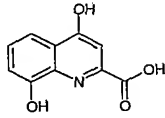
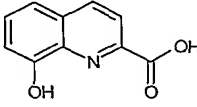
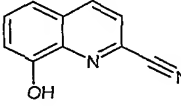
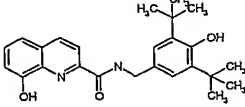
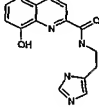
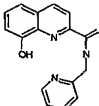
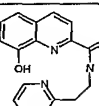
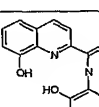
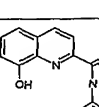
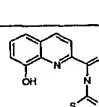
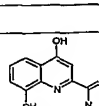
## Formula IIa

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
49		826-81-3	Y	2.58	100		N/A
89		189506-06-7	Y	3.7	3, 2.5		+
89						74.28	
91			Y	3.86	50, >10		N/A
1004					>10, 6.6		N/A
1005					2.4		N/A
1006					0.53		++
							
							
							
							
1007					0.58		N/A

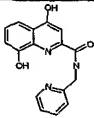
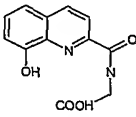
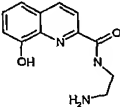
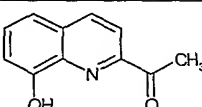
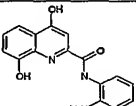
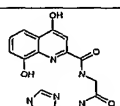
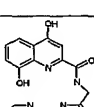
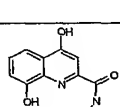
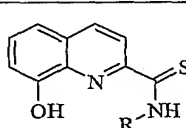
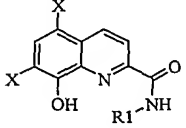
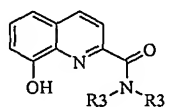
Formula IIa

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
1019					>10, >10		N/A
1020					1.3		N/A
1021					0.27		N/A
1029					N/A		N/A
1035					>10		N/A

Formula IIIa

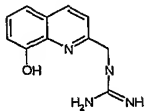
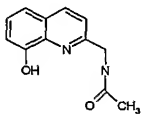
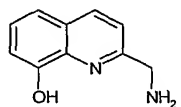
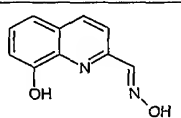
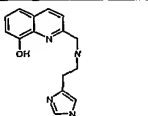
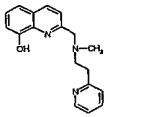
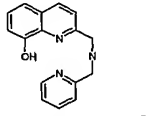
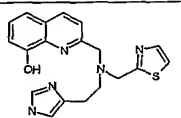
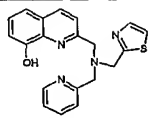
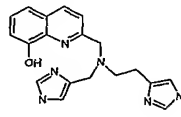
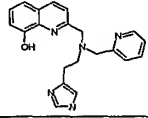
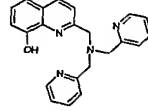
ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
52		59-00-7	Y	3	>100		N/A
57		1571-30-8	Y	2.67	40		N/A
58		6759-78-0	Y	1.95	100		N/A
95			Y	6.66	10		N/A
948			Y	1.61	0.19, 0.15		+
948						106.66	
949			Y	2.38	0.43, 0.9		+
949						84.82	
950			Y	2.51	0.25, 0.15		+
950						92.8	
951			Y	3.26	1.43		-
951						91.86	
952			C	2.47	<0.81, 0.27		+
952						99.52	
953			C	2.97	<4.24, 0.62		+
953						67.8	
954			Y	1.93	0.18, 0.12		-
954						104.9	

## Formula IIIa

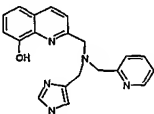
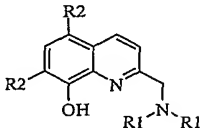
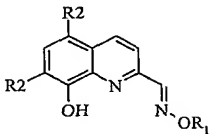
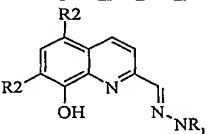
ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
955			Y	2.71	0.26, 0.18		-
955						100	
956		125686-78-4	Y	1.7	>10		N/A
956						89	
957			Y	1.42	>10		+
957						95.86	
976		149003-37-2	Y	2.35	3.7		-
986			Y	2.8	3.6		+
986						81.73	
987			Y	1.08	1.8		+
987						89.03	
988			Y	1.76	>10		-
988						93.27	
992			Y	2.03	>10		N/A
							
							
							



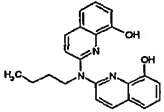
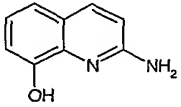
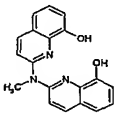
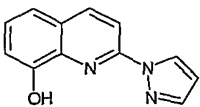
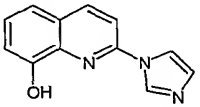
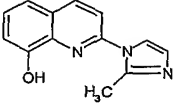
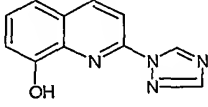
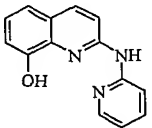
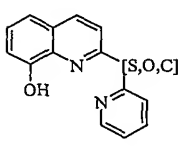
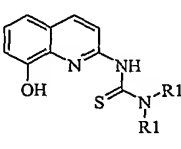
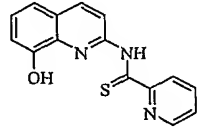
Formula IVa

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
966			Y	0.2	4.3		+
966						88.61	
967			Y	0.89	7.8		N/A
967						90.69	
968		17018-81-4	Y	1.03	0.26		++
968						97.12	
969		5603-22-5	Y	2.83	0.54		+
969						94.55	
989			Y	1.14	0.42		-
989						43.24	
990			Y	2.51	0.4		+
990						57.45	
991			Y	1.11	0.47		+
1002				1.95	0.39		N/A
1003				2.19	0.55		N/A
1008				1.2	0.26		N/A
1009				1.88	0.32		N/A
1010				2.35	0.33		N/A

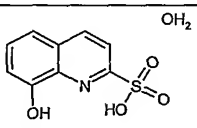
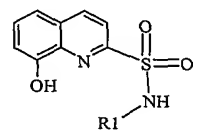
Formula IVa

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
1011				1.68	0.32		N/A
							
							
							

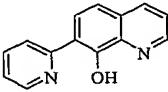
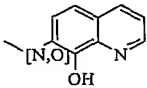
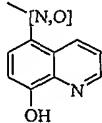
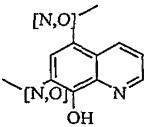
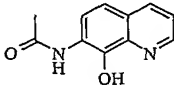
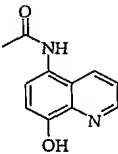
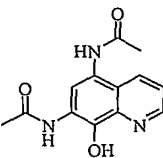
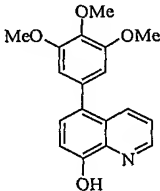
Formula Va

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
53		82361-90-8	Y	6.27	0.3		+
53						95.8	
54		70125-16-5	Y	1.75	1		+
54						99.57	
56		65165-14-2	Y	4.69	0.7, 0.25		+
56						24.61	
56						100.6	
964			Y	2.97	7.1		N/A
965			Y	1.94	>10		N/A
993			Y	2.21	>10		N/A
994			Y	1.75	>10		N/A
							
							
							
							

Formula VIa

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
50	 <chem>O=S(=O)(O)c1nc2ccccc2c(O)c1</chem>	20946-17-2		0.71	90		N/A
	 <chem>R1NS(=O)(=O)c1nc2ccccc2c(O)c1</chem>						

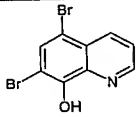
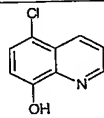
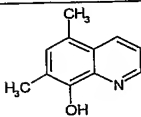
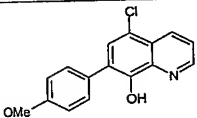
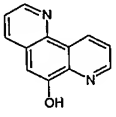
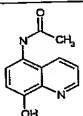
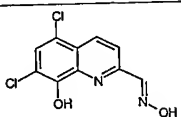
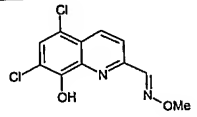
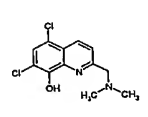
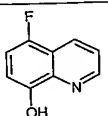
Formula IIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
							
							
							
							
							
							
							
							

Formula IIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
1		130-26-7	Y	3.73	0.4 - 0.5		++
41		84-88-8	Y	-0.71	0.5		+
41						81.33	
42		148-24-3	Y	2.08	0.7		+
42						97.66	
43		547-91-1	Y	0.19	0.6		-
43						91.02	
44		21302-43-2	Y	1.53	>10	71.05	+
45		773-76-2	Y	3.34	0.7, 0.4		++
45						75.19	
45						66.51	
46		83-73-8	Y	4.14	1		-
46						91.97	

Formula IIb

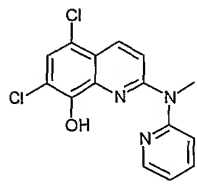
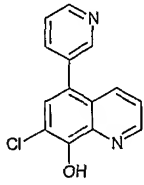
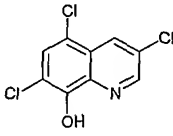
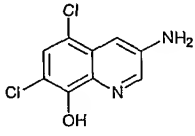
ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
47		521-74-4	Y	3.69	0.9, 0.5		+
47						93.59	
48		130-16-5	Y	2.91	0.8, 0.8		-
48						85	
59		37873-29-3	Y	3.02	0.7		+
59						84.95	
59						42.59	
814					<1.1, >10		+
1026					0.23		N/A
1028					0.32		N/A
1031					0.76		N/A
1032					1		N/A
1033	 H-Cl				0.38, 0.35		+
1034					0.44		N/A

## Formula IIb

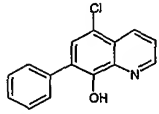
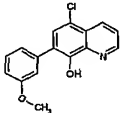
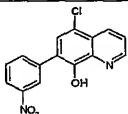
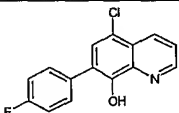
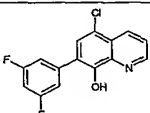
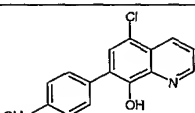
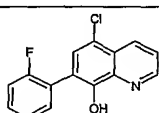
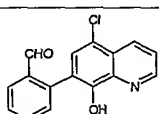
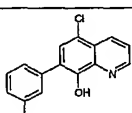
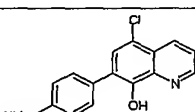
ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
1036					>5, 0.24		N/A
1037					>10		N/A
1038					0.26		+
1039					>10		N/A
1043					0.64		N/A
1047					>10		N/A
1050					0.28		N/A
1051					0.38		+
1052					0.64		+



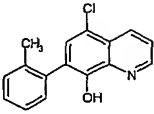
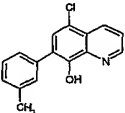
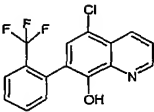
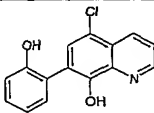
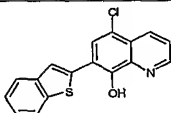
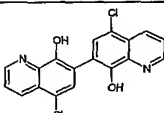
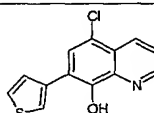
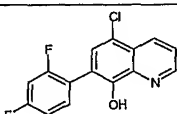
Formula IIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
1056					0.69	68.25	
1057					0.43	95.02	
1058					0.68	54.60	
1060					0.50		

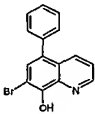
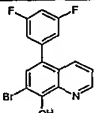
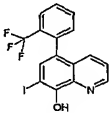
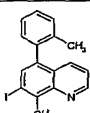
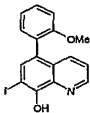
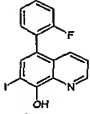
Formula IIIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
808			C	4.3	>10		N/A
808						71.89	
810			C	4.23	>10, <0.7		+
810						70.7	
810						90.15	
811			C	4.06	>10		N/A
811						78.46	
812			C	4.45	>10		N/A
812						75.36	
813			C	4.6	>10		N/A
813					8		
813						66	
814			C	4.23	<1.1, >10		+
814						31.13	
815			C	4.45	>10		N/A
815						53.68	
849			Y	3.67	4.5		N/A
849						98.83	
850			C	4.45	>10		N/A
850						71.28	
851			C	4.47	<0.7		-
851						84.92	
851						86.08	

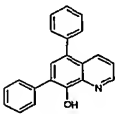
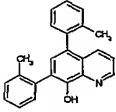
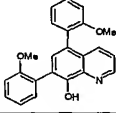
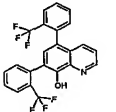
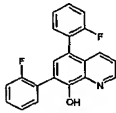
Formula IIIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
854			C	4.5	<0.78		+
854						100	
854						71.39	
854						34.95	
859			C	4.8	<0.67		+
859						73.14	
859						36.01	
859						34.07	
864			Y	5.2	0.77		+
864						93.12	
947			Y	3.14	1.14		+
947						70.4	
970			C	5.54	6.7		N/A
970						32.33	
971			C	4.57	>10		N/A
971						84.29	
972			C	3.95	>10		N/A
972						30.59	
973			C	4.6	>10		N/A
973						42.38	

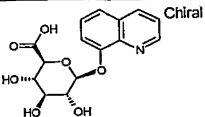
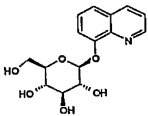
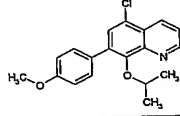
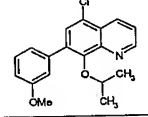
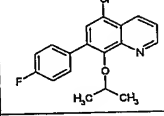
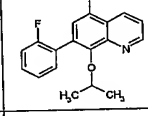
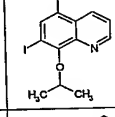
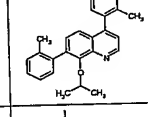
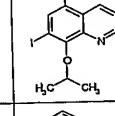
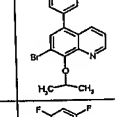
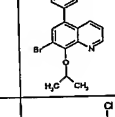
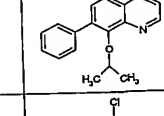
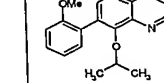
Formula IVb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
806			C	4.67	<1.2, <0.9		++
806						97	
806						100	
853			Y	4.97	0.77		+
853						94.79	
860			Y	5.76	0.79		+
860						89.58	
860						64.83	
861			C	5.06	0.91		+
861						37.83	
863			C	4.23	<0.73		+
863						34.97	
865			C	5.01	>10		N/A
865						34.07	

Formula Vb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
809			C	5.35	<4, 1.8		+
809						26.31	
852			Y	5.75	2.1		+
852						33.52	
862			C	4.09	<0.77		+
862						51.52	
862						52.69	
974			Y	7.17	0.6		+
975			Y	5.67	3.2		+

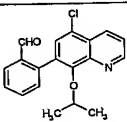
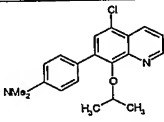
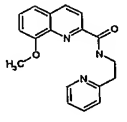
Formula VIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
39	 Chiral	14683-61-5	Y		90		N/A
62		29266-96-4	Y		>10		N/A
800			C		>10		N/A
801			C		>10		N/A
802			C		>10		N/A
803			C		>10		N/A
804			C		>10		N/A
805			C		>10		N/A
807			C		>10		N/A
816			C		>10		N/A
817			C		>10		N/A
818			C		>10		N/A
819			C		>10		N/A

Formula VIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
820			C		>10		N/A
821			C		>10		N/A
822			C		>10		N/A
823			C		>10		N/A
824			C		>10		N/A
825			C		>10		N/A
826			C		>10		N/A
827			C		>10		N/A
855			Y		>10		N/A
856			C		>10		N/A
857			C		>10		N/A
858			Y		>10		N/A
866			Y		>10		N/A

Formula VIb

ID	Structure	CAS	Sol (Y,C,N)	clogp	Peroxide IC50	Viable 10uM	BAS Score
867			Y		>10		N/A
868			C		>10		N/A
1022					>10		N/A



- 100 -

Table 8: Test Compound Cytotoxicity [Methodology as per Assay 2(d)]				Table 7: A $\beta$ Neuroprotection [Methodology as per Assay 2(c)]	
PBT	0.1 $\mu$ M	1 $\mu$ M	10 $\mu$ M	% inhibition Abeta toxicity	
1	78	90	77	1	16
41	95	100	100	41	12
42	90	87	79	42	14
45	100	92	67	45	28
47	nd	88	86	47	13
53	96	95	100	53	-75
54	89	97	61	54	100
56	108	74	31	56	17
59	89	97	55	59	22
89	87	92	101	89	-31
806	99	78	38	806	36
810	100	85	57	810	11
853	93	79	39	853	31
854	94	81	36	854	22
864	100	88	37	864	13
947	100	94	51	947	3
948	100	79	85	948	9
950	95	88	89	950	5
952	98	91	53	952	13
953	101	85	53	953	25
968	103	87	82	968	6
969	91	92	90	969	2
986	96	85	60	986	7
987	92	90	87	987	5
990	95	88	57	990	18
1002	nd	82	34	1002	17
1003	nd	97	38	1003	17
1005	nd	100	95	1005	1
1006	nd	92	52	1006	7
1007	nd	90	43	1007	9
1008	nd	86	28	1008	9
1009	nd	94	32	1009	16
1010	nd	88	27	1010	12
1011	nd	89	31	1011	21
1020	nd	85	83	1020	4
1021	nd	93	81	1021	1
1031	nd	85	81	1031	8
1032	nd	83	42	1032	-2
1033	nd	80	70	1033	38
1037	nd	88	87	1037	4

- 101 -

Table 8 Cont.				Table 7 Cont.	
PBT	0.1um	1uM	10uM	PBT	% inhibition Abeta toxicity
1038	nd	93	83	1038	19
1039	nd	94	87	1039	9
1044	nd	92	89	1044	3
1045	nd	90	86	1045	10
1049	nd	93	89	1049	6
1050	nd	93	88	1050	6
1051	nd	87	56	1051	23
1052	nd	63	32	1052	19
1053	nd	100	105	1053	-2
1055	nd	112	57	1055	37
1056		96.44	68.25	1056	39
1057		101.84	95.02	1057	4
1058		82.47	54.60	1058	30

**Table 9. Levels of Soluble A $\beta$  and Insoluble A $\beta$  in Transgenic Mouse Brains.***[Methodology as per Assay 11.]*

Test Compound	Soluble fraction. % change compared with control.	Insoluble fraction. % change compared with control.
PBT 1	+ 50	- 49
PBT 1033	- 37	- 29
PBT 1038	negligible	- 37%
PBT 1051	negligible	- 21
PBT 1052	negligible	- 22

5

**Table 10. Blood Brain Barrier Penetration***[Methodology as per Assay 14.]*

Test Compound	Uptake Ratio
PBT-1	Between 10 and 50%
PBT-1033	> 50%
PBT-1038	> 50%
PBT-1050	> 50%
PBT-1051	> 50%
PBT-1052	Between 10 and 50%

**Table 11. Physiochemical Properties***[Methodology as per Assay 13]*

Compound	PSA (Å <sup>2</sup> )	Solubility <sub>pH 6.5</sub> (µg/mL)	Solubility (µg/mL) 0.01 M HCl	cLog P	E Log D <sub>7.4</sub>
PBT1	33.1	< 3.1	3.1 - 6.2	4.32	1.85
PBT1033	35.8	3.1 - 6.2	< 3.1	3.51	1.32
PBT1038	90.9	12.5 - 25	3.1 - 6.2	2.69	2.92
PBT1050	80.0	12.5 - 25	25 - 50	2.56	2.98
PBT1051	44.6	< 3.1	< 3.1	3.58	2.64
PBT1052	46.0	< 3.1	6.3 - 12.5	4.22	2.85

**5 Table 12. Transgenic Mouse Brain Immunohistochemistry***[Methodology as per Assay 15]*

	Mean plaque score	% difference from control (sham treated animal)	P value
Sham	3.5		
1033	2.06	- 41	0.018
1038	3.0	-17	NSD
1051	2.13	- 39	0.0037
1052	3.2	-8	NSD

- 103 -

**Table 13(a). Pharmacokinetic Parameters following Intravenous and Oral Administration of PBT 1033 to rats.***[Methodology as per Assay 16]*

Parameter	030701-A IV	030701-B IV	Mean $\pm$ SD	030701-C PO	030710-D PO	Mean $\pm$ SD
Measured Dose (mg/Kg)	1.84	1.62	1.73 $\pm$ 0.16	34.11	31.21	32.66 $\pm$ 2.05
C <sub>max</sub> ( $\mu$ M)	0.70	2.48	1.59 $\pm$ 1.26	2.02	1.36	1.69 $\pm$ 0.47
T <sub>max</sub> (min)	20	5	12.50 $\pm$ 10.61	45	60	52.50 $\pm$ 10.61
t <sub>1/2</sub> (min)	52.25	53.29	52.77 $\pm$ 0.73	---	---	---
Cl <sub>total</sub> <sup>a</sup> (mL/min/Kg)	90.98	145.69	118.34 $\pm$ 38.69	---	---	---
V <sub>dB</sub> (L/Kg)	6.86	11.20	9.03 $\pm$ 3.07	---	---	---
BA (%) <sup>b</sup>	---	---	---	25.45	25.04	25.25 $\pm$ 0.29

5

a Total plasma clearance

b Oral BA calculated using the truncated AUC<sub>0-1560</sub>.**Table 13(b). Pharmacokinetic Parameters following Intravenous and Oral Administration of PBT 1038 to rats.***[Methodology as per Assay 16]*

10

Parameter	030410-B IV	030410-E IV	Mean $\pm$ SD	030410-C PO	030415-E PO	Mean $\pm$ SD
Measured Dose (mg/Kg)	0.34	0.35	0.34 $\pm$ 0.00	38.74	34.47	36.60 $\pm$ 3.02
C <sub>max</sub> ( $\mu$ M)	8.06	2.84	5.45 $\pm$ 3.70	57.77	68.48	63.12 $\pm$ 7.57
T <sub>max</sub> (min)	---	---	---	45	45	45.00 $\pm$ 0.00

**Table 13(c). Pharmacokinetic Parameters following Intravenous and Oral Administration of PBT 1050 to rats.***[Methodology as per Assay 16]*

Parameter	030415-A IV	030415-B IV	Mean $\pm$ SD	030415-C PO	030415-D PO	Mean $\pm$ SD
Measured Dose (mg/Kg)	2.37	2.05	2.21 $\pm$ 0.23	35.14	26.25	30.69 $\pm$ 6.29
C <sub>max</sub> ( $\mu$ M)	33.93	16.88	25.41 $\pm$ 12.06	61.00	7.03	34.02 $\pm$ 38.17
T <sub>max</sub> (min)	---	---	---	45	120	82.5 $\pm$ 53.03

5

**Table 13(d). Pharmacokinetic Parameters following Intravenous and Oral Administration of PBT 1051 to rats.***[Methodology as per Assay 16]*

Parameter	030506-A IV	030506-B IV	Mean $\pm$ SD	030506-C PO	030506-D PO	Mean $\pm$ SD
Measured Dose (mg/Kg)	3.16	2.77	2.96 $\pm$ 0.28	34.24	26.45	30.35 $\pm$ 5.51
C <sub>max</sub> ( $\mu$ M)	2.96	3.03	2.99 $\pm$ 0.05	3.18	1.50	2.34 $\pm$ 1.18
T <sub>max</sub> (min)	---	---	---	60	30	45 $\pm$ 21.21
t <sub>1/2</sub> (min)	46.07	46.52	46.30 $\pm$ 0.32	200.09	365.72	282.9 $\pm$ 117.1
Cl <sub>total</sub> <sup>a</sup> (mL/min/Kg)	153.24	135.58	144.4 $\pm$ 12.5	---	---	---
V <sub>dB</sub> (L/Kg)	10.19	9.10	9.64 $\pm$ 0.77	---	---	---
BA (%) <sup>b</sup>	---	---	---	37.96	17.55	27.75 $\pm$ 14.43

10

<sup>a</sup> Total plasma clearance<sup>b</sup> Oral BA calculated using the truncated AUC<sub>0-1440</sub>. This value may be an overestimation of the true bioavailability.**Example 21 – Clinical trial of compound of formula I or II for the treatment of**

15

**Alzheimer's disease.**

20

A Phase II clinical trial of the compound of formula I or II for the treatment of AD was undertaken to study the effects of oral PBT-1 treatment in a randomised, double-blind, placebo-controlled pilot phase 2 clinical trial of moderately severe AD patients. Thirty-six subjects were randomized [18 placebo and 18 PBT-1, with 32 completions], and stratified into more- and less- severely affected groups. The effect of treatment was statistically significant in preventing cognitive deterioration over 36 weeks in the more-severely affected patients (baseline

- 105 -

ADAS-cog  $\geq 25$ ). The performance of the less-severely affected group (ADAS-cog  $< 25$ ) deteriorated negligibly over this interval, so cognitive changes could not be discriminated in this stratum. Plasma A $\beta$ <sub>42</sub> declined in the PBT-1 group but increased in the placebo group ( $p < 0.001$ ). Plasma Zn levels rose significantly ( $\approx 30\%$ ) in the PBT-1 group.

5

### ***Dosage***

Several considerations drove the choice of dose. In previous studies on transgenic mice, doses of 20-30 mg/kg of PBT-1 orally daily for five days per week were markedly effective at inhibiting A $\beta$  accumulation after 2-3 months of treatment. The human  
10 equivalent dose of 1500-2250 mg/day is close to the prescribed antibiotic dose of PBT-1 (600 mg po qid). However, this magnitude of dose, administered for months, would raise concerns about SMON toxicity.

The starting dose of 3.3 mg/kg/day, assuming 75 kg average weight, is within the same order of magnitude of the effective dose in the transgenic mouse model, but only about one  
15 tenth of the antibiotic dose.

Since there is no data from the transgenic mouse study of the effectiveness of doses less than 20 mg/kg/day, we reasoned that a beneficial effect might require a longer period of treatment than the 9-12 week duration of the mouse study (Cherny et al., 2001). Therefore a trial length of 36 weeks at an average dose which is approximately one-third of what is effective  
20 in the transgenic mice is chosen. The final dose of 10 mg/kg/day is half of an effective dose in mice.

The starting dose of 3.3 mg/kg/day was within the same order of magnitude of the effective dose in the transgenic mouse model, but only about one tenth of the anti-infective dose. The study was powered to detect biochemical effects on metal and A $\beta$  levels that would be  
25 in the same magnitude as those seen in the transgenic study.

## **EXPERIMENTAL PROCEDURES**

***Ethical issues:*** In compliance with Australian laws concerning consent from individuals whose cognitive function may be impaired to the extent of being unable to make  
30 informed judgements or decisions, "Consent to Special Procedures" administered by the Victorian Civil and Administrative Tribunal was obtained for each participant not able to consent on their own behalf. In addition, third party consent was obtained from all carers. All subjects were stabilized on donepezil prior to commencement of the study. The study was approved by the Royal Melbourne Hospital Research Foundation's Clinical Research and Ethics  
35 Committee.

***Study population:*** The study took place at the AD clinical trials unit, Mental Health Research Institute of Victoria and at the Royal Melbourne Hospital. Criteria for inclusion

- 106 -

in the study were: informed consent; a diagnosis of probable AD by NINCDS-ADRDA criteria (McKhann et al., 1984); AD Assessment Scale-cognitive (ADAS-cog) (Rosen et al., 1984) score of 18-45; Mini Mental State Examination (MMSE) (Folstein et al., 1975) score of 10-24; on donepezil 5mg or 10mg for at least 6 months; relative or carer willing and able to support the trial; able to complete trial examinations; primary sensorial functions intact.

Patients were excluded if they had a history or clinical evidence of peripheral or optic neuropathy or had co-existing illnesses or past history that may have affected cognitive function, nerve conduction or illnesses that may have confounded the adverse event profile. The following factors were obtained at baseline to determine if they correlated with outcome measures: age, sex, premorbid IQ [estimated from the National Adult Reading Test (NART)], years of education, and apolipoprotein E (ApoE) allotype.

**Study design:** The study was a double blind, placebo-controlled, parallel group randomized design. Thirty-six patients and their carers were recruited to participate, with patients randomized at a 1:1 ratio to receive either PBT-1 or placebo. The duration of the study was 36 weeks. PBT-1 oral dosage was 125mg bid from weeks 0-12, increased to 250mg bid from weeks 13-24, and finally, 375mg bid from weeks 25-36.

**Study procedures:** Screening procedures consisted of a complete medical history, physical, neurological and ophthalmic examination, blood and urine tests and psychometric tests (ADAS-cog, MMSE). Nerve conduction tests and visual evoked responses were conducted between the screening and baseline visits to provide a baseline measurement. Blood was collected for ApoE allotyping, baseline plasma levels of metals and A $\beta$  prior to randomization. All patients continued their study entry dose of donepezil and all patients received 100 mg vitamin B<sub>12</sub> intramuscularly every four weeks.

Blood samples were collected by antecubital venepuncture except on weeks 12, 24 and 36 when they were collected by an indwelling catheter. The procedural change did not affect biochemical readouts except for Zn levels which were found to be consistently ~10% depressed (probably as a result of differences in platelet activation). Zn data from these intervals were therefore omitted from analysis.

**Outcome measures:** The primary clinical efficacy variable was a change from baseline score on the ADAS-cog conducted at baseline and at weeks 4, 12, 24 and 36. This measure was chosen to allow comparability of treatment effects with current therapeutics such as donepezil, where efficacy trials also used ADAS-cog as their primary outcome measure (Rogers et al., 1998). Although numerous neuropsychological tests could be considered as secondary measures, it was necessary to avoid fatiguing the subjects at review. Therefore the only other cognitive test was the Mini-Mental State Exam (MMSE). The CIBIC+ (clinician interview based impression of change incorporating caregiver information), a subjective observational index was also conducted. Plasma A $\beta$ , and plasma zinc and copper were all taken

every four weeks.

**Double antibody capture enzyme-linked immunosorbent assay (ELISA) for A $\beta$  detection:** Polystyrene plates were coated with mAb G210 (for A $\beta$ 40) or mAb G211 (for A $\beta$ 42). Plates were washed and biotinylated mAb WO2 was added. Bound antibody was detected with streptavidin-labelled Europium (Perkin Elmer, Vic Australia). The values obtained from triplicated wells were calculated based on standard curves generated on each plate. Plasma samples supplemented with synthetic A $\beta$ 1-40 and A $\beta$ 1-42 were also assayed to confirm measurement reliability across the concentration range of interest.

**Metal levels:** Metals were measured by inductively coupled plasma mass spectrometry as previously described (Cherny et al., 2001).

**Therapeutic drug monitoring:** At weeks 12, 24 and 36, PBT-1 blood levels were assayed by HPLC with appropriate validation studies (Centre for Pharmaceutical Research, University of South Australia).

**Safety measures:** Standard adverse event reporting was conducted and biochemical tests, renal and liver function, complete blood examination, serum vitamin B<sub>12</sub> and folate levels were documented at each visit. To assess for peripheral and optic neuropathy a neurological examination was conducted at each visit, and visual evoked responses, nerve conduction studies and ophthalmic examination were conducted at screening, week 16 and prior to the final trial visit. An ECG was done at screening and weeks 12, 24 and 36.

**Data preparation and statistical analysis:** Data monitoring and management were undertaken by independent contractors (Kendle International and Health Research Solutions, Melbourne). Evidence for efficacy was indicated by a significant difference in change from baseline between treatment arms. Analysis of variance was the principal method of evaluating statistical significance with the treatment arm illness severity at baseline being the primary design factor. Potentially significant covariates were introduced as necessary. Differences between groups on categorical measures were analysed using exact statistical methods in order to maximise power. Based on the assumption of a correlation of 0.60 between measurement occasions, power to detect an effect of one standard deviation difference in change between groups from baseline to week 36 would have been approximately 80% if 15 subjects were recruited per group. Since an attrition rate of 15% has been observed in similar populations, 18 patients were recruited into each arm.



## RESULTS

**Subject recruitment and demographics:** Thirty-six subjects were recruited over a 12 month period commencing April 2000 (Fig 7). Of these, 32 had sufficient data for per protocol analysis. Two subjects were lost from each arm.

The baseline illness severity factor was created, as planned, by division of the sample into two groups at the median ADAS-cog score at baseline (values  $<25$ ,  $\geq 25$ ), yielding less-severely and more-severely affected groups ( $n=8$  and  $8$  in the treatment arm and  $n=7$  and  $9$  in the placebo arm, respectively).

The groups did not differ across demographic, biological and clinical parameters at baseline (Table 14), other than the treatment arm having a higher mean premorbid IQ than the placebo group as estimated using the NART (111.4 compared to 104.9;  $t(30)=2.27$ ,  $p=0.031$ ) and a lower level of thyroid stimulating hormone (TSH) (1.14 compared to 2.00 mU/L;  $t(30)=4.400$ ,  $p<0.001$ ). The NART and TSH were subsequently provisionally entered into analyses as co-variables but were found to be not significant in any analysis.

**Clinical effects:** Changes in the ADAS-cog score at weeks 4, 12, 24 and 36 from baseline were subject to two-way analysis of variance with factors of treatment arm and baseline illness severity. The means of the changes in ADAS-cog score showed greater deterioration in the placebo treated group at each examination interval, compared to the PBT-1-treated group (Fig. 8A). This trend came close to statistical significance at week 4 [ $F(1,28)=3.55$ ,  $p=0.070$ ] and week 24 [ $F(1,28)=3.31$ ,  $p=0.080$ ] (Fig 8A). As planned in the protocol, the effect of severity of illness was examined by stratification of the sample into subjects less- or more-severely affected (baseline ADAS-cog values  $<25$ ,  $\geq 25$ ). Simple effects tests within level of severity showed the trend in the pooled groups to be separable into non-significant results for the less-severe stratum on all weeks and significant differences in the more-severe stratum at weeks 4 [ $F(1,28)=7.73$ ,  $p=0.010$ ] and week 24 [ $F(1,28)=6.63$ ,  $p=0.016$ ] (Fig 8B). This trend was maintained at week 36 but narrowly escaped statistical significance [ $F(1,28)=3.62$ ,  $p=0.068$ ]. In the more-severely affected groups, the difference in mean change from baseline ADAS-cog score of PBT-1 over placebo at weeks 24 and 36 was a difference of 7.37 (95% CI: 1.51 – 13.24) and 6.36 (95% CI: -0.50 – 13.23) respectively (Fig 8B).

**Effects on plasma A $\beta$ , Zn and Cu:** At baseline, there were no significant differences in plasma A $\beta_{42}$  levels between treatment arms or severity strata. The variance in individual levels at baseline in plasma A $\beta_{40/42}$  was large and led to reduced power of the study to detect any significant differences in mean changes between groups. However, reference of individual A $\beta$  levels to baseline reference levels markedly decreased variance, and revealed significant treatment effects. Plasma A $\beta_{42}$  showed a significant decline from baseline in the PBT-1-treated group from week 20 onwards; over the same time, plasma A $\beta_{42}$  in the placebo group increased (Fig 9A). Stratification by illness severity as above demonstrated that changes

- 109 -

were evident only in the less-severely affected (Fig 9B).

Administration of PBT-1 was associated with a significant elevation ( $\approx 30\%$ ) of total plasma Zn (Fig 10A) but with no effect on plasma Cu (Fig 10B). Mean baseline levels of Zn ( $9.4 \mu\text{M}$ ) in the pooled AD groups were below age-related normative values (Wood and Zheng, 1997). The increase in plasma Zn induced by PBT-1 treatment therefore represented a normalization of levels. In contrast, mean baseline levels of Cu ( $13.1 \mu\text{M}$ ) were within the age-related normative range (Rahil-Khazen et al., 2000). Correlation of plasma  $\text{A}\beta_{42/40}$  levels with Zn/Cu levels assayed on the same or subsequent occasions showed no significant associations.

An important result of treatment of AD subjects with PBT-1 is the paradoxical elevation in plasma Zn (Fig. 10A), which is consistent with a restoration in the ZnT3-mediated communication of synaptic zinc with the blood. This also indicates that, in contrast to a typical metal chelator such as desferrioxamine, the mechanism of action of PBT-1 at this dose is not that of a gross tissue chelator. The relatively weak affinity of PBT-1 for the metals appears to be insufficient to cause marked systemic metal depletion in the presence of a re-established equilibrium of metal homeostasis.

**Blood levels of PBT-1:** Steady state pre-dose levels of PBT-1 at total daily dosages of 250, 500 and 750 mg were  $4.03 \pm 2.10$ ,  $6.74 \pm 3.70$ ,  $7.60 \pm 2.15 \mu\text{g/ml}$ , respectively, and did not show significant correlations with ADAS-cog, metal or  $\text{A}\beta$  levels assayed on the same or subsequent occasions.

- 110 -

**Table 14 - Baseline demographics and key clinical variables**

Variable	Total Sample (n=32)	Group Clioquinol (n=16)	Placebo (n=16)	P Value
Age mean (SD; min-max)	72.50 (8.37; 56-87)	73.19 (8.61; 58-87)	71.81 (8.35; 56-87)	P=0.65 <sup>†</sup>
Sex (n; % male)	17 (53.1%)	8 (47.1%)	9 (52.9%)	P=1.00 <sup>‡</sup>
ApoE status				
ApoE4 heterozygote n (%)	15 (46.9%)	7 (43.8%)	8 (50.0%)	P=1.00 <sup>‡</sup>
ApoE4 homozygote n (%)	3 (9.4%)	2 (12.5%)	1 (6.3%)	
Estimated premorbid IQ NART mean, (SD; min-max)	108.1 (8.86; 91-124)	111.4 (8.04; 94-121)	104.9 (8.26; 91-124)	P=0.03 <sup>†</sup>
ADAS-Cog	26.31 (7.27; 15-46)	25.56 (7.67; 15-46)	27.06 (7.01; 19-41)	P=0.57 <sup>†</sup>
Age of first diagnosis mean, (SD; min-max)	70.09 (7.98; 54-83)	70.88 (8.50; 57-83)	69.31 (7.61; 54-83)	P=0.59 <sup>†</sup>
Duration of illness (years) mean (SD; min-max)	2.41 (1.19; 1-5)	2.31 (1.08; 1-4)	2.56 (1.32; 1-5)	P=0.66 <sup>†</sup>

† Independent sample t-test (all tests 30 *df*)

‡ Exact, two-tailed test.

It will be apparent to the person skilled in the art that while the invention has been described in some detail for the purposes of clarity and understanding, various modifications and alterations to the embodiments and methods described herein may be made without departing from the scope of the inventive concept disclosed in this specification.

References cited herein are listed on the following pages, and are incorporated herein by this reference.

**REFERENCES**

- Alvarez, A., Alarcón, R., Opaza, C., Campos, E.O., Muñoz, F.J., Calderón, F.H., Dajas, F., Gentry, M.K., Doctor, B.P., De Mello, F., Inestrosa, N.C. (1998) Stable complexes involving acetylcholinesterase and amyloid- $\beta$  peptide change the biochemical properties of the enzyme and increase the neurotoxicity of alzheimer's fibrils. The Journal of Neuroscience, 18(9):3213-3223
- Ariga, T., Kobayashi, K., Hasegawa, A., Kiso, M., Ishida, H., and Miyatake, T. (2001) Characterization of high-affinity binding between gangliosides and amyloid  $\beta$ -protein. Arch. Biochem. Biophys. 388, 225-230
- Avdulov, N. A., Chochina, S. V., Igbavboa, U., O'Hare, E. O., Schroeder, F., Cleary, J. P., and Wood, W. G. (1997) Lipid binding to amyloid b-peptide aggregates: preferential binding of cholesterol a. J. Neurochem. 68, 2086-2091
- Beyreuther K, Christen Y, Masters CL (eds) Neurodegenerative Disorders: Loss of Function Through Gain of Function. Springer . Berlin. 2001. 189
- Brower V. Harnessing the immune system to battle Alzheimer's: Some of the most promising approaches to fight Alzheimer's diseases aim to develop vaccines. EMBO Rep 2002;3:207-9
- Bush AI, Masters CL. Clioquinol's return. Science 2001; 292:2251-2252
- Bush AI. Therapeutic targets in the biology of Alzheimer's disease. Current Opinion in Psychiatry 2001; 14:341-348
- Carissimi, M. (1972) US Patent 3,682,927
- Cherny RA, Atwood CS, Xilinas ME et al. Treatment with a copper-zinc chelator markedly and rapidly inhibits  $\beta$ -amyloid accumulation in Alzheimer's disease transgenic mice. Neuron 2001; 30:665-676
- R.C. Corcoran and S.H. Bang, *Tetrahedron Lett.*, 1990, 31, 6757-6758.
- Corder, E. H., Saunders, A. M., Strittmatter, W. J., Schmechel, D. E., Gaskell, P. C., Small, G. W., Haines, J. L., and Pericak-Vance, M. A. (1993) Gene dose of apolipoprotein E type 4 allele and the risk of Alzheimer's disease in the late onset familial disease. Science 261, 921-923
- Cronin-Golomb A, Sugiura R, Corkin S, Growdon JH. Incomplete achromatopsia in Alzheimer's disease. Neurobiol Aging 1993;14: 471-477
- Curtain, C.C., Ali, F., Volitakis, I., Cherny, R.A., Norton, R.S., Beyreuther, K., Barrow, C.J., Masters, C.L., Bush, A.I., and Barnham, K.J. (2001) Alzheimer's disease amyloid  $\beta$  binds copper and zinc to generate an allosterically ordered membrane-penetrating structure containing superoxide dismutase-like subunits. J. Biol. Chem. 276, 20466-20473
- Czech, C., Forstl, H., Hentschel, F., Monning, U., Besthorn, C., Geigerkabisch, C., Sattel, H.,

- Masters, C., and Beyreuther, K. (1994) Apolipoprotein E-4 gene dose in clinically diagnosed Alzheimer's disease: prevalence, plasma cholesterol levels and cerebrovascular change. *Eur. Arch. Psychiatry Clin. Neurosci.* 243, 291-292
- De Ferrari, G.V., Canales, M.A., Shin, I., Weiner, L.M., Silman, I., Inestrosa, N.C. (2001) A structural motif of acetylcholinesterase that promotes amyloid beta-peptide fibril formation. *Biochemistry* 40(35):10447-57
- Dodart J-C, Bales KR, Gannon KS et al. Immunization reverses memory deficits without reducing brain A $\beta$  burden in Alzheimer's disease model. *Nat Neurosci* 2002;5: 452-457
- A. Dondoni, G. Fantin, M. Fogagnolo, A. Medici and P. Pedrini, *Synthesis*, 1987, 998-1001.
- 10 A. Dondoni, F.L. Merchán, P. Merino, I. Rojo and T. Tejero, *Synthesis*, 1996, 641-646
- Durant, G.J., Emmett, J.C., Ganellin, C.R., Roe, A.M. and Slater, R.A. *J. Med. Chem.*, 1976, 19, 923.
- Eckert, G. P., Cairns, N. J., Maras, A., Gattaz, W. F., and Muller, W. E. (2000) Cholesterol modulates the membrane-disordering effects of  $\beta$ -amyloid peptides in the hippocampus specific changes in Alzheimer's disease. *Dement. Geriatr. Cogn. Disord.* 11, 181-186
- 15 Fassbender, K., Simons, M., Bergmann, C., Stroick, M., Lutjohann, D., Keller, P., Runz, H., Kuhl, S., Bertsch, T., von Bergmann, K., Hennerici, M., Beyreuther, K., and Hartmann, T. (2001) Simvastatin strongly reduces levels of Alzheimer's disease  $\beta$ -amyloid peptides A $\beta$  42 and A $\beta$  40 in vitro and in vivo. *Proc. Natl. Acad. Sci. USA.* 98, 5856-5861
- 20 Fleming, W.C. and Pettit, G.R. *J. Org. Chem.*, 1971, 36, 3490-3493.
- Folstein MF, Folstein SE, McHugh PR. Mini-mental state: a practical method for grading the cognitive state of patients for the clinician. *J. Psychiatr. Res.* 1975; 12:189-198
- Frears, E. R., Stephens, D. J., Walters, C. E., Davies, H., and Austen, B. M. (1999) The role of cholesterol in the biosynthesis of  $\beta$ -amyloid. *NeuroReport* 10, 1699-1705
- 25 Friedhoff, L. T., Cullen, E. I., Geoghegan, N. S., and Buxbaum, J. D. (2001) Treatment with controlled-release lovastatin decreases serum concentrations of human  $\beta$ -amyloid (A $\beta$ ) peptide. *Int. J. Neuropsychopharmacol.* 4, 127-130
- Gershon, H., Clarke, D.D. and Gershon, M. *Monatshefte für Chemie*, 1999, 130, 653.
- 30 Gershon, H. and McNeil, W.M. *J. Heterocycl. Chem.*, 1971, 8, 821.
- Gordon, L.M., Curtain, C.C. (1988). In: Aloia R.C., Curtain C.C., Gordon L.M. (eds) *Advances in Membrane Fluidity 1: Methods for Studying Membrane Fluidity*. Alan R. Liss New York, pp 25-89
- Hartmann, T. (2001) Cholesterol, A $\beta$  and Alzheimer's disease. *Trends Neurosci.* 24, S45-S48
- 35 Hertel, C., Terzi, E., Hauser, N., Jakob-Rotne, R., Seelig, J., and Kemp, J. A. (1997) Inhibition of the electrostatic interaction between  $\beta$ -amyloid peptide and membranes prevents  $\beta$ -amyloid-induced toxicity. *Proc. Natl. Acad. Sci. USA.* 94, 9412-9416

- Hobara N, Taketa, K. Electrophoretic studies of clioquinol binding to human serum proteins. *Biochem Pharmacol* 1976;25: 1601-1606
- Hope, M.J., Bally, M.B., Webb, G., Cullis, P.R. (1985) *Biochim. Biophys. Acta.* 812, 55-56
- 5 Hsiao, K., Chapman, P., Nilsen, S., Eckman, C., Harigaya, Y., Younkin, S., Yang, F., Cole, G. (1996) Correlative memory deficits, A $\beta$  elevation, and amyloid plaques in transgenic mice *Science*; 274(5284):99-102.
- Huang X, Atwood CS, Hartshorn MA et al. The A $\beta$  peptide of Alzheimer's disease directly produces hydrogen peroxide through metal ion reduction. *Biochemistry* 1999; 38:7609-7616
- 10 Hubbell, W.L. and McConnell, H.M. (1971) *J. Amer. Chem. Soc.* 93, 314-326
- Inestrosa, N.C. Alvarez, A., Perez, C.A., Moreno, R.D., Vicente, M., Linker, C., Casaneuva, O.I., Soto, C., Garrido, J. (1996) Acetylcholinesterase accelerates assembly of amyloid-beta-peptides into Alzheimer's fibrils: possible role of the peripheral site of the enzyme. *Neuron* 16(4):881-91
- 15 Jensen M, Schröder J, Blomberg M et al. Cerebrospinal fluid A $\beta$ 42 is increased early in sporadic Alzheimer's disease and declines with disease progression. *Ann Neurol* 1999;45: 504-511
- Ji, S. R., Wu, Y., and Sui, S. F. (2002) Cholesterol is an important factor affecting the membrane insertion of  $\beta$ -amyloid peptide (A $\beta$  1-40), which may potentially inhibit the fibril
- 20 formation. *J. Biol. Chem.* 277,6273-6279
- Lee J-Y, Cole TB, Palmiter RD, Suh SW, Koh J-Y. Contribution by synaptic zinc to the gender-disparate plaque formation in human Swedish mutant APP transgenic mice. *Proc Natl Acad Sci U S A* 2002: Early edition.
- Mahfoud, R., Garmy, N., Maresca, M., Yahi, N., Puigserver, A, and Fantini, J. (2002)
- 25 Identification of a common sphingolipid-binding domain in Alzheimer, Prion, and HIV-1 proteins. *J. Biol. Chem.* 277, 11292-11296
- McKhann G, Drachman D, Folstein MF, Katzman R, Price D, Stadlen E. Clinical diagnosis of Alzheimer's disease: Report of the NINCDS-ADRDA work group under the auspices of the Department of Health and Human Services Task Force on Alzheimer's Disease.
- 30 *Neurology* 1984; 34:939-944
- McLean CA, Cherny RA, Fraser FW et al. Soluble pool of A $\beta$  amyloid as a determinant of severity of neurodegeneration in Alzheimer's disease. *Ann Neurol* 1999;46:860-866
- Nunan, J., and Small, D. H. (2000) Regulation of APP cleavage by  $\alpha$ -,  $\beta$ - and  $\delta$ -secretases. *FEBS Lett.* 483, 6-10
- 35 Ostrovskaya, V.M., Krasavin, I.A., Inshakova, V.A., Mamaev, V.P. and Krivopalov, V.P. (1986) SU Patent 1216184 A1.

- Petersen, R.C., Stevenas, J.C., Ganguli, M., Tangalos, E.G., Cummings, J.L., and DeKosky, S.T. Practice parameter: Early detection of dementia: Mild cognitive impairment Neurology 2001 56 1133-1142
- 5 Rahil-Khazen R, Bolann BJ, Ulvik Rj. Trace element reference values in serum determined by inductively coupled plasma atomic emission sepectrometry. Clin Chem Lab Med 2000; 38 (8); 765-72.
- Regland B, Lehmann W, Abedini I et al. Treatment of Alzheimer's disease with clioquinol. Dement Geriatr Cogn Disord 2001; 12:408-14
- 10 Richard, J.A., Breen, G.F., Crawford, L.P., Grinter, T.J., Harris, M.A., Haynes, J.F., Moores, C.J., Saunders, R.N., Share, A.C., Walsgrove, T.C. and Wicks, C. *Organic Process Research & Development*, 1997, 1, 185.
- Rogers SL, Farlow MR, Doody RS, Mohs R, Friedhoff LT. A 24-week, double-blind, placebo-controlled trial of donepezil in patients with Alzheimer's disease. Donepezil Study Group. Neurology 1998; 50:136-45
- 15 Rosen WG, Mohs RC, Davis KL. A new rating scale for Alzheimer's disease. Am J Psychiatry 1984; 141:1356-64
- Schenk, D., Barbour, R., Dunn, W., Gordon, G., Grajeda, H., Guido, T., Hu, K., Huang, J., Johnson-Wood, K., Khan, K., Kholodenko, D., Lee, M., Liao, Z., Lieberburg, I., Motter, R., Mutter, L., Soriano, F., Shopp, G., Vasquez, N., Vandervert, C., Walker, S., Wogulis, M., Yednock, T., Games, D., and Seubert, P. (1999) Immunization with amyloid- $\beta$  attenuates Alzheimer's disease like pathology in the PDAPP mouse. Nature 400, 173-177
- 20 Selkoe, D.J. Alzheimer's disease: genes, proteins and therapy. Physiol Rev 81 (2): 741-766
- Shearman MS, Beher D, Clarke EE et al. L-685,458, an aspartyl protease transition state mimic, is a potent inhibitor of amyloid  $\beta$ -protein precursor  $\beta$ -secretase activity. Biochemistry 25 2000; 29:8698-704
- Shrader, W.D. Celebuski, J. Kline S.J. and Johnson, D. *Tetrahedron Lett.*, 1988, 29, 1351-1354.
- Shin, I., Silman, I., Weiner, L. M. (1996) Interaction of partially unfolded forms of Torpedo acetylcholinesterase with liposomes. Protein Sci 5(1):42-51
- 30 Shiraki, H. The neuropathology of subacute myelo-optico-neuropathy (SMON) in the humans: With special reference to the quinoform intoxication. Jpn J Med Sci Biol 1975; 28 (suppl): 101-164
- Simons M, Schwärzler F, Lütjohann D et al. Treatment with simvastatin in normocholesterolemic patients with Alzheimer's disease: a 26-week randomised, placebo-controlled, double-blind trial. Ann of Neurol In Press.
- 35 Sinha S, Anderson JP, Barbour R et al. Purification and cloning of amyloid precursor protein  $\beta$ -secretase from human brain. Nature 1999;402:537-40

- 115 -

St George-Hyslop, P.H. (2000) Molecular genetics of Alzheimer's disease. *Biol. Psychiatry* 47, 183-199

T.C. Wang, Y.L. Chen, K.H. Lee and C.C. Tzeng, *Tetrahedron Lett.*, 1996, 37, 6369-6370.

White et al., *J Neuroscience*, (1998) 18, 6207-6217

- 5 Valdez-Gonzalez, T., Inagawa, J., and Ido, T. (2001) Neuropeptides interact with glycolipid receptors: a surface plasmon resonance study. *Peptides* 22; 1099-1106.

Wright, J.S. Johnson, E.R. and DiLabio, G.A. *J.Am.Chem.Soc* 2001 123 1173-1183.

Yassin MS, Ekblom J, Xilinas M, Gottfries CG, Oreland L. Changes in uptake of vitamin B(12) and trace metals in brains of mice treated with clioquinol. *J Neurol Sci* 2000;

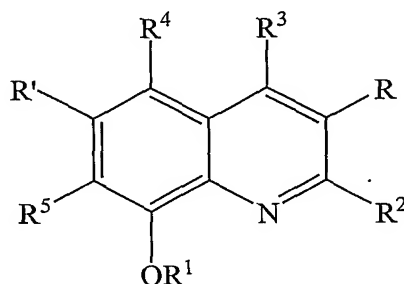
10 173:40-44



- 116 -

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method for the treatment, amelioration and/or prophylaxis of a neurological condition which comprises the administration of an effective amount of a compound of formula I:



I

in which

R¹ is H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted acyl, optionally substituted aryl, optionally substituted heterocyclyl, an antioxidant or a targeting moiety;

R² is H; optionally substituted alkyl; optionally substituted alkenyl; optionally substituted aryl; optionally substituted heterocyclyl; optionally substituted alkoxy; an antioxidant; a targeting moiety; COR⁶ or CSR⁶ in which R⁶ is H, optionally substituted alkyl, optionally substituted alkenyl, hydroxy, optionally substituted aryl, optionally substituted heterocyclyl, an antioxidant, a targeting moiety, OR⁷, SR⁷ or NR⁷R⁸ in which R⁷ and R⁸ are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; CN; (CH₂)ₙNR⁹R¹⁰, HCNOR⁹ or HCNNR⁹R¹⁰ in which R⁹ and R¹⁰ are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl and n is 1 to 4; OR¹¹, SR¹¹ or NR¹¹R¹² in which R¹¹ and R¹² are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl or together form optionally substituted heterocyclyl; or SO₂NR¹³R¹⁴ in which R¹³ and R¹⁴ are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; and

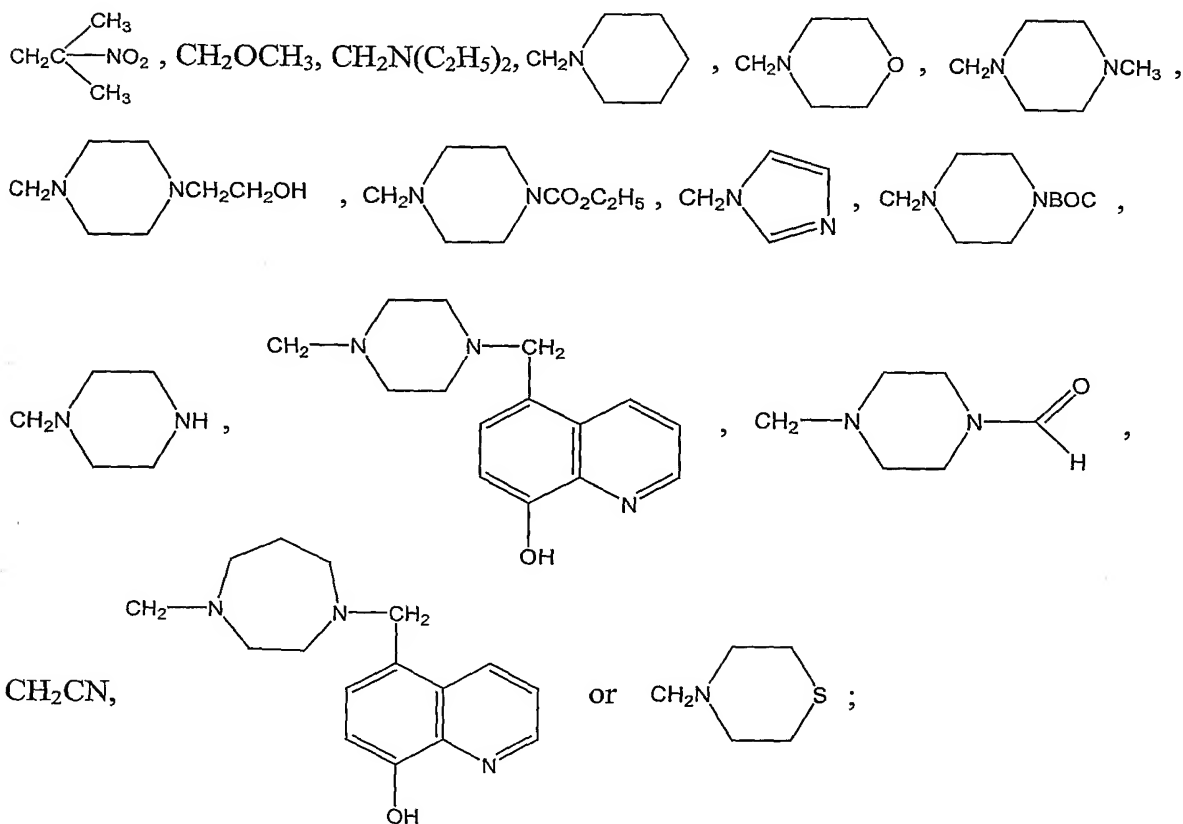
R³, R⁴, R⁵, R and R' are either the same or different and selected from H, optionally substituted alkyl, optionally substituted alkenyl, optionally substituted alkoxy, optionally substituted acyl, hydroxy, optionally substituted amino, optionally substituted thio,

- 117 -

optionally substituted sulphonyl, optionally substituted sulphinyl, optionally substituted sulphonylamino, halo, SO<sub>3</sub>H, amine, CN, CF<sub>3</sub>, optionally substituted aryl, optionally substituted heterocyclyl, an antioxidant or a targeting moiety,

salts, hydrates, solvates, derivatives, pro-drugs, tautomers and/or isomers thereof with the provisos that:

- (a) when R<sup>1</sup> to R<sup>3</sup>, R and R' are H, then R<sup>4</sup> is not Cl or I and R<sup>5</sup> is not I;  
 (b) when R<sup>1</sup> to R<sup>3</sup>, R, R' and R<sup>5</sup> are H, then R<sup>4</sup> is not CHO, CHOHCCL<sub>3</sub>,



(c) when R<sup>1</sup>, R<sup>5</sup>, R' and R are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R<sup>4</sup> is not bromo, methyl, phenyl, hydroxymethyl or trifluoromethyl;

(d) when R<sup>1</sup>, R<sup>4</sup>, R<sup>5</sup> and R are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R' is not bromo, iodo, methyl, phenyl, propyl, phenethyl, heptyl, benzylaminomethyl, 3-aminopropyl, 3-hydroxypropyl, 4-methoxyphenyl, 3-methylphenyl, 4-chlorophenyl, 3,4-dichlorophenyl, pyridin-3-yl, furo-2-yl, 4-chlorophenyl, 3,4-dichlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-methoxyphenyl or piperidin-2-yl;

(e) when R<sup>1</sup>, R<sup>4</sup>, R and R' are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R<sup>5</sup> is not phenyl, 3-hydroxypropyl, phenethyl, 3-aminoprop-1-yl or hex-1-yl;

(f) when R<sup>1</sup>, R<sup>4</sup>, R' and R<sup>5</sup> are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R is not N-

- 118 -

morpholinomethyl, bromo or phenyl;

(g) when  $R^1$ , R and R' are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R^4$  and  $R^5$  are not chloro;

(h) when  $R^1$ ,  $R^4$  and R' are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then R and  $R^5$  are not bromo;

(i) when  $R^1$ , R, R' and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^4$  is not hydroxymethyl, phenyl or bromo;

(j) when  $R^1$ , R,  $R^4$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then R' is not 4-methoxyphenyl, 3-methylphenyl, pyridin-3-yl, benzyl, bromo, 4-chlorophenyl, 3,4-dichlorophenyl, 3-hydroxypropyl or 3-tert-butoxycarbonylaminopropyl;

(k) when  $R^1$ , R,  $R^4$  and R' are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^5$  is not phenyl or 3-tert-butoxycarbonylaminoprop-1-yl;

(l) when  $R^1$ , R,  $R^4$ , R' and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{Me}$ , then  $R^3$  is not toluene-4-sulphonylamino, piperazin-1-yl, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, 3-benzoylaminoprop-1-yl, phenethyl, 3-tert-butoxycarbonylaminopropyl, 3-hydroxypropyl, amino or hex-1-yl;

(m) when  $R^1$ ,  $R^4$ , R' and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Na}$  and  $R^3$  is OH, then R is not phenyl;

(n) when  $R^1$ , R,  $R^4$ , R' and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^3$  is not phenyl, 4-chlorophenyl, phenethyl, 3-hydroxypropyl, amino, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, toluene-4-sulphonylamino, 3-benzoylaminoprop-1-yl, aminoprop-1-ynyl, hex-1-yl, 5-hydroxypent-1-yl, piperazin-1-yl or 2-(1-piperazinyl)pyrimidinyl;

(o) when  $R^1$ , R' and R are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^4$  and  $R^5$  are not chloro;

(p) when  $R^1$ ,  $R^4$ , R' and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then R is not bromo;

(q) when  $R^1$ , R' and  $R^4$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then R and  $R^5$  are not bromo;

(r) when  $R^1$ , R,  $R^3$ , R' and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^4$  is not phenyl, 4-chlorophenyl or phenylethyl;

(s) when  $R^1$ ,  $R^5$ , R',  $R^4$ ,  $R^3$  and R are H, then  $R^2$  is not 2H-tetrazol-1-yl;

(t) when  $R^1$ ,  $R^5$ ,  $R^4$  and R are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then R' is not 3,5-dichlorophenyl or 4-fluorophenyl; and

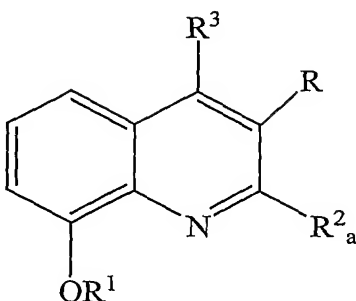
(u) at least one of  $R^1$  to  $R^5$ , R and R' is other than H,

to a subject in need thereof.

- 119 -

2. A method according to claim 1, in which the compound of the formula I is either:

(i) Formula Ia



Ia

5 in which:

R, R¹ and R³ are as defined in claim 1; and

R²ₐ is H; optionally substituted C₁-₆ alkyl; optionally substituted C₁-₆ alkenyl;

optionally substituted aryl; optionally substituted heterocyclyl; an antioxidant; a targeting moiety; COR⁶ₐ or CSR⁶ₐ in which R⁶ₐ is H, optionally substituted C₁-₆ alkyl, optionally

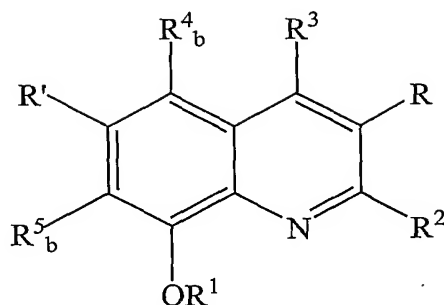
10 substituted C₂-₆ alkenyl, hydroxy, optionally substituted aryl, optionally substituted heterocyclyl or OR⁷ₐ, SR⁷ₐ or NR⁷ₐR⁸ₐ in which R⁷ₐ and R⁸ₐ are either the same or different and selected from H, optionally substituted C₁-₆ alkyl, optionally substituted C₂-₆ alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; CN; CH₂NR⁹ₐR¹⁰ₐ, HCNOR⁹ₐ or HCNNR⁹ₐR¹⁰ₐ in which R⁹ₐ and R¹⁰ₐ are either the same or different and selected from H, optionally substituted

15 C₁-₆ alkyl, optionally substituted C₂-₆ alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; OR¹¹ₐ, SR¹¹ₐ or NR¹¹ₐR¹²ₐ in which R¹¹ₐ and R¹²ₐ are either the same or different and selected from H, optionally substituted C₁-₆ alkyl, optionally substituted C₂-₆ alkenyl, optionally substituted aryl or optionally substituted heterocyclyl or together form optionally substituted heterocyclyl; or SO₂NR¹³ₐR¹⁴ₐ in which R¹³ₐ and R¹⁴ₐ are either the same or different and selected from H or optionally substituted C₁-₆ alkyl, optionally substituted C₂-₆ alkenyl, optionally substituted aryl or optionally substituted heterocyclyl; or

20

- 120 -

## (ii) Formula Ib



Ib

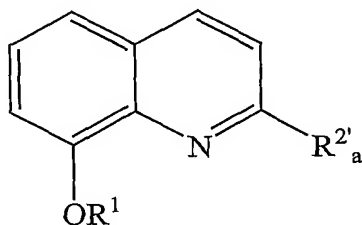
in which:

$R^1$ ,  $R'$ ,  $R$ ,  $R^2$  and  $R^3$  are as defined in claim 1;

$R^4_b$  and  $R^5_b$  are either the same or different and selected from H; optionally substituted  $C_{1-6}$  alkyl; optionally substituted  $C_{2-6}$  alkenyl; halo; CN;  $CF_3$ ; optionally substituted aryl; optionally substituted heterocyclyl; an antioxidant; a targeting moiety;  $SO_3H$ ;  $SO_2NR^{13}_aR^{14}_a$  in which  $R^{13}_a$  and  $R^{14}_a$  are as defined in formula Ia above; or  $OR^{15}_b$ ,  $SR^{15}_b$ ,  $SO_2R^{15}_b$ ,  $CONR^{15}_bR^{16}_b$  or  $NR^{15}_bR^{16}_b$  in which  $R^{15}_b$  and  $R^{16}_b$  are either the same or different and selected from H, optionally substituted  $C_{1-6}$  alkyl, optionally substituted  $C_{2-6}$  alkenyl, optionally substituted  $C_{1-6}$  acyl, optionally substituted aryl or optionally substituted heterocyclyl, including provisos (a) to (c), (e), (g), (h), (I), (k), (o), (q), (r), and (u) as defined in claim 1.

3. A method according to claim 2, in which the compound of formula Ia is as follows:

- Formula IIa



IIa

in which:

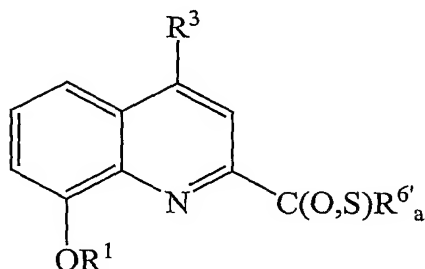
$R^1$  is as defined in claim 1 or claim 2; and

$R^{2'}$  is optionally substituted  $C_{1-6}$  alkyl, optionally substituted  $C_{2-6}$  alkenyl,

- 121 -

optionally substituted aryl or optionally substituted heterocyclyl;

- Formula IIIa



IIIa

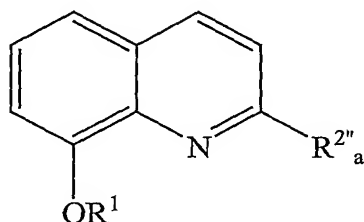
5 in which:

$R^1$  and  $R^3$  are as defined in claim 1 or claim 2; and

$R^{6'}$  is optionally substituted  $C_{1-6}$  alkyl, optionally substituted  $C_{2-6}$  alkenyl, hydroxy,  $OR^{7'}$ ,  $SR^{7'}$ ,  $N_2R^{7'}R^{8'}$ , or  $NR^{7'}R^{8'}$  in which  $R^{7'}$  and  $R^{8'}$  are either the same or different and selected from H, optionally substituted  $C_{1-6}$  alkyl, optionally substituted aryl or

10 optionally substituted heterocyclyl;

- Formula IVa



IVa

in which:

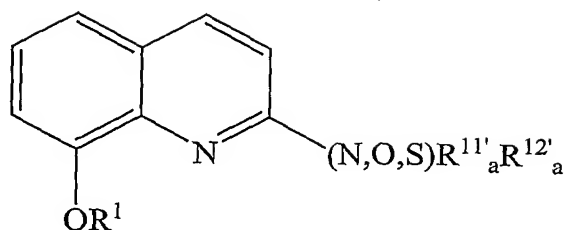
$R^1$  is as defined in claim 1 or claim 2; and

$R^{2'}$  is  $CN$ ;  $CH_2NR^{9'}R^{10'}$ ,  $HCONR^{9'}$  or  $HCNNR^{9'}R^{10'}$  in which  $R^{9'}$  and  $R^{10'}$  are either the same or different and selected from H, optionally substituted  $C_{1-6}$  alkyl, optionally substituted alkenyl, optionally substituted aryl or optionally substituted heterocyclyl;

15

- 122 -

- Formula Va



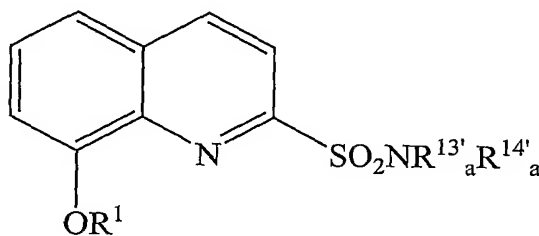
Va

in which:

- 5  $R^1$  is as defined in claim 1 or claim 2; and  
 $R^{11'}_a$  and  $R^{12'}_a$  are either the same or different and selected from H, optionally substituted  $C_{1-6}$  alkyl, optionally substituted  $C_{2-6}$  alkenyl, optionally substituted aryl and optionally substituted heterocyclyl or together form optionally substituted heterocyclyl; or

10 

- Formula VIa



VIa

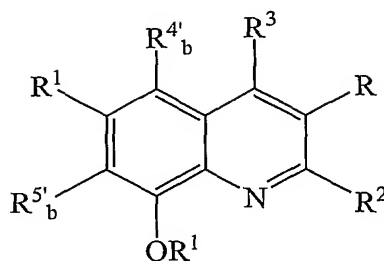
in which:

- $R^1$  is as defined in claim 1 or claim 2; and  
 $R^{13'}_a$  and  $R^{14'}_a$  are either the same or different and selected from H, optionally substituted  $C_{1-6}$  alkyl, optionally substituted  $C_{2-6}$  alkenyl, optionally substituted aryl or optionally substituted heterocyclyl.
- 15

- 123 -

4. A method according to claim 2, in which the compound of the formula Ib is as follows:

- Formula IIb



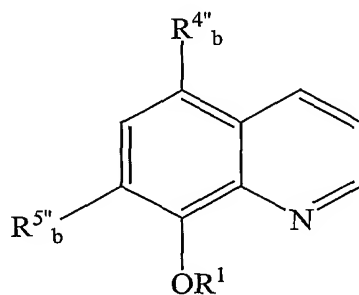
IIb

5 in which:

$R^1$ ,  $R'$ ,  $R$ ,  $R^2$  and  $R^3$  are as defined in claim 1 or claim 2; and

$R^{4'}$  and  $R^{5'}$  are as defined in formula Ib above provided that at least one is halo, including provisos (a), (c), (g), (h), (i), (o), (q) and (u) defined in claim 1;

- Formula IIIb



IIIb

15 in which:

$R^1$  is as defined in claim 1 or claim 2;

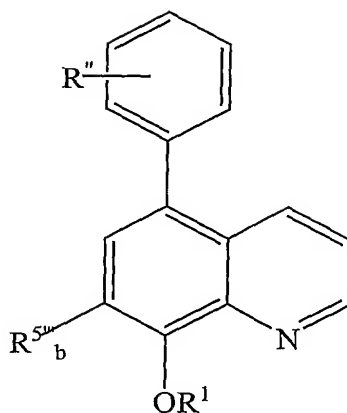
$R^{4''}$  is H or halo; and

$R^{5''}$  is optionally substituted aryl or optionally substituted heterocyclyl;



- 124 -

- Formula IVb



IVb

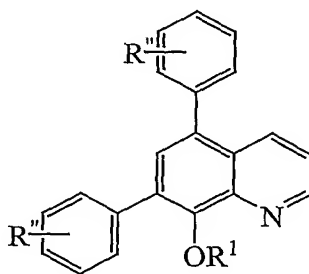
in which:

R¹ is as defined in claim 1 or claim 2;

R'' is C₁-₆ alkoxy, halo, C₁-₆ alkyl, C₂-₆ alkenyl or C₁-₆ haloalkyl; and

R⁵ᵇ is H or halo;

- Formula Vb



Vb

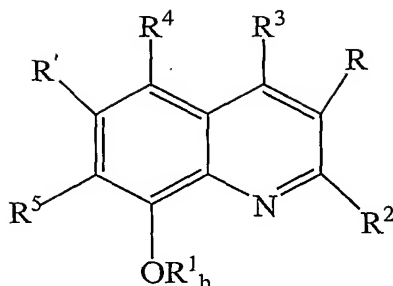
in which

R¹ is as defined in claim 1 or claim 2; and

R'' is as defined in formula IVb above; or

- 125 -

- Formula VIb



VIb

in which:

$R^2$  to  $R^5$ , R and R' are as defined in claim 1 or claim 2; and

$R^1_b$  is optionally substituted  $C_{1-6}$  alkyl, optionally substituted aryl, optionally substituted aryl acyl,  $C_{1-6}$  alkyl acyl or optionally substituted heterocyclyl.

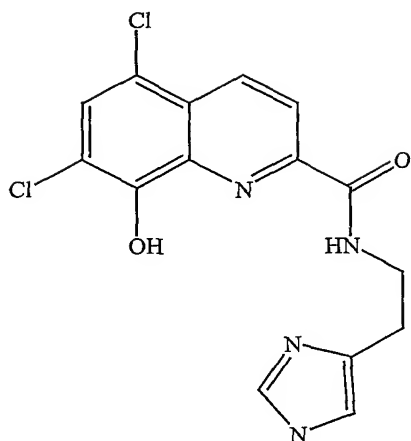
5. A method according to any one of claims 1, 2 or 4, in which the compound of formula I is a compound of formula Ib or IIb in which  $R^4_b$  and  $R^5_b$  or  $R^4_{b'}$  and  $R^5_{b'}$  are both halo.

6. A method according to claim 5, in which the halo is chloro.

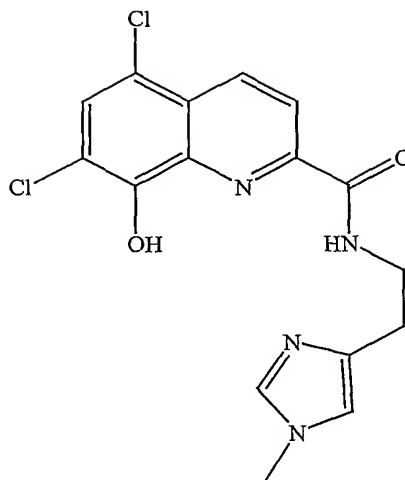
7. A method according to any one of claims 1, 2 or 4 to 6, in which at least one of  $R^2$ , R,  $R^3$  and R' is optionally substituted alkyl, optionally substituted aryl, optionally substituted heterocyclyl,  $CH_2NR^9R^{10}$  in which  $R^9$  and  $R^{10}$  are as defined in claim 1,  $COR^6$  in which  $R^6$  is  $NR^7R^8$  in which  $R^7$  and  $R^8$  are as defined in claim 1 or  $NR^{11}R^{12}$  in which  $R^{11}$  and  $R^{12}$  are as defined in claim 1.

8. A method according to any one of claims 1, 2 or 4 to 7, in which the compound of formula I is as follows:

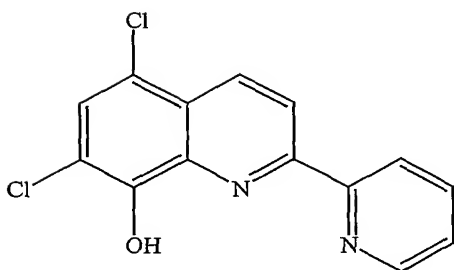
- 126 -



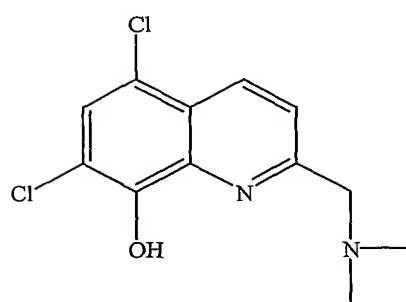
PBT 1038



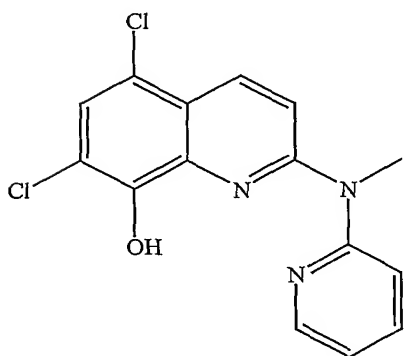
PBT 1050



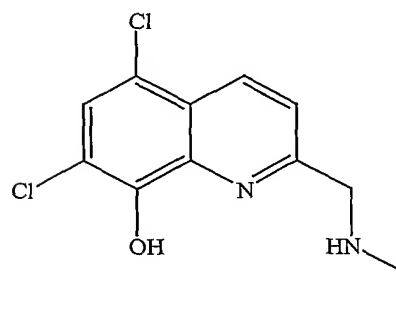
PBT 1052



PBT 1033



PBT 1056



PBT 1051

9. A method according to any one of claims 1 to 8, in which the neurological condition is a neurodegenerative disorder.

10. A method according to claim 9, in which the neurodegenerative disorder is neurodegenerative amyloidosis.

5

- 127 -

11. A method according to claim 9 or claim 10, in which the neurodegenerative disorder is sporadic or familial Alzheimer's disease, amyotrophic lateral sclerosis, cataract, Parkinson's disease, Creutzfeldt-Jacob disease and its new variant associated with "mad cow" disease, Huntington's disease, dementia with Lewy body formation, multiple system atrophy, Hallerboden-Spatz disease, diffuse Lewy body disease, fatal familial insomnia, Gertsman  
5 Straussler Sheinker disease or hereditary cerebral haemorrhage with amyloidosis-Dutch type.

12. A method according to claim 11, in which the neurodegenerative disorder is Parkinson's disease.

13. A method according to any one of claims 9 to 11, in which the neurodegenerative  
10 disorder is an A $\beta$ -related condition.

14. A method according to claim 13, in which the A $\beta$ -related condition is Alzheimer's disease or dementia associated with Down syndrome or one of several forms of autosomal dominant forms of familial Alzheimer's disease.

15. A method according to any one of the preceding claims which slows, reduces or  
15 arrests the cognitive decline of the subject.

16. A method according to any one of the preceding claims, which further comprises separate, sequential or simultaneous administration of another medicament.

17. A method according to claim 16, in which the other medicament is an inhibitor of the acetylcholinesterase active site, an antioxidant, an anti-inflammatory agent or an oestrogenic  
20 agent.

18. A method according to any one of the preceding claims, in which the compound of formula I is administered orally, topically or parenterally.

19. Use of the compound of formula I as defined in any one of claims 1 to 8, in the manufacture of a medicament for the treatment, amelioration and/or prophylaxis of a  
25 neurological condition.

20. Use of a compound of formula I as defined in any one of claims 1 to 8 for the treatment, amelioration and/or prophylaxis of a neurological condition.

21. A compound of formula I as defined in claims 1 to 8 for use in the treatment, amelioration and/or prophylaxis of a neurological condition.

22. Use of the compound of formula I as defined in any one of claims 1 to 8, as a  
30 pharmaceutical.

23. Use according to 22, in which the pharmaceutical is a neurotherapeutic or neuroprotective agent.

24. Use according to claim 22 or claim 23, in which the pharmaceutical is an  
35 anti-amyloidogenic agent.

25. A pharmaceutical or veterinary composition comprising the compound of formula I as defined above in any one of claims 1 to 8 and a pharmaceutically or veterinarily

- 128 -

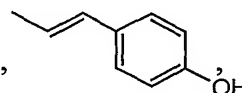
acceptable carrier.

26. A composition according to claim 25 which further comprises another medicament.

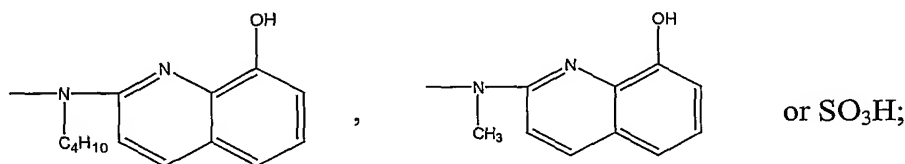
27. A composition according to claim 26, in which the other medicament is an inhibitor of the acetylcholinesterase active site, an antioxidant, an anti-inflammatory agent or an oestrogenic agent.

28. A compound of formula II which is a compound of formula I as defined in any one of claims 1 to 8, with the provisos that:

(a) when  $R^1$  and  $R^3$  to  $R^5$ , R and R' are H, then  $R^2$  is not H, methyl,

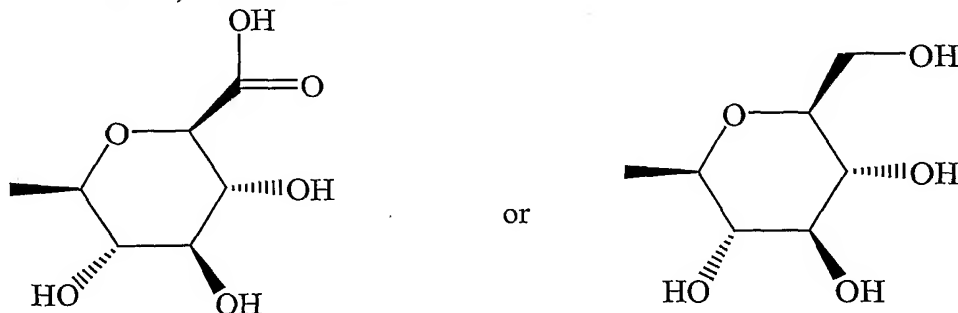


$\text{CO}_2\text{H}$ , CN,  $\text{CONCH}_2\text{CO}_2\text{H}$ ,  $\text{COCH}_3$ ,  $\text{CH}_2\text{NH}_2$ , CNOH, (pyrid-2-yl), 2-hydroxyphenyl,  $\text{CHNHNH}_2$ , NH-(pyrid-2-yl),



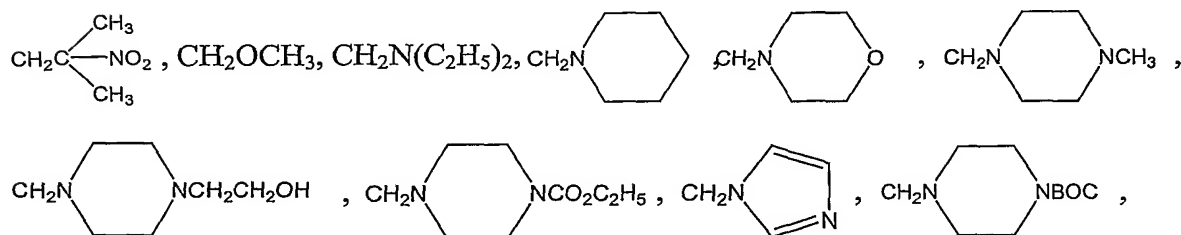
(b) when  $R^1$  and  $R^4$  to  $R^7$  are H, then  $R^3$  is not OH and  $R^2$  is not  $\text{CO}_2\text{H}$ ;

(c) when  $R^1$  to  $R^3$ ,  $R^6$  and  $R^7$  are H, then (i) when  $R^5$  is I,  $R^4$  is not Cl,  $\text{SO}_3\text{H}$  or I; (ii) when  $R^5$  is H,  $R^4$  is not  $\text{SO}_3\text{H}$ ,  $\text{NH}_2$  or Cl; (iii)  $R^4$  and  $R^5$  are both not Cl, Br or  $\text{CH}_3$ ; and (iv) when  $R^2$  to  $R^7$  are H, then  $R^1$  is not

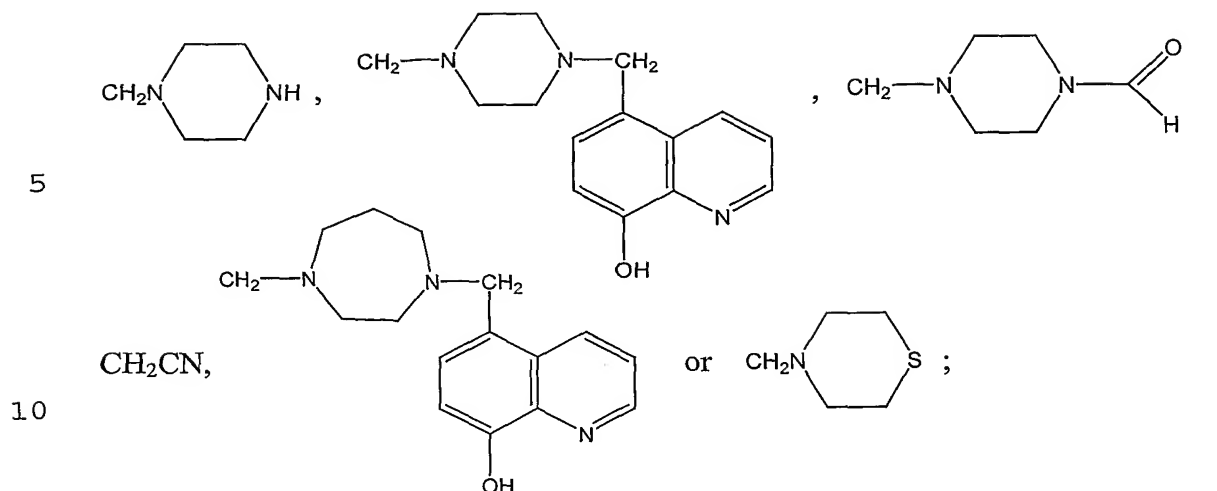


(d) when  $R^1$  to  $R^3$ , R and R' are H, then  $R^4$  is not Cl or I and  $R^5$  is not I;

(e) when  $R^1$  to  $R^3$ , R, R' and  $R^5$  are H, then  $R^4$  is not CHO,  $\text{CHOHCCl}_3$ ,



- 129 -



(f) when R<sup>1</sup>, R<sup>5</sup>, R' and R are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R<sup>4</sup> is not bromo, methyl, phenyl, hydroxymethyl or trifluoromethyl;

(g) when R<sup>1</sup>, R<sup>4</sup>, R<sup>5</sup> and R are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R' is not bromo, iodo, methyl, phenyl, propyl, phenethyl, heptyl, benzylaminomethyl, 3-aminopropyl, 3-hydroxypropyl, 4-methoxyphenyl, 3-methylphenyl, 4-chlorophenyl, 3,4-dichlorophenyl, pyridin-3-yl, furo-2-yl, 4-chlorophenyl, 3,4-dichlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-chlorophenyl, 3-chlorophenyl, 2-methoxyphenyl or piperidin-2-yl;

(h) when R<sup>1</sup>, R<sup>4</sup>, R and R' are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R<sup>5</sup> is not phenyl, 3-hydroxypropyl, phenethyl, 3-aminoprop-1-yl or hex-1-yl;

(i) when R<sup>1</sup>, R<sup>4</sup>, R' and R<sup>5</sup> are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R is not N-morpholinomethyl, bromo or phenyl;

(j) when R<sup>1</sup>, R and R' are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R<sup>4</sup> and R<sup>5</sup> are not chloro;

(k) when R<sup>1</sup>, R<sup>4</sup> and R' are H, R<sup>2</sup> is CO<sub>2</sub>H and R<sup>3</sup> is OH, then R and R<sup>5</sup> are not bromo;

(l) when R<sup>1</sup>, R, R' and R<sup>5</sup> are H, R<sup>2</sup> is CO<sub>2</sub>Me and R<sup>3</sup> is OH, then R<sup>4</sup> is not hydroxymethyl, phenyl or bromo;

(m) when R<sup>1</sup>, R, R<sup>4</sup> and R<sup>5</sup> are H, R<sup>2</sup> is CO<sub>2</sub>Me and R<sup>3</sup> is OH, then R' is not 4-methoxyphenyl, 3-methylphenyl, pyridin-3-yl, benzyl, bromo, 4-chlorophenyl, 3,4-dichlorophenyl, 3-hydroxypropyl or 3-tert-butoxycarbonylaminopropyl;

(n) when R<sup>1</sup>, R, R<sup>4</sup> and R' are H, R<sup>2</sup> is CO<sub>2</sub>Me and R<sup>3</sup> is OH, then R<sup>5</sup> is not phenyl or 3-tert-butoxycarbonylaminoprop-1-yl;

(o) when R<sup>1</sup>, R, R<sup>4</sup>, R' and R<sup>5</sup> are H and R<sup>2</sup> is CO<sub>2</sub>Me, then R<sup>3</sup> is not toluene-4-sulphonylamino, piperazin-1-yl, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, 3-

- 130 -

benzoylaminoprop-1-yl, phenethyl, 3-tert-butoxycarbonylaminopropyl, 3-hydroxypropyl, amino or hex-1-yl;

(p) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Na}$  and  $R^3$  is OH, then R is not phenyl;

(q) when  $R^1$ ,  $R$ ,  $R^4$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^3$  is not phenyl, 4-

5 chlorophenyl, phenethyl, 3-hydroxypropyl, amino, morpholin-1-yl, piperidin-1-yl, 4-methylpiperazin-1-yl, toluene-4-sulphonylamino, 3-benzoylaminoprop-1-yl, aminoprop-1-ynyl, hex-1-yl, 5-hydroxypent-1-yl, piperazin-1-yl or 2-(1-piperazinyl)pyrimidinyl;

(r) when  $R^1$ ,  $R'$  and R are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then  $R^4$  and  $R^5$  are not chloro;

10 (s) when  $R^1$ ,  $R^4$ ,  $R'$  and  $R^5$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then R is not bromo;

(t) when  $R^1$ ,  $R'$  and  $R^4$  are H,  $R^2$  is  $\text{CO}_2\text{Me}$  and  $R^3$  is OH, then R and  $R^5$  are not bromo;

(u) when  $R^1$ ,  $R$ ,  $R^3$ ,  $R'$  and  $R^5$  are H and  $R^2$  is  $\text{CO}_2\text{H}$ , then  $R^4$  is not phenyl, 4-chlorophenyl or phenylethyl;

15 (v) when  $R^1$ ,  $R^5$ ,  $R'$ ,  $R^4$ ,  $R^3$  and R are H, then  $R^2$  is not 2H-tetrazol-1-yl;

(w) when  $R^1$ ,  $R^5$ ,  $R^4$  and R are H,  $R^2$  is  $\text{CO}_2\text{H}$  and  $R^3$  is OH, then  $R'$  is not 3,5-dichlorophenyl or 4-fluorophenyl; and

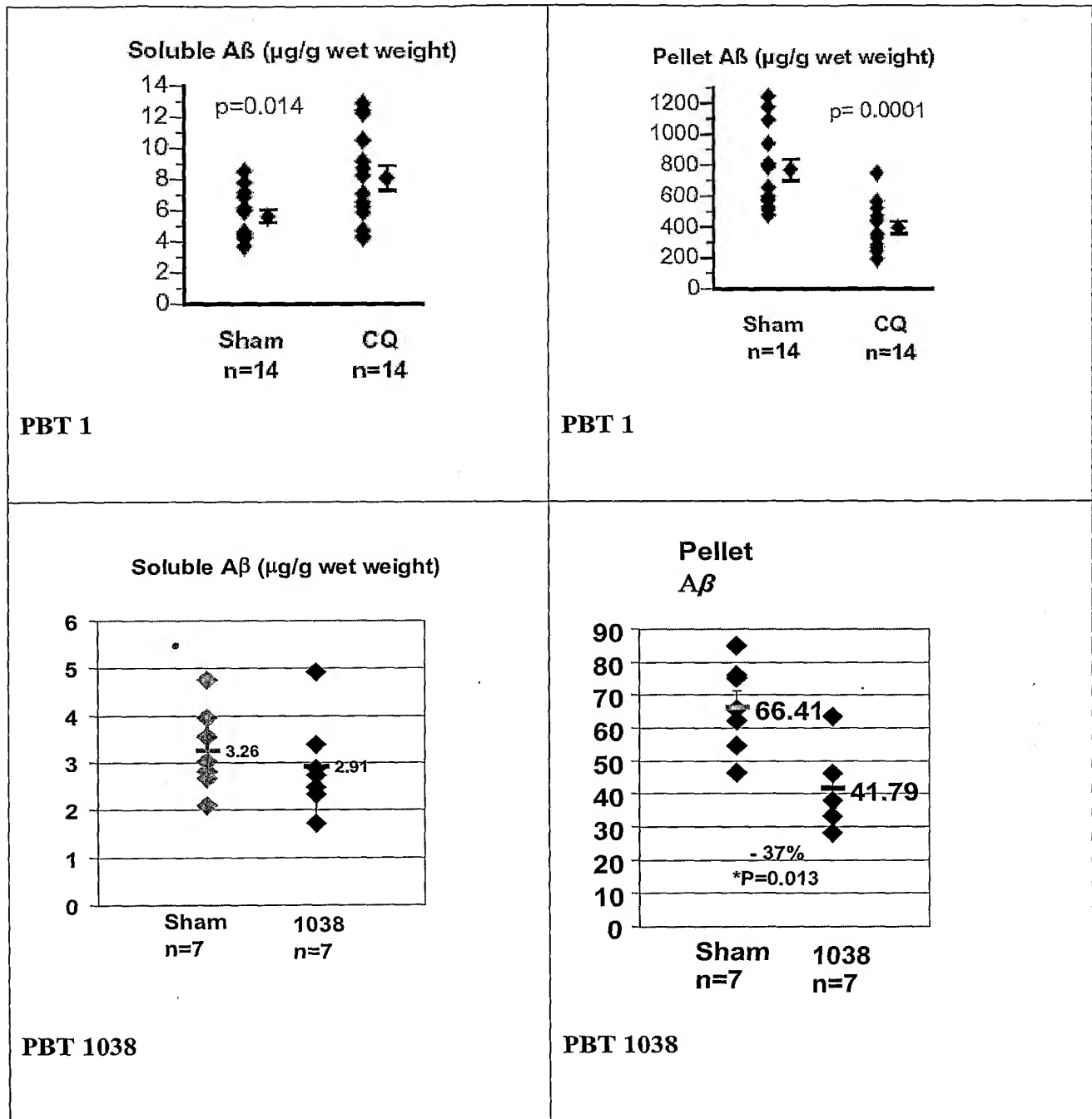
(x) at least one of  $R^1$  to  $R^5$ , R and  $R'$  is other than H;

(y) when  $R^1$  to  $R^3$ ,  $R^5$ ,  $R'$  and R are H, then  $R^4$  is not chloro,  $\text{NH}_2$  or  $\text{SO}_3\text{H}$ ; and

20 (z) when  $R^1$ ,  $R^3$  to  $R^5$ , R and  $R'$  are H, then  $R^2$  is not  $\text{CH}_3$ .

29. A process for the preparation of the compound of formula II defined in claim 28 as described herein.

- 1/12 -



**Fig. 1**



- 2/12 -

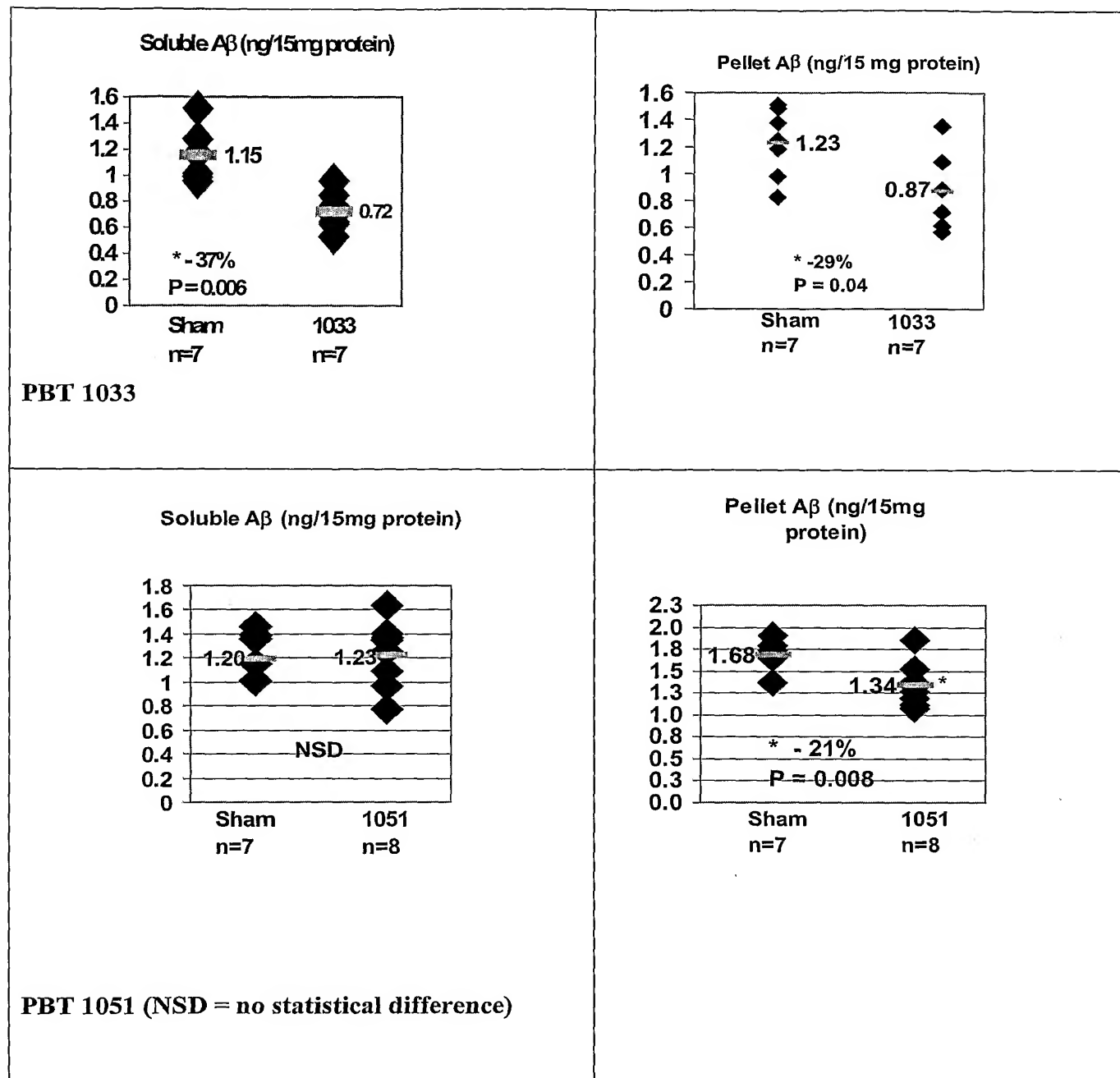
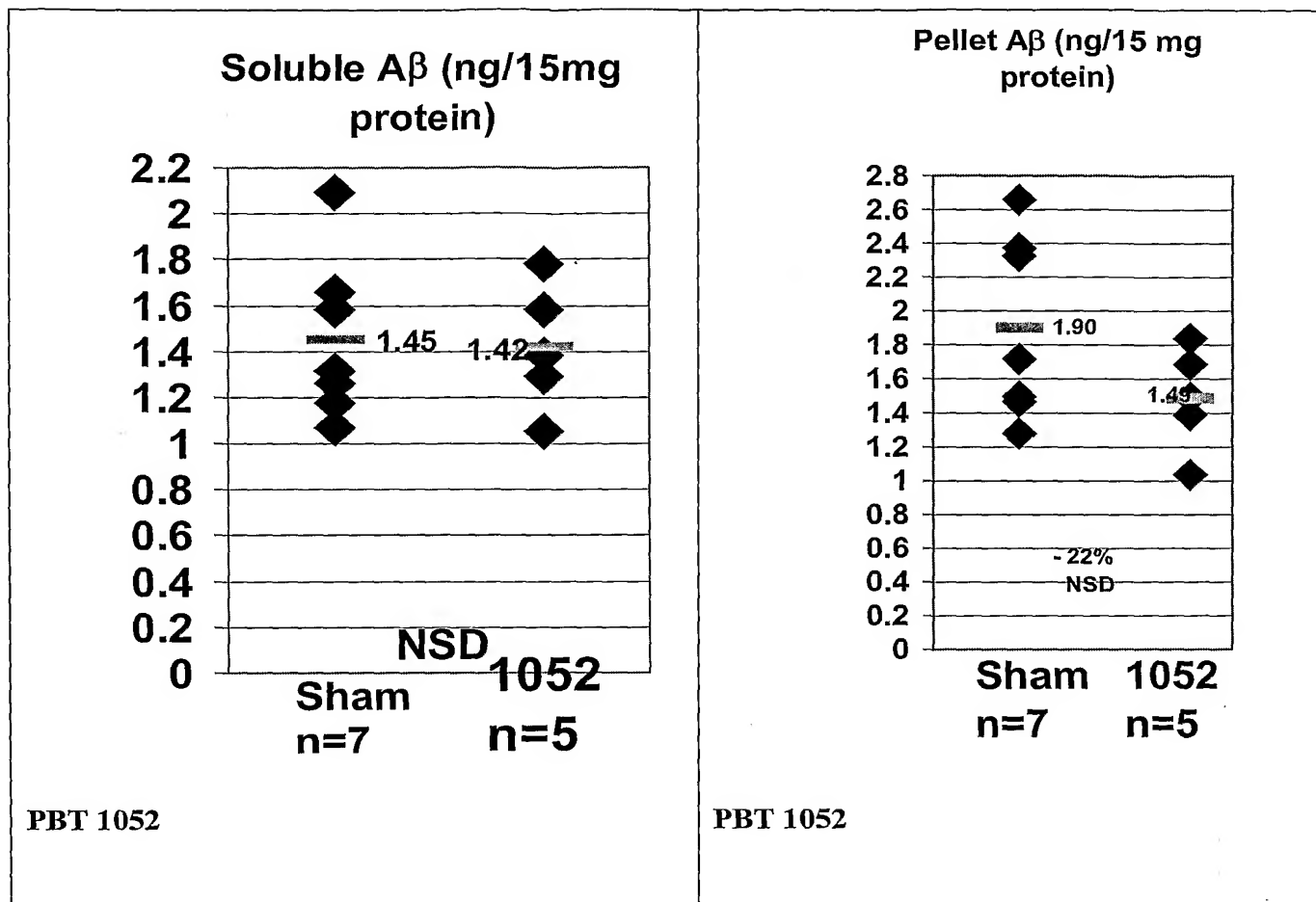
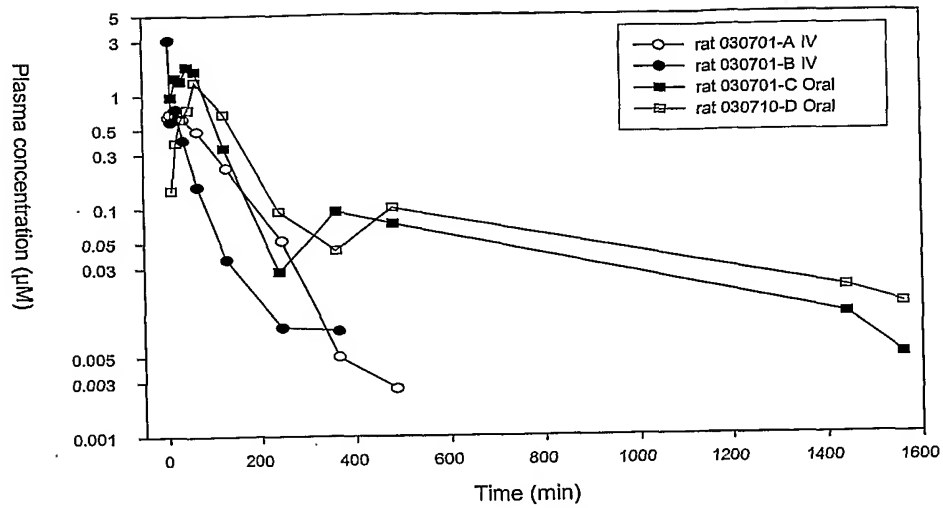


Fig. 2

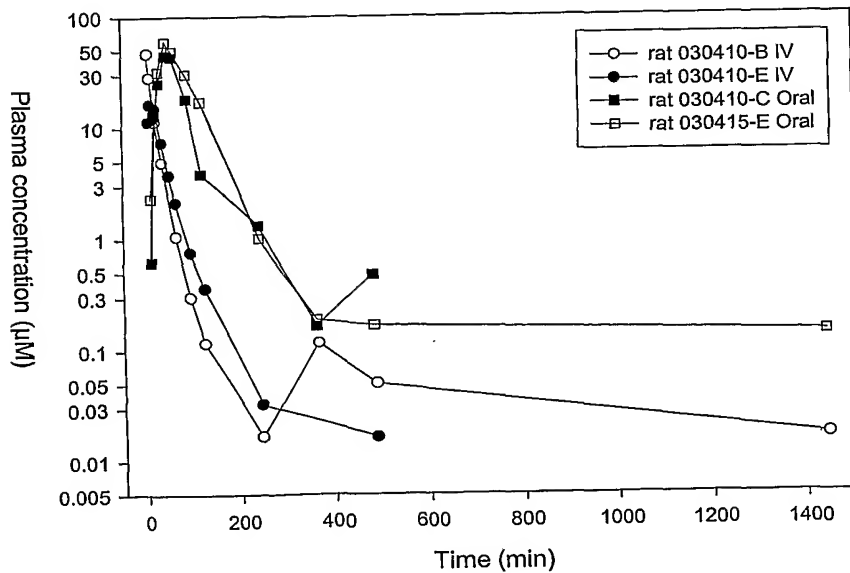
- 3/12 -

**Fig. 3**

- 4/12 -



**Fig. 4(a)**



**Fig. 4(b)**

- 5/12 -

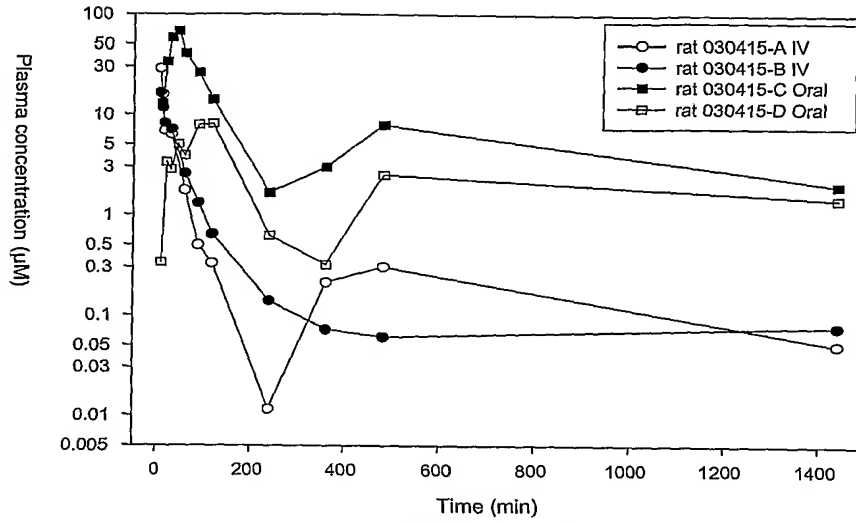


Fig. 4(c)

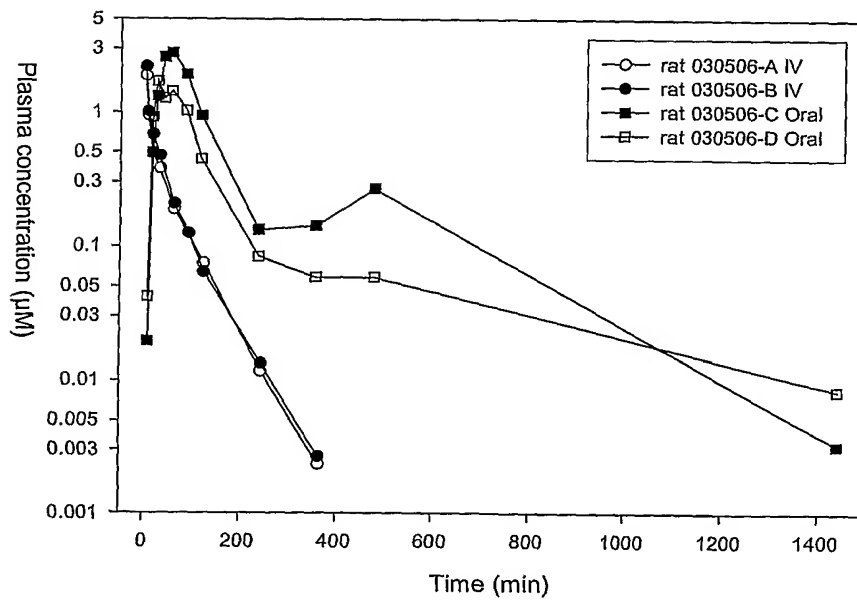
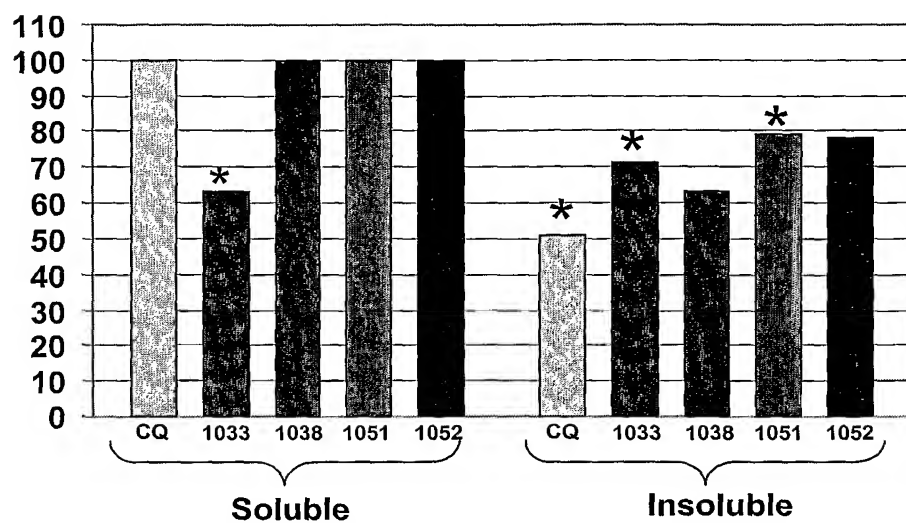


Fig. 4(d)

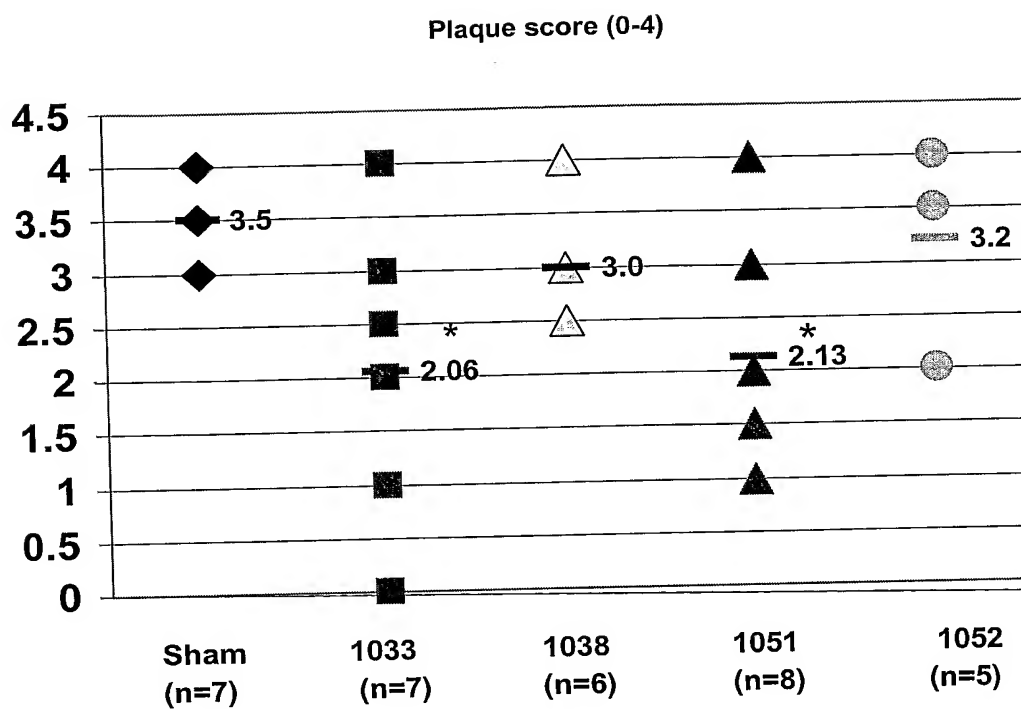
- 6/12 -



\* significant,  $P \leq 0.05$

**Fig. 5**

- 7/12 -

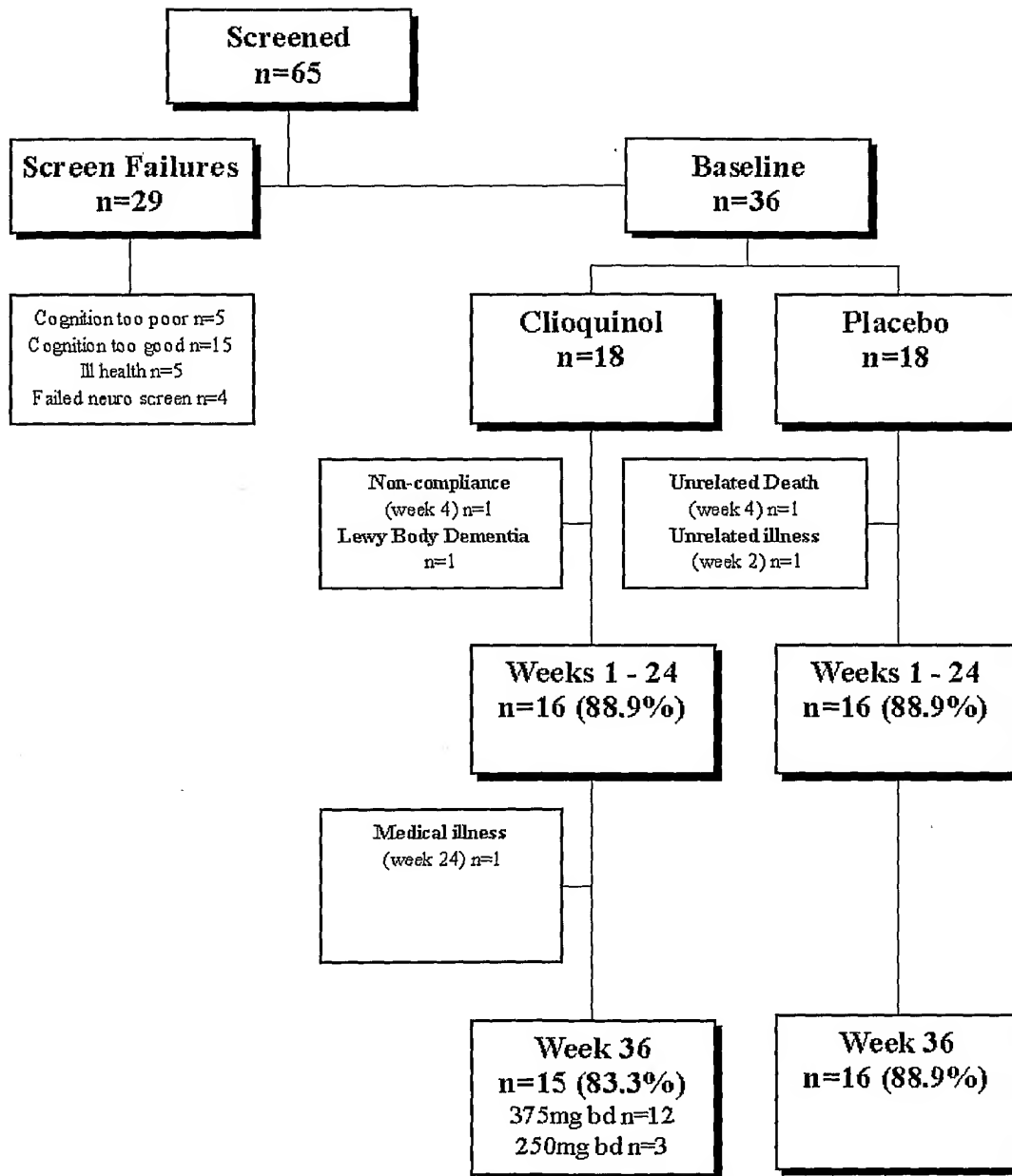


\* significant,  $P \leq 0.05$

**Fig. 6**

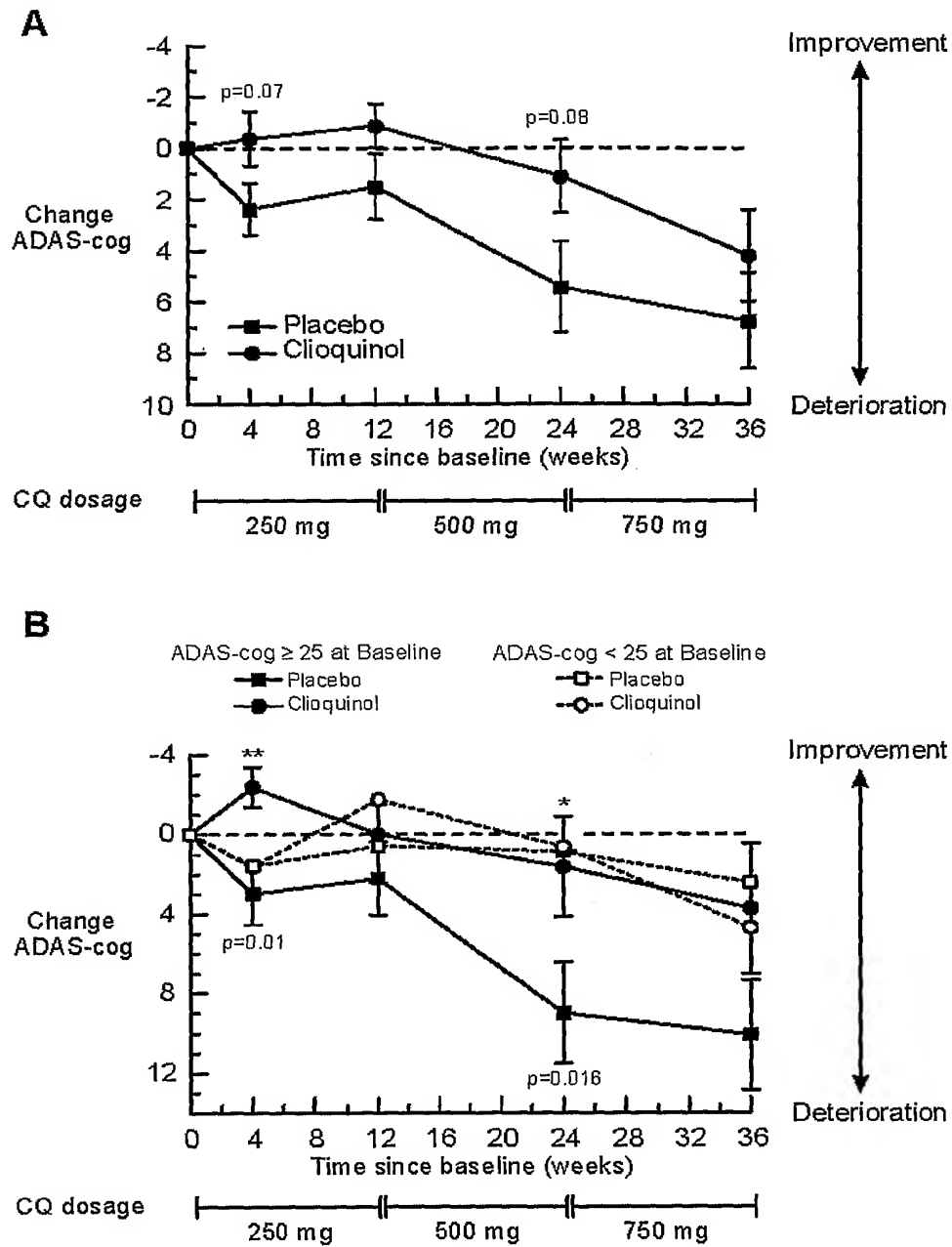
- 8/12 -

### Subject Accountability



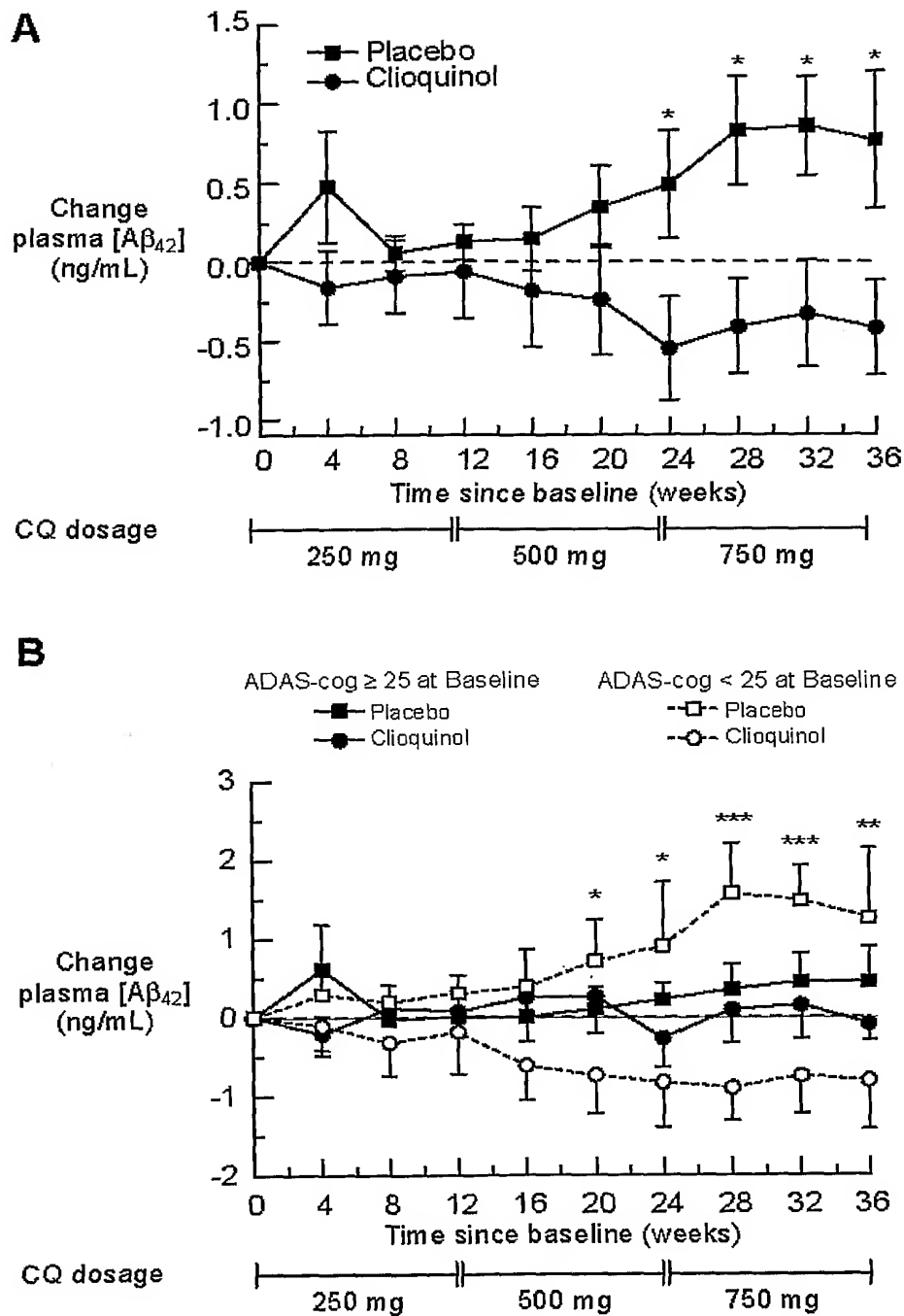
**Fig. 7**

- 9/12 -

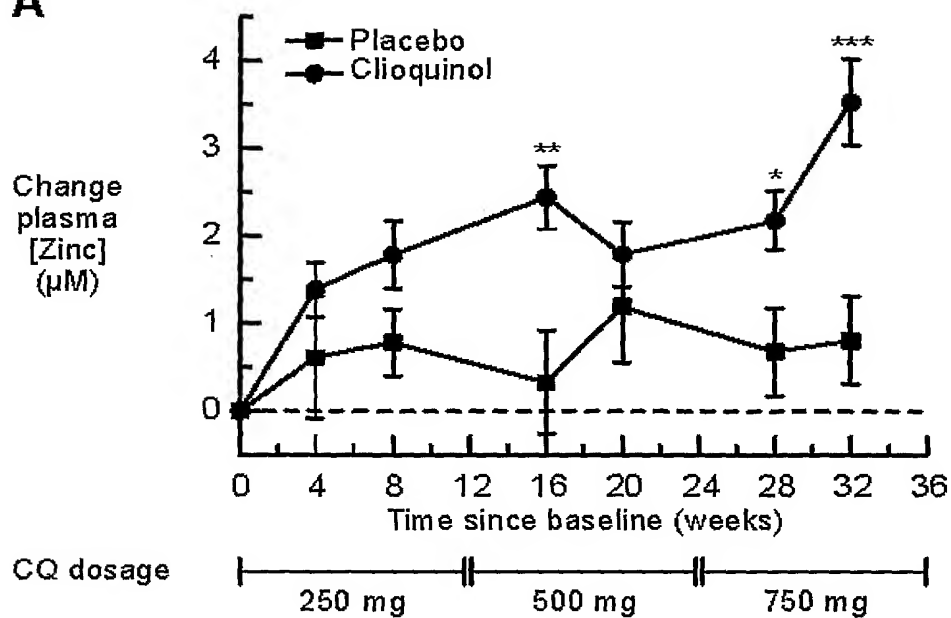
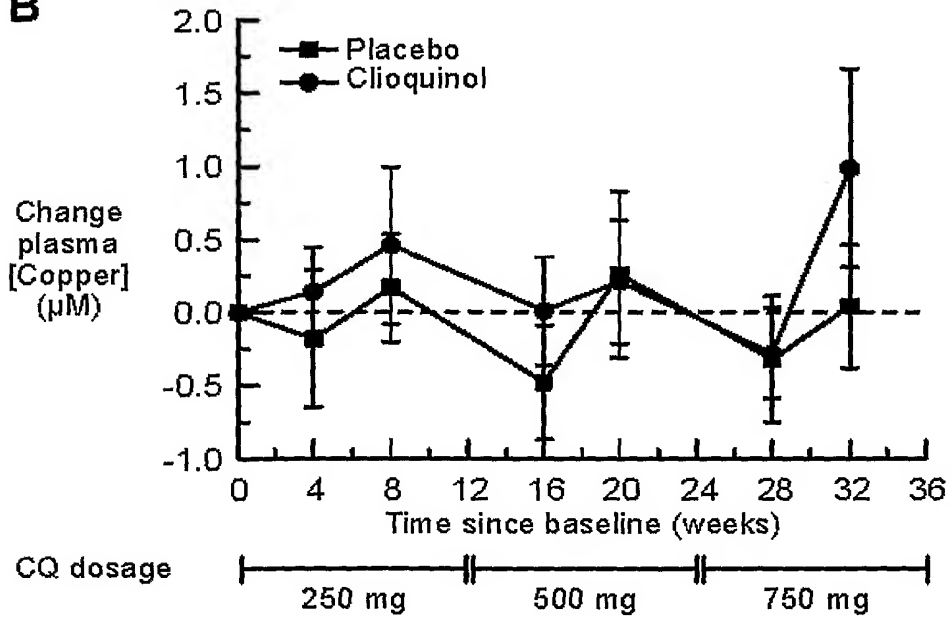
**Fig. 8**



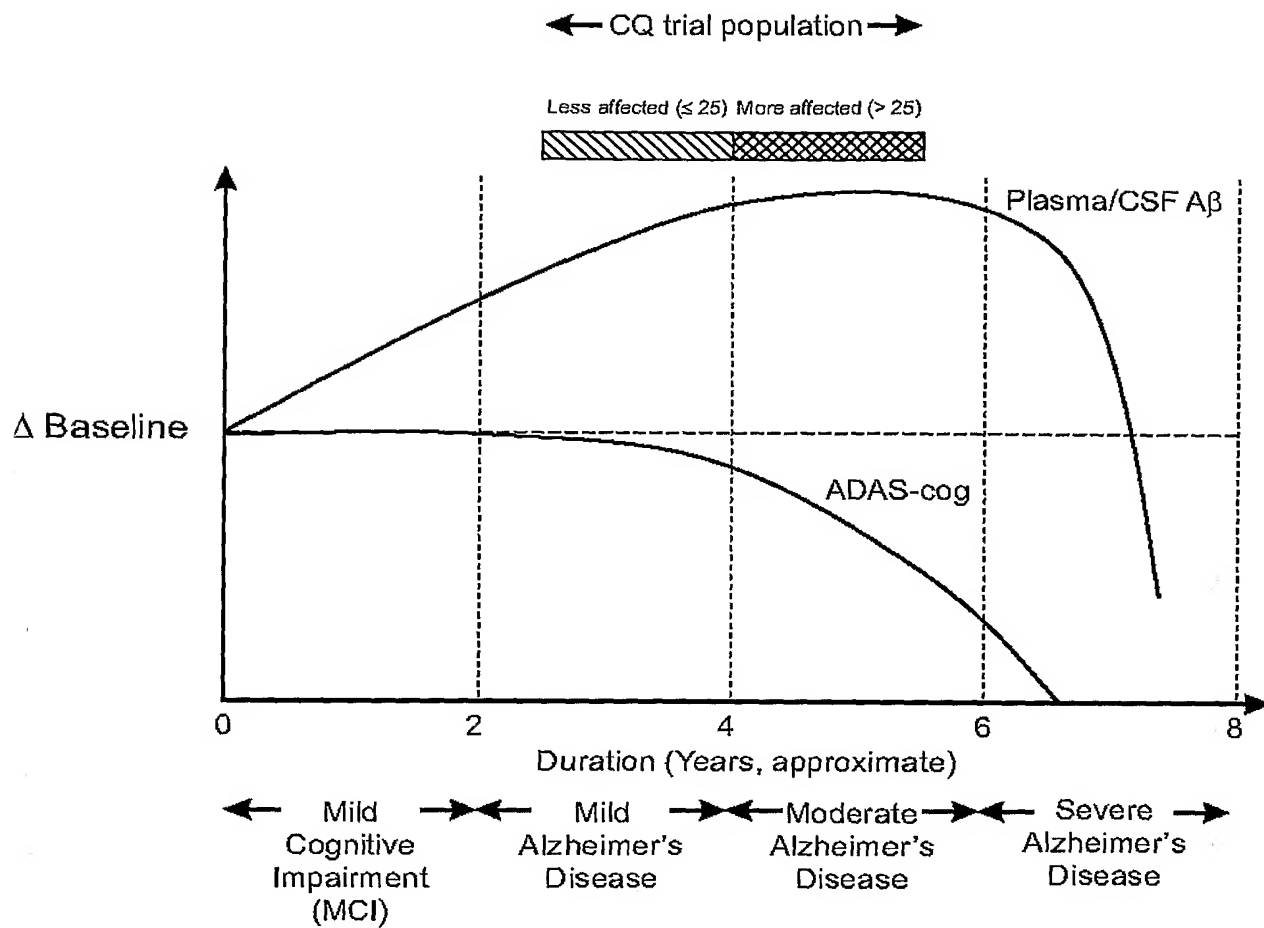
- 10/12 -

**Fig. 9**

- 11/12 -

**A****B****Fig. 10**

- 12/12 -



**Fig. 11**

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00914

**A. CLASSIFICATION OF SUBJECT MATTER**Int. Cl. <sup>7</sup>: C07D 215/24, 215/26, 215/28, 215/48; A61K 31/47, 31/4709; A61P 25/28

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

ELECTRONIC DATABASES, SEE BELOW

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

STN File: CA. Substructure search based on formula I, keywords: Alzheimer, neurodegenerative.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 3932338 A1 (NMI NATURWISSENSCHAFTL U MEDIZ). 11 April 1991 See Abstract.	1-7, 9-28
X	US 5952346 A (HEITSCH et al). 14 September 1999. See whole document, especially Tables 1-7.	1-3, 7, 9-28
X	WO 00/23421 A1 (IDUN PHARMACEUTICALS, INC). 27 April 2000. See Example 34.	1, 2, 7, 9-28

☒ Further documents are listed in the continuation of Box C☒ See patent family annex

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
26 August 2003

Date of mailing of the international search report

17 SEP 2003

Name and mailing address of the ISA/AU

AUSTRALIAN PATENT OFFICE  
PO BOX 200, WODEN ACT 2606, AUSTRALIA  
E-mail address: pct@ipaustalia.gov.au  
Facsimile No. (02) 6285 3929

Authorized officer

STUART BARROW

Telephone No : (02) 6283 2284

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00914

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00/58344 A1 (MALINA). 5 October 2000. See whole document.	1-3, 7, 9-27
X	EP 1074257 A1 (PFIZER PRODUCTS INC). 7 February 2002. See Example 2k	1, 2, 4, 9-28
X	WO 02/24701 A2 (PHARMACIA & UPJOHN COMPANY). 28 March 2002. See Examples 125 and 252-263.	1, 2, 4, 5, 9-28
X	WO 02/24702 A1 (BRISTOL-MYERS SQUIBB COMPANY). 28 March 2002. See Example 341.	1, 2, 7, 9-28
P, X	WO 02/067939 A1 (BRISTOL-MYERS SQUIBB COMPANY). 6 September 2002. See Examples 136 and 548.	1, 2, 7, 9-28
P, X	WO 03/004016 A1 (GRÜNENTHAL GMBH). 16 January 2003. See Example 1.	1-3, 7, 9-28
P, X	WO 03/004483 A1 (GRÜNENTHAL GMBH). 16 January 2003. See Examples 65 and 66.	1-3, 7, 9-28
P, X	WO 03/005038 A1 (UNIVERSITE LOUIS PASTEUR). 16 January 2003. See whole document.	1-3, 7, 9-27
P, X	WO 03/010146 A1 (NEURO3D). 6 February 2003 See whole document.	1-7, 9-28
P, X	Chemical Abstracts Accession No: 138:385173, See CAS Registry No: 527722-22-1 & WO 03/040096 A2 (ELAN PHARMACEUTICALS INC et al). 15 May 2003.	1-3, 7, 9-28
P, X	WO 03/047572 A1 (MERCK PATENT GMBH). 12 June 2003. See page 19, lines 23, 27	1, 2, 7, 9-28
A	WO 99/45907 A2 (THE GENERAL HOSPITAL CORPORATION). 16 September 1999. Cited in the application. See whole document.	

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00914

**Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos :  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos : 1-29 (in part)  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
A preliminary search of the claimed subject matter resulted in a very large number of documents likely to anticipate the present claims. The search has therefore been restricted to the exemplified subject matter. The search is not considered to be complete.  
Continued on Separate Sheet
3. ☐ Claims Nos :  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

**Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

The use of compounds with an 8-hydroxyquinoline nucleus in the treatment of neurodegenerative disorders such as Alzheimer's disease appears to be known in the art. This is supported by the extensive provisos characterising the claims. Therefore, the feature of this nucleus can not be used as a "special technical feature" providing unity to the claims. The claims lack unity *a posteriori*.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00914

**Supplemental Box**

(To be used when the space in any of Boxes I to VIII is not sufficient)

**Continuation of Box No: I**

The terms "an antioxidant" and "a targeting moiety" are not sufficiently well-defined to allow a search.

Proviso (c) in claim 28 is not clear.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

**PCT/AU03/00914**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report			Patent Family Member				
DE	3932338	NONE					
US	5952346	DE	19642290	EP	835659	JP	10130144
		DE	19729140				
WO	200023421	AU	200012215	CA	2347792	EP	1123272
		NO	20011968	US	6242422	US	2002091089
WO	200058344	EP	1165600	FR	2791263		
EP	1074257	AU	200048976	CA	2314867	HU	200003118
		JP	2001064178	NZ	506154	US	6231833
		US	2002132811	US	2003055061	AU	31893/95
		BR	9504230	CA	2200959	CN	1168672
		CZ	9700947	EP	783503	FI	971310
		HR	950507	HU	77354	IL	115384
		NO	971416	NZ	290936	PL	319636
		SI	9520096	SK	325/97	TR	960273
		ZA	9508223	WO	9610571	US	5852031
WO	200224701	AU	200192898	AU	200194606	AU	200194718
		CA	2422950	EP	1319004	EP	1319005
		EP	1323120	US	2002077318	US	2002107278
		WO	200224700	WO	200235454		
WO	200224702	AU	200188213	AU	200169943	BR	200113980
		CA	2413417	CA	2423071	CZ	20024214
		EP	1299094	EP	1319007	NO	20026194
		NO	20031266	US	2002173445	WO	200200617
		AU	200215609	CA	2413683	EP	1299385
		NO	20026167	US	2003114420	WO	200200653
		AU	200167008	CA	2413596	EP	1297108
		US	2002058290	WO	200200716	WO	2003053354
WO	2002067939	NONE					
WO	2003004016	DE	10132726				
WO	2003004483	NONE					
WO	2003005038	FR	2827045				
WO	2003010146	FR	2827599				



# INTERNATIONAL SEARCH REPORT

International application No.

Information on patent family members

**PCT/AU03/00914**

WO	2003040096	NONE				
WO	2003047572	DE	10159453			
WO	9945907	AU	29981/99	CA	2323458	EP 1061923
		US	6323218	US	2002082273	
						END OF ANNEX